

# Applications of High-Accuracy Calculations for Design and Commissioning of Insertion Devices and X-Ray Optics for Beamlines at a Low-Emittance SR Source

O. Chubar, BNL, NSLS-II

**70** YEARS OF  
**DISCOVERY**  

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A CENTURY OF SERVICE



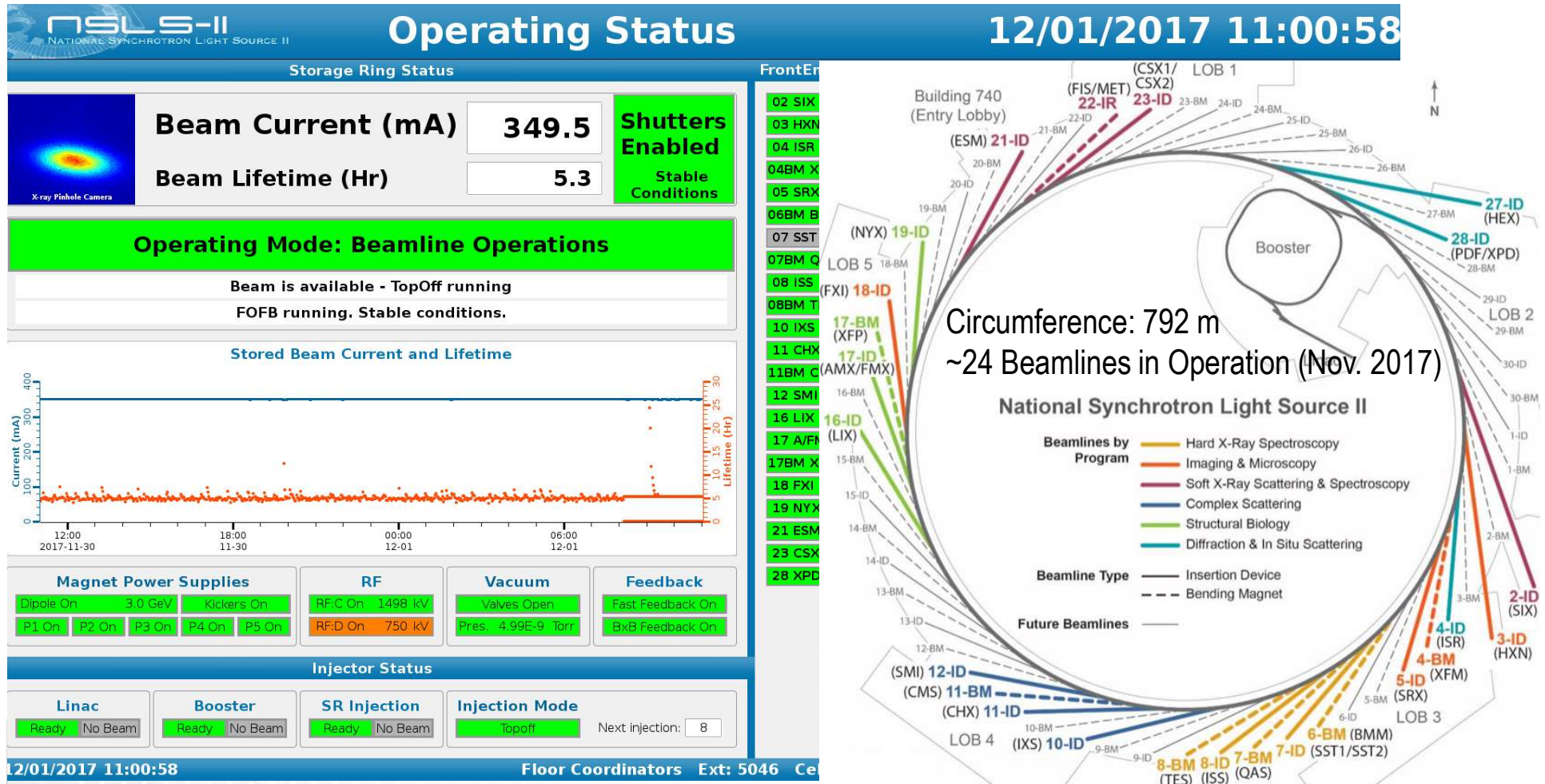
**BROOKHAVEN**  
NATIONAL LABORATORY

PHANGS Workshop, ELETTRA, Trieste, 4-5 December 2017

# Outline

- NSLS-II is in Successful Operation since 2015
- Computer Codes for IDs and X-ray Optics
- Parametric Optimization and Spectrum-Based Alignment of IVU
- Parametric Optimization and Spectrum Characterization of (Quasi-Periodic) APPLE-II
- X-ray Optics Optimization and Performance Characterization
- Towards Complete Simulation of Experiments
- Summary

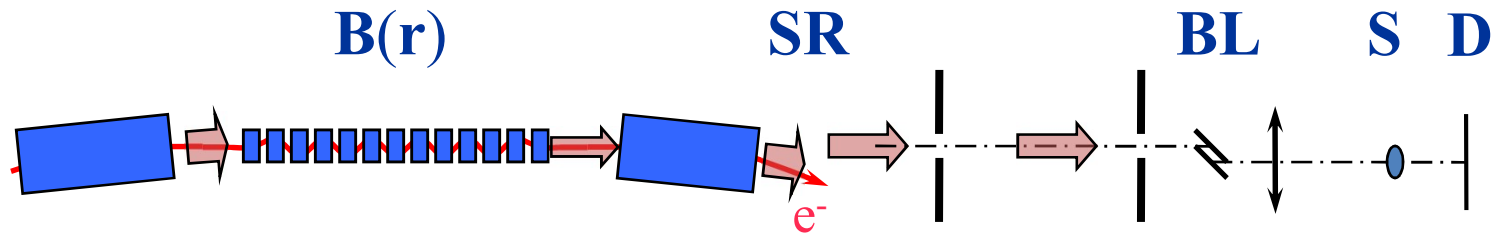
# NSLS-II, a Reliable 0.9 nm Emittance 3 GeV Synchrotron Radiation Source



S. Krinsky, S. Dierker, J. Hill,  
 J. Murphy, F. Willeke, T. Shaftan  
 et al.



# Electrodynamics Simulation Codes for Synchrotron Light Sources



- Computation of **magnetic fields** produced by permanent magnets, coils and iron blocks in 3d space, optimized for the design of **accelerator magnets, undulators** and **wigglers**

**RADIA** code  
started at ESRF in 1996

- **Sorting** and **shimming** of insertion device magnets

**IDBuilder** code  
started at SOLEIL in 2004

- Fast computation of **synchrotron radiation** by relativistic electrons in magnetic fields of arbitrary configuration

- **Physical optics** based simulation of **radiation propagation** through a beamline, from source to sample

- Simulation of some **experiments** with **SR**

**SRW** code  
started at ESRF in 1997;  
released to Open Source  
in 2012

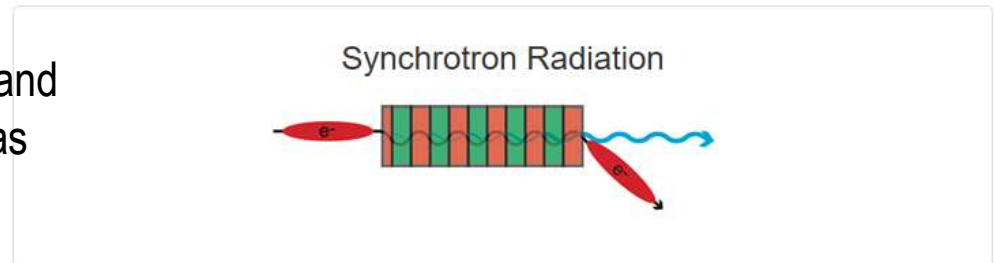
Many thanks to Pascal Elleaume and Jean-Louis Laclare



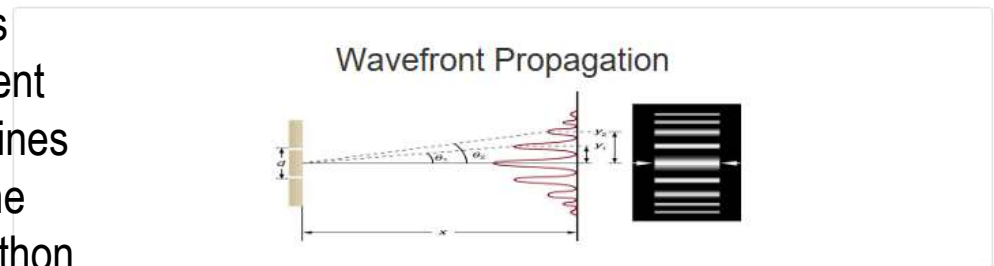
# Web-Based Cloud-Computing Interface to SRW and Other Codes



To facilitate access to SR and X-Ray optics calculations for different groups of scientists and engineers, a web-based interface to SRW was developed recently.



It supports both simple SR / UR / wave optics calculations, and complicated partially-coherent emission / propagation simulations for beamlines and experiments at Light Source facilities. The simulations can be driven by GUI and / or Python scripts.



Work is supported by US DOE SBIR grant and carried out by RadiaSoft LLC in collaboration with BNL / NSLS-II



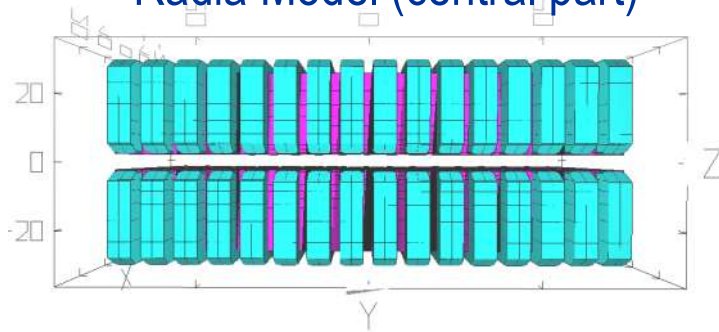
Expert users only

M. Rakitin (NSLS-II)  
D. Bruhwiler, R. Nagler, P. Moeller, B. Nash



# Hybrid In-Vacuum Undulator Magnetic Performance, Acceptable Gaps and Lengths

Radia Model (central part)



IVU Parameters

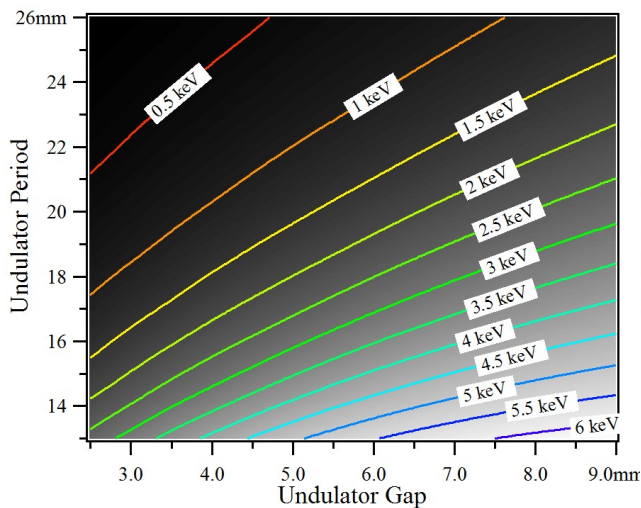
Reference Geometry:

Pole Width: 40 mm    Magnet Width: 50 mm  
 Pole Height: 25 mm    Magnet Height: 29 mm  
 Pole Thickness: 3 mm  
 (for  $\lambda_u = 20$  mm)

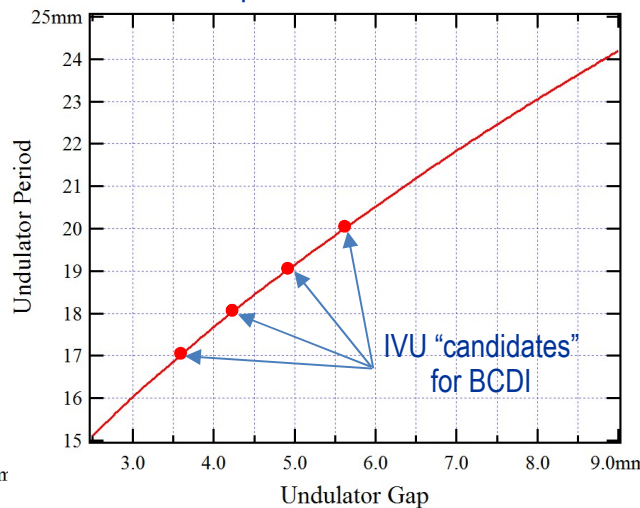
Materials:

Pole: Va Permendur  
 Magnet: NdFeB,  $B_r = 1.19$  T

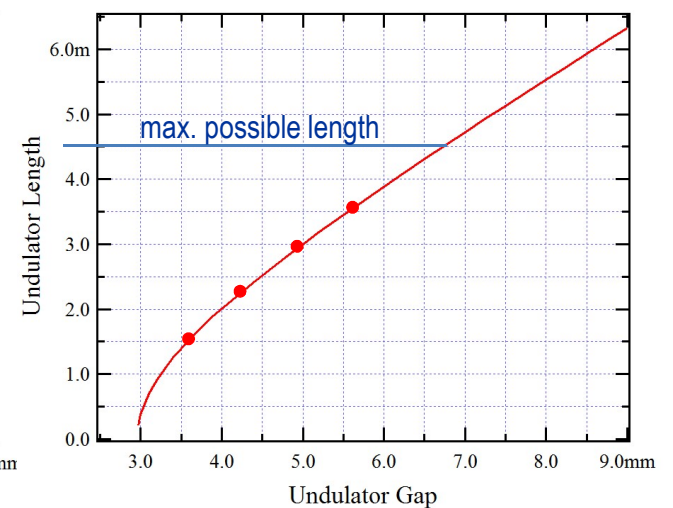
Fundamental Photon Energy  
vs IVU Gap and Period  
at  $E_{el} = 3$  GeV



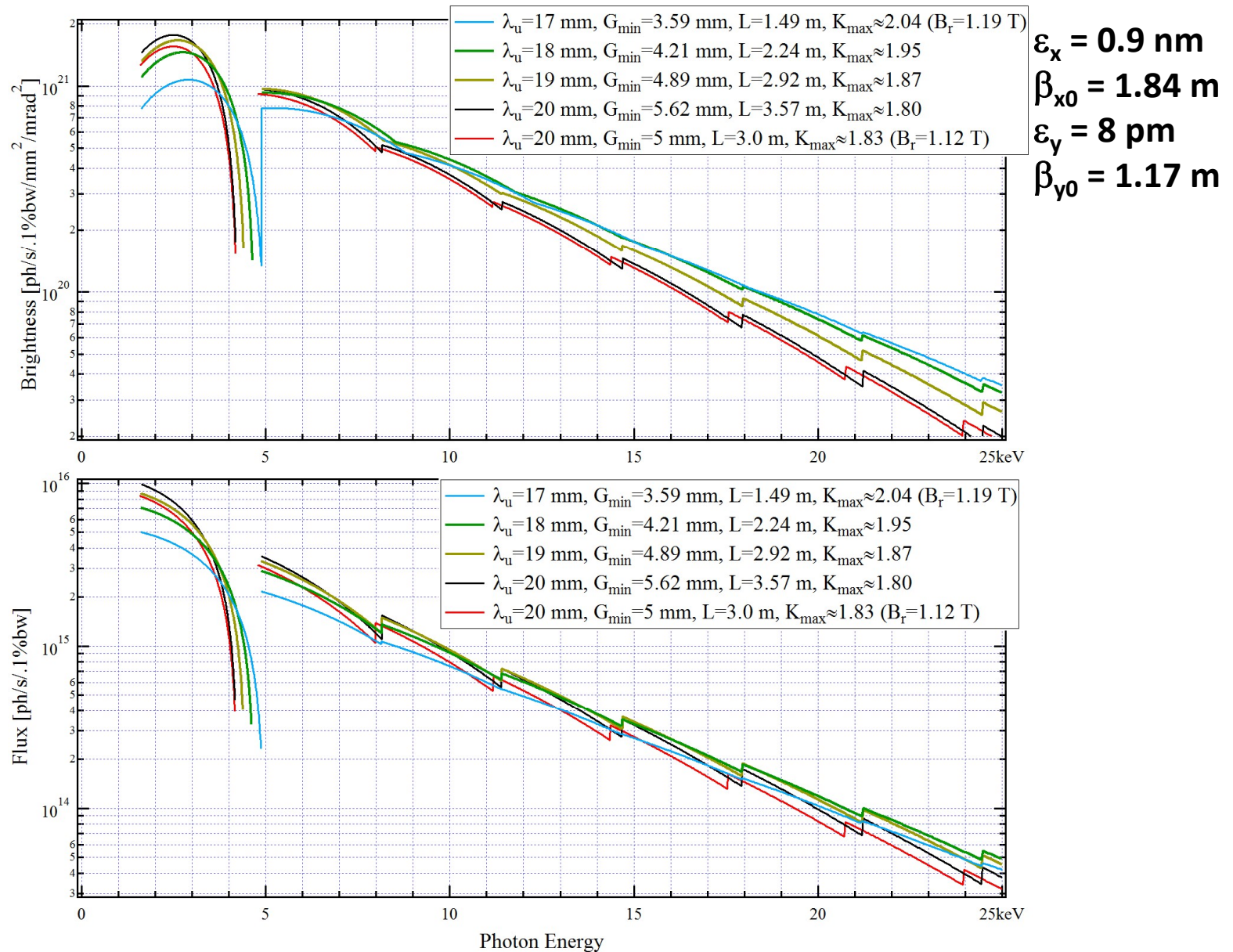
IVU Period vs Gap  
at  $E_{1ph} = 1.633$  keV  
( $E_{3ph} \approx 4.9$  keV)



Maximal IVU Length vs Gap  
for Low-Beta Straight Section  
of NSLS-II (geom. "stay clear")



# Approximate (!) Spectral Brightness and Flux at Odd Harmonics of Possible Future BCDI IVU

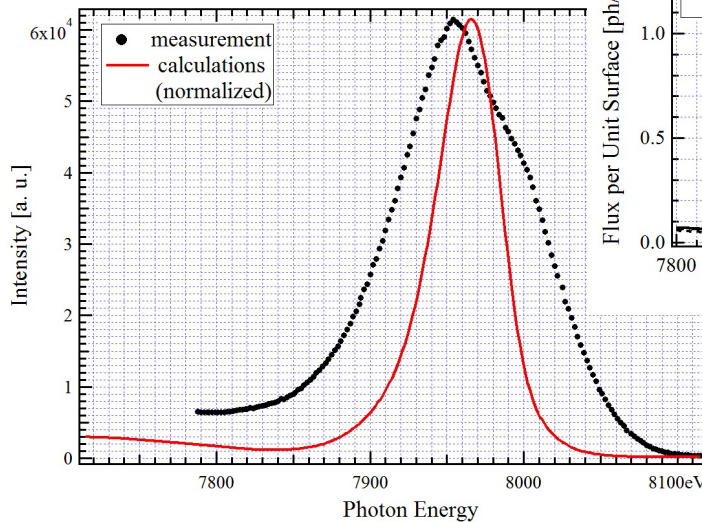




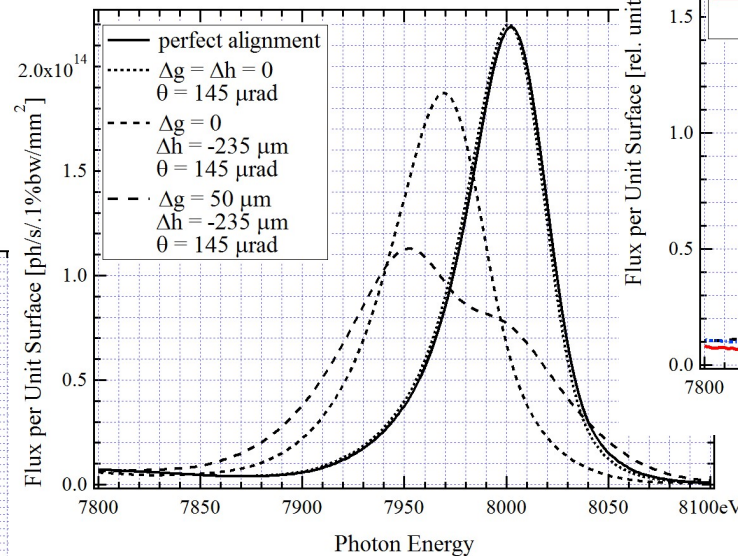
# Spectrum-Based Alignment of IVU21 with Respect to Electron Beam at SRX Beamline of NSLS-II

Initial On-Axis Spectrum Measured at 5<sup>th</sup> UR Harm. at ~6.8 mm Gap Compared to the Corresponding Calculated Spectrum

The calculation was based on measured magnetic field; two spectra are normalized to same max. value.

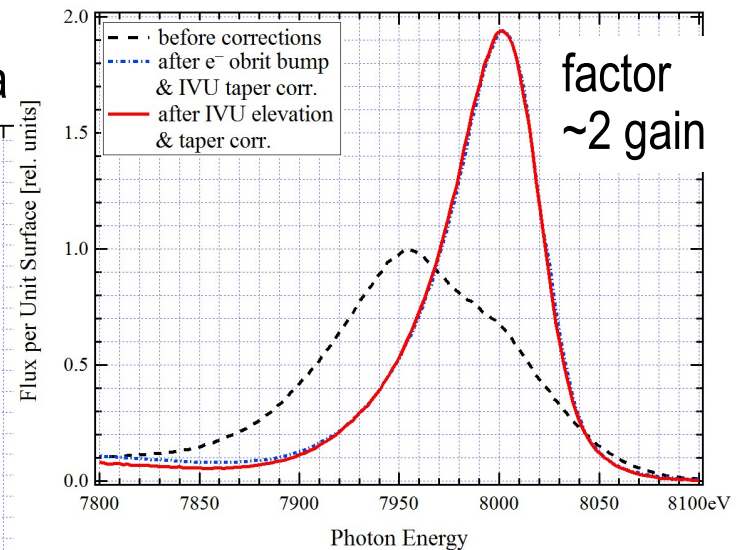


SRW Simulation of IVU Misalignment Effects – “Gap Taper” and “Tilt of Magnet Arrays + Elevation” – on the On-Axis UR Spectral Flux per Unit Surface Area



Parametric dependencies of the IVU misalignment effects on magnetic field were determined from magnetic simulations with Radia code and from magnetic measurements.

Measured On-Axis Spectral Flux per Units Surface Area Before and After the Spectrum-Based IVU Alignment



The best spectral performance was reached by modifying the IVU gap taper (gap diff. bw exit and entrance) by  $\Delta g \approx 50 \mu\text{m}$  and changing the elevation by  $\Delta h \approx 250 \mu\text{m}$ , in agreement with simulation predictions.



# Spectrum Based Alignment of IVUs at Other Hard X-ray Beamlines of NSLS-II

## On-Axis UR Spectra Before and After Spectrum Based Alignment

IVU20 - 3 m at **CHX**

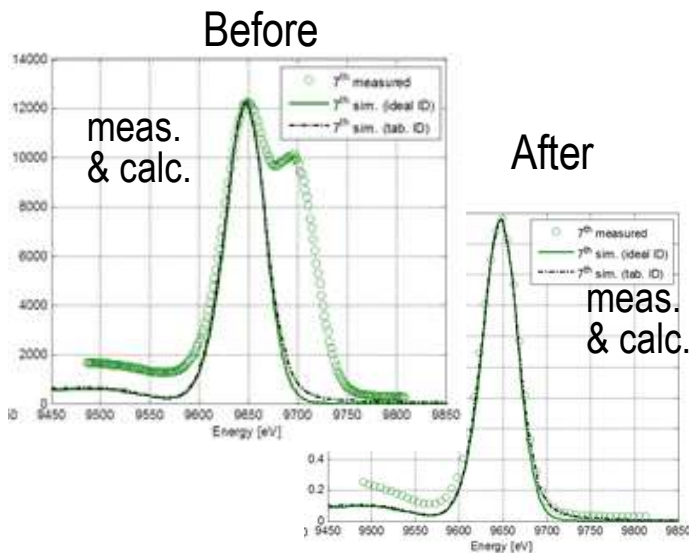
(harm. #7 at ~5.2 mm gap, ~9.65 keV)

IVU23 - 2.8 m at **SMI**

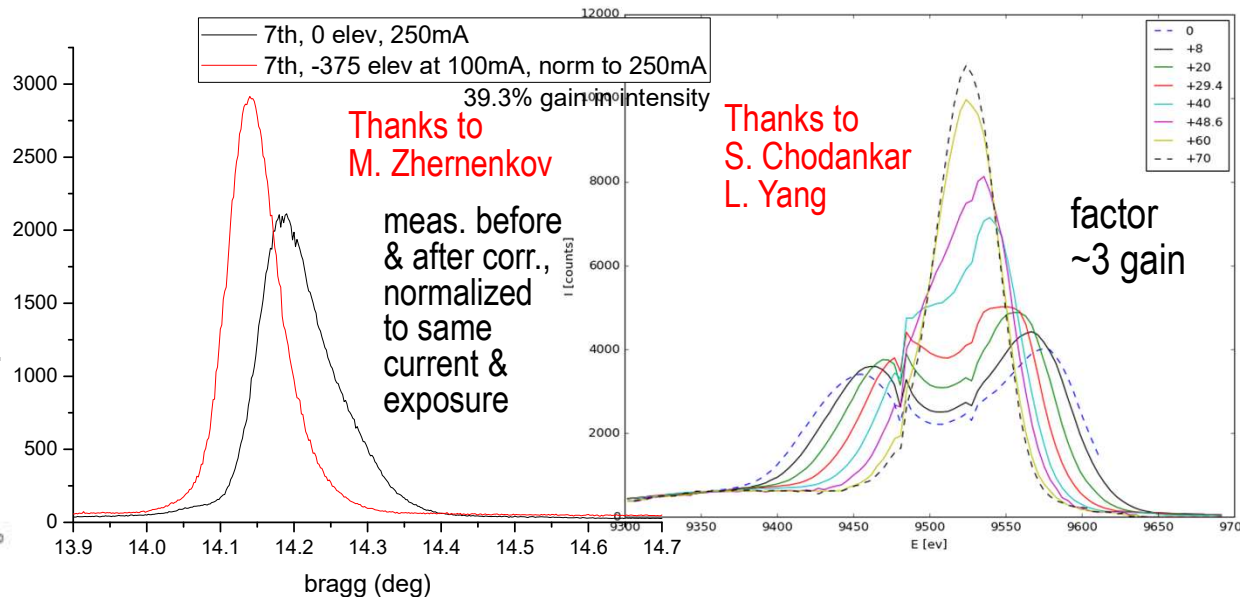
(harm. #7 at ~6.5 mm gap, ~8.07 keV)

IVU23 - 2.8 m at **LiX**

(harm. #9 at ~6.2 mm gap, ~9.24 keV)



Spectral performance was fully restored by introducing ~300  $\mu\text{m}$  change in IVU elevation.



Spectral performance was ~fully restored by introducing -400  $\mu\text{m}$  change in elevation (the IVU was re-aligned mechanically then).

Spectral performance was restored by introducing ~70  $\mu\text{m}$  change in taper.

Spectral performance of ~One Half of NSLS-II IVUs was restored / improved thanks to the Spectrum-Based Alignment

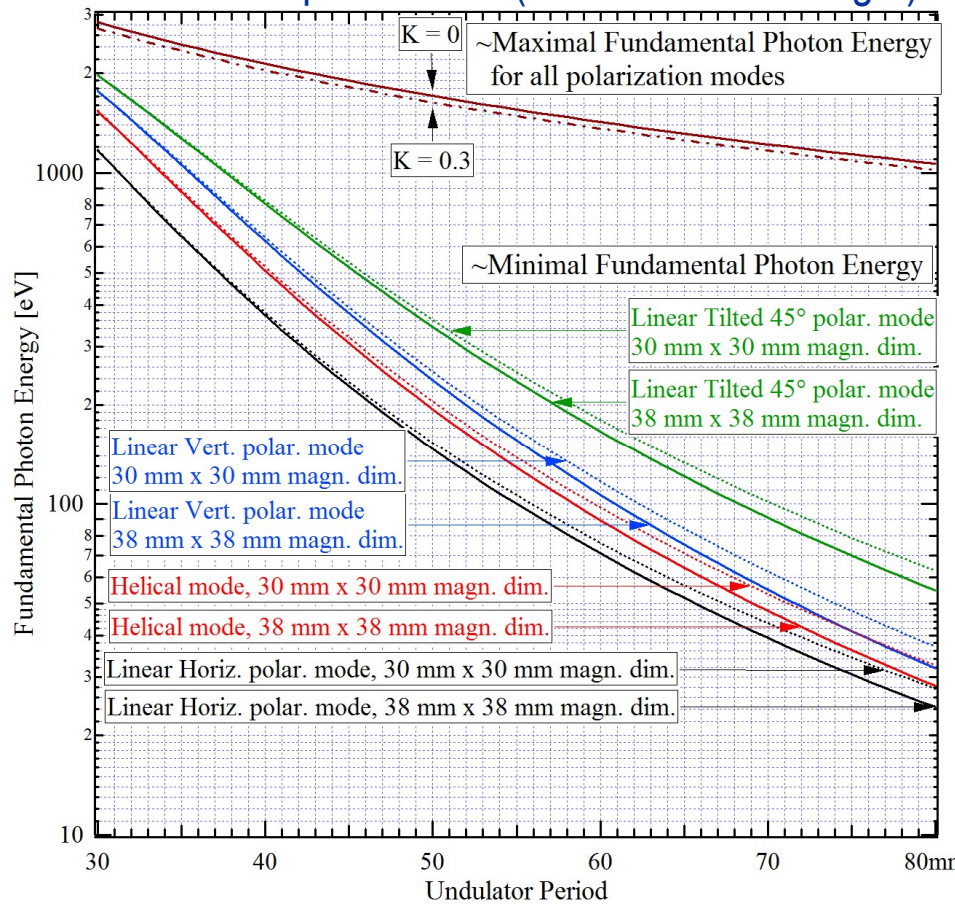
Decisive contributions by D. Hidas (advanced IVU control)

# APPLE-II Elliptically-Polarizing Undulator (EPU) Period Choice at NSLS-II

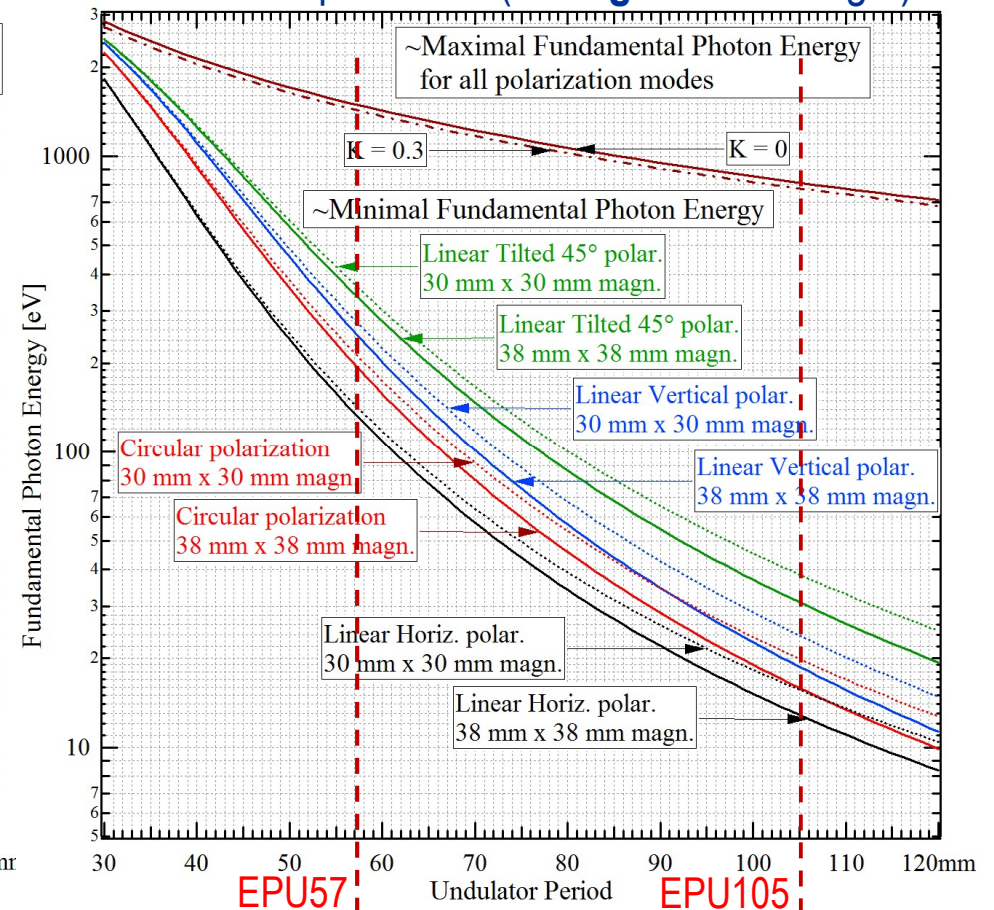
Minimal and Maximal Photon Energies of the Fundamental  
vs Undulator Period for  $E = 3$  GeV

Assumption for Remnant Magnetization of NdFeB material:  $B_r = 1.25$  T

Minimal Gap: 11.5 mm (for Low-Beta Straight)



Minimal Gap: 16 mm (for High-Beta Straight)

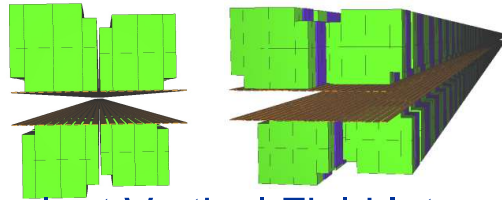


APPLE-II structure was invented by S. Sasaki

RADIA simulations



# Compensation of **EPU105** Nonlinear Focusing Effects by Current Strips in **Linear Vertical** Polarization Mode at 19 mm Gap ( $E_{ph \text{ min}} \approx 30 \text{ eV}$ )



Current Strips Idea: I. Blomqvist  
 First Implementation: J. Bahrtd (BESSY)

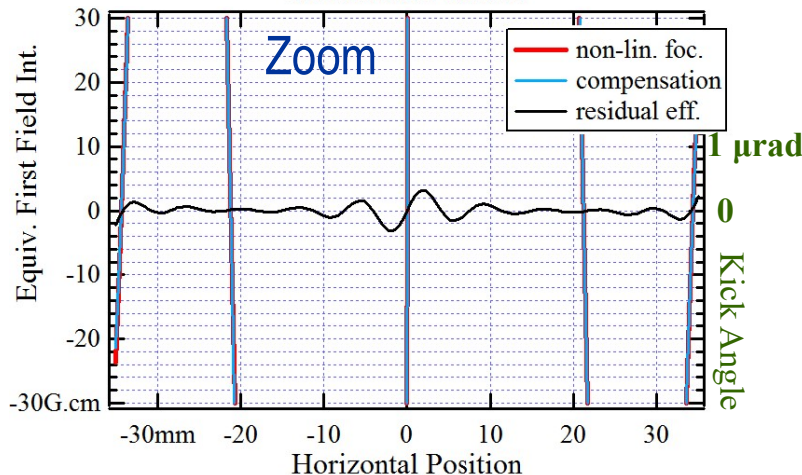
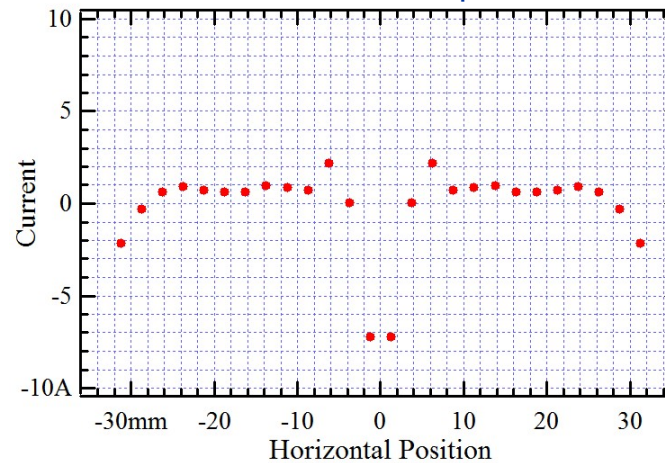
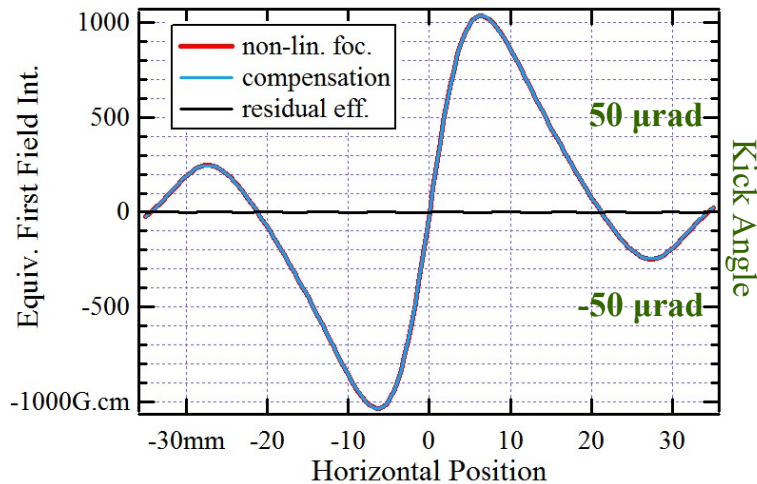
Since the Dynamical Effects are Anti-Symmetric vs x:

$$\mathbf{J}_{\text{upper strips}}(x) = \mathbf{J}_{\text{lower strips}}(-x)$$

Equivalent Vertical Field Integrals:  
 Non-Linear Dynamical Focusing and Compensation

Compensating Currents  
 in Lower Strips

Number of Strips used:  
 2 x 26  
 Strip Dimensions:  
 2 mm x 0.3 mm x 3.2 m  
 Horizontal Gap bw Strips:  
 0.5 mm  
 Vertical Gap bw Strips:  
 15.2 mm  
 Max. Abs. Current:  
 ~ 7.2 A  
 Estimated Joule Heating:  
 ~ 25 W? (if  $R_1 = 92 \text{ m}\Omega$ )



Efficient Solving for Currents  
 Using the Tikhonov Regularization

Field Integral (at  $y=0$ )  
 from Currents in Strip Conductors:

$$\mathbf{I} = \mathbf{Q}\mathbf{J}$$

Matrix  
 calculated  
 using Radia

Currents in Strip Conductors from Field  
 Integral (Regularized Solution):

$$\mathbf{J} = (\mathbf{Q}^T \mathbf{Q} + \mathbf{\Gamma}^T \mathbf{\Gamma})^{-1} \mathbf{Q}^T \mathbf{I}$$

Tikhonov Matrix

In these calculations, it was used:

$$\mathbf{\Gamma}^T \mathbf{\Gamma} = \alpha \mathbf{E}$$

Unit Matrix

Regularization Parameter allowing  
 to control norm of solution (i.e. max. currents)

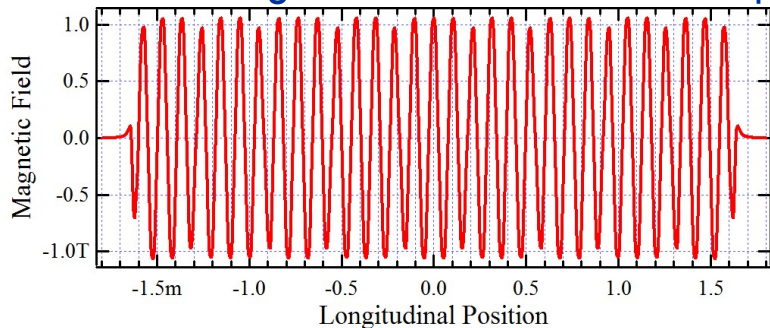
# EPU105 Quasi-Periodic Option

## Magnet Structure

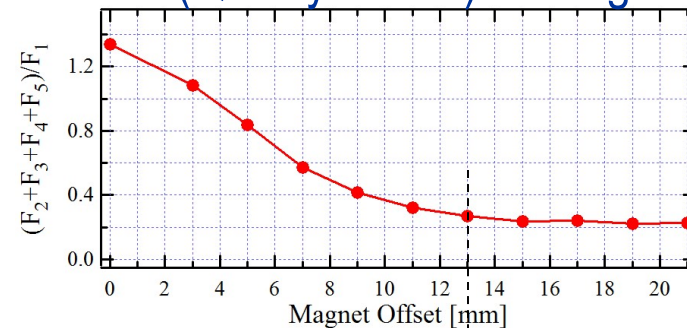
This / similar method was first used at ELETTRA (B. Diviacco) and at ESRF (J. Chavanne)



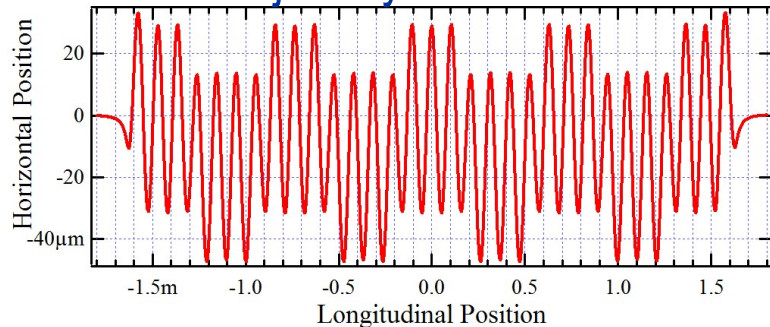
## Vertical Magnetic Field at 16 mm Gap



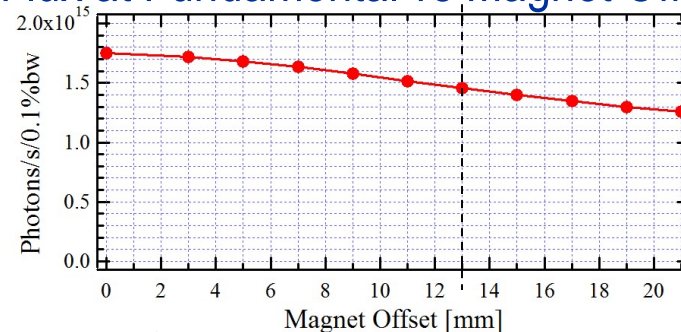
## Flux Ratio (Quality Factor) vs Magnet Offset



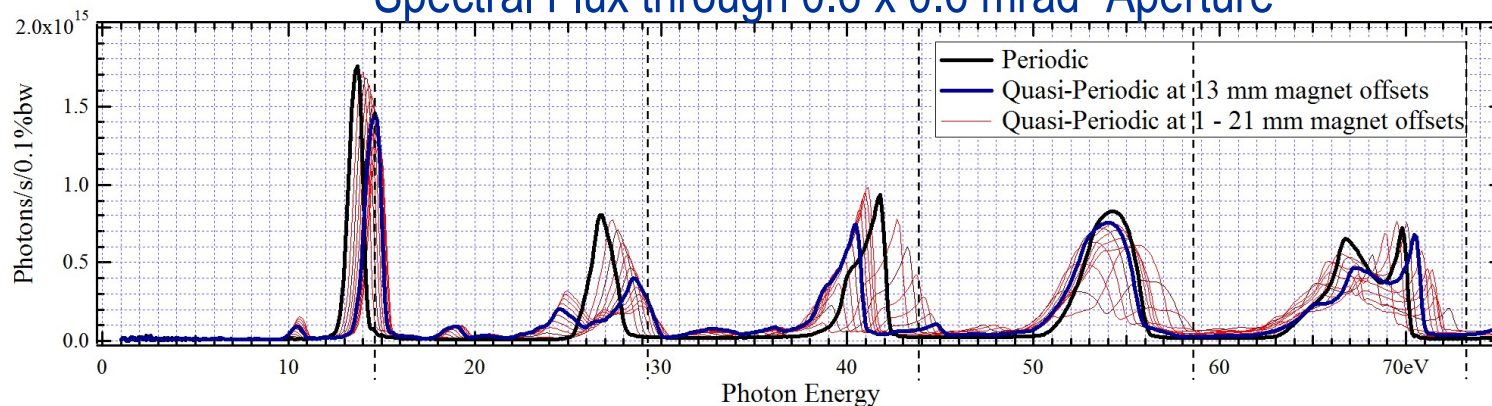
## Electron Trajectory in Horizontal Plane



## Flux at Fundamental vs Magnet Offset



## Spectral Flux through 0.6 x 0.6 mrad<sup>2</sup> Aperture



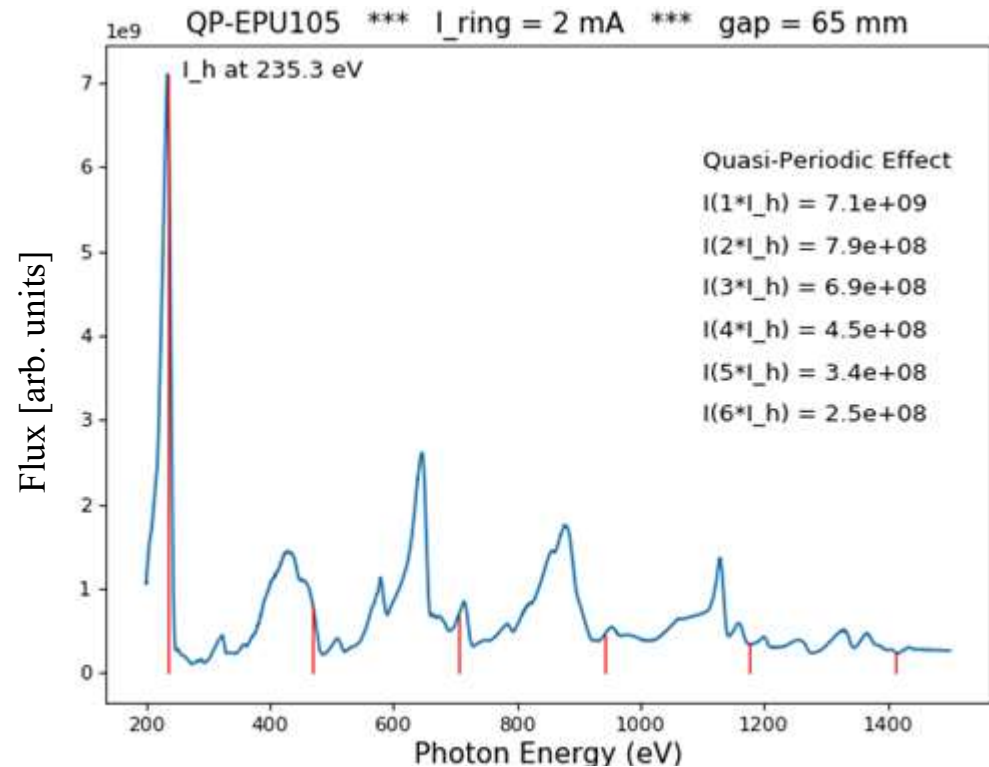
EPU105 was magnetically assembled and shimmed in-house at NSLS-II using IDBuilder code.

C. Kitegi  
M. Musardo

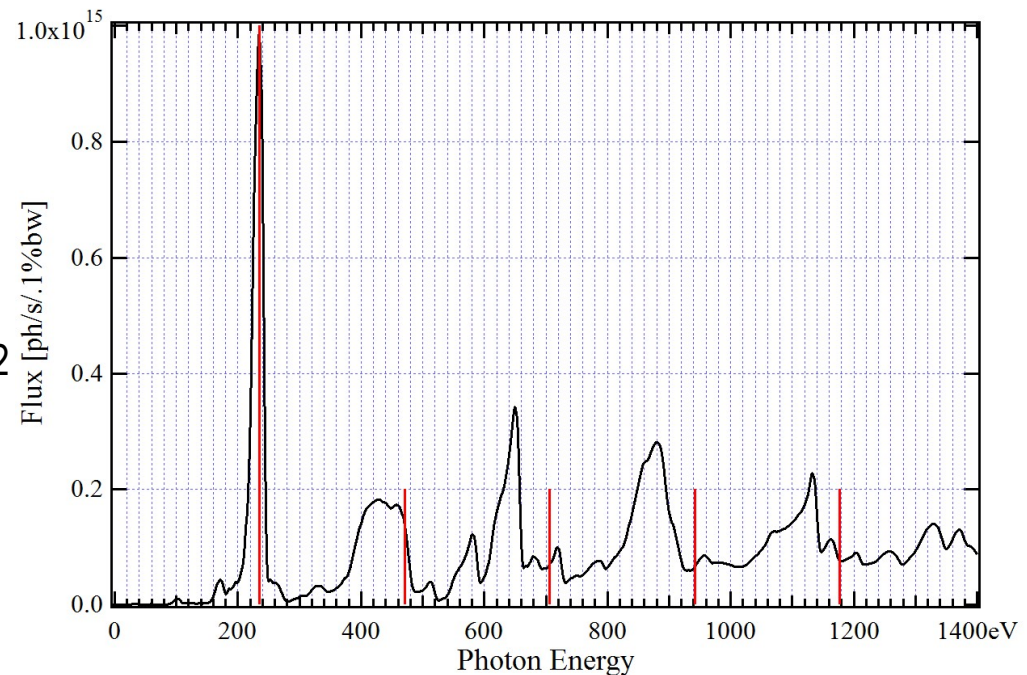


# EPU105 Quasi-Periodic Spectrum at 65 mm Gap

Measured at ESM  
normalized by estimated  
detector response

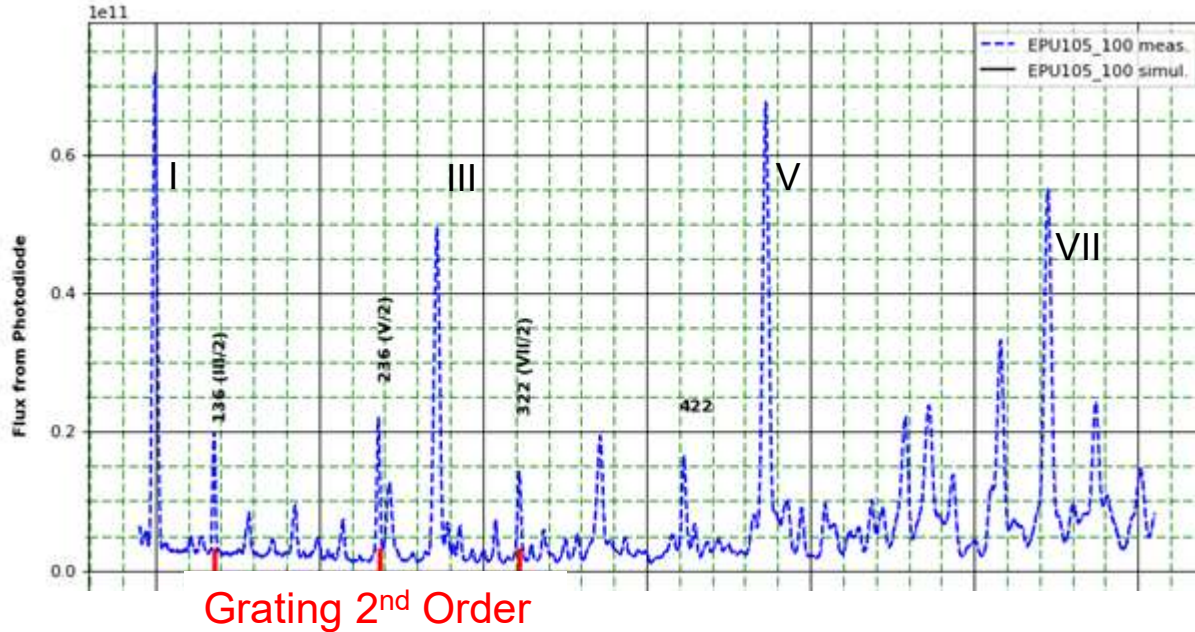


Calculated  
based on measured  $\mathbf{B}(z)$   
aperture:  $0.16 \times 0.16 \text{ mrad}^2$



# EPU105 Quasi-Periodic Spectrum at 48 mm Gap

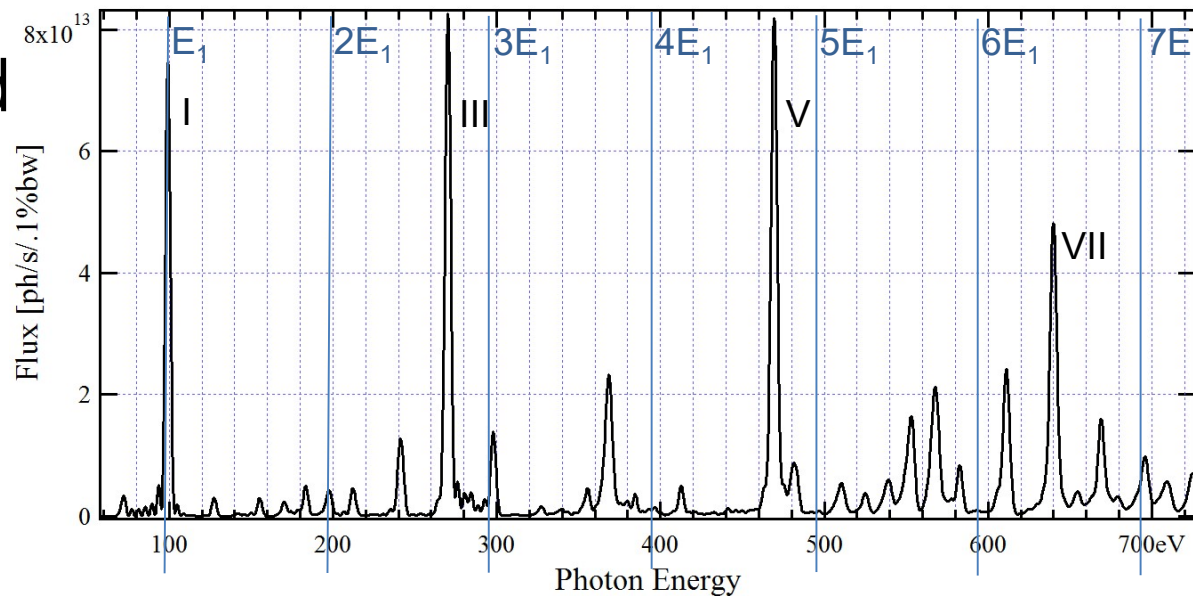
Measured at ESM



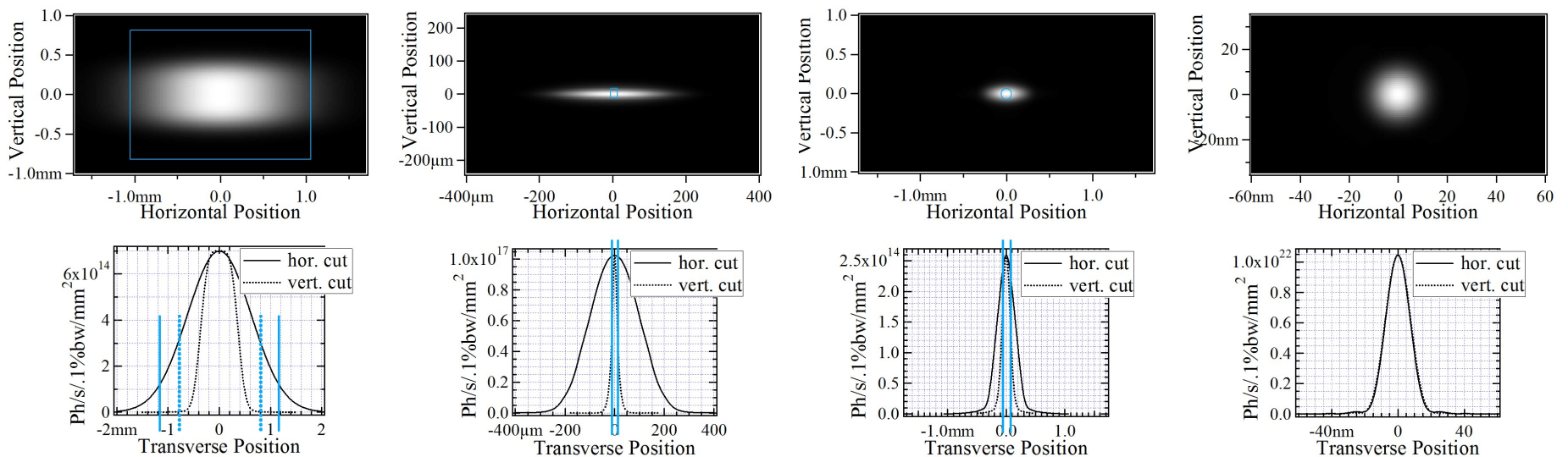
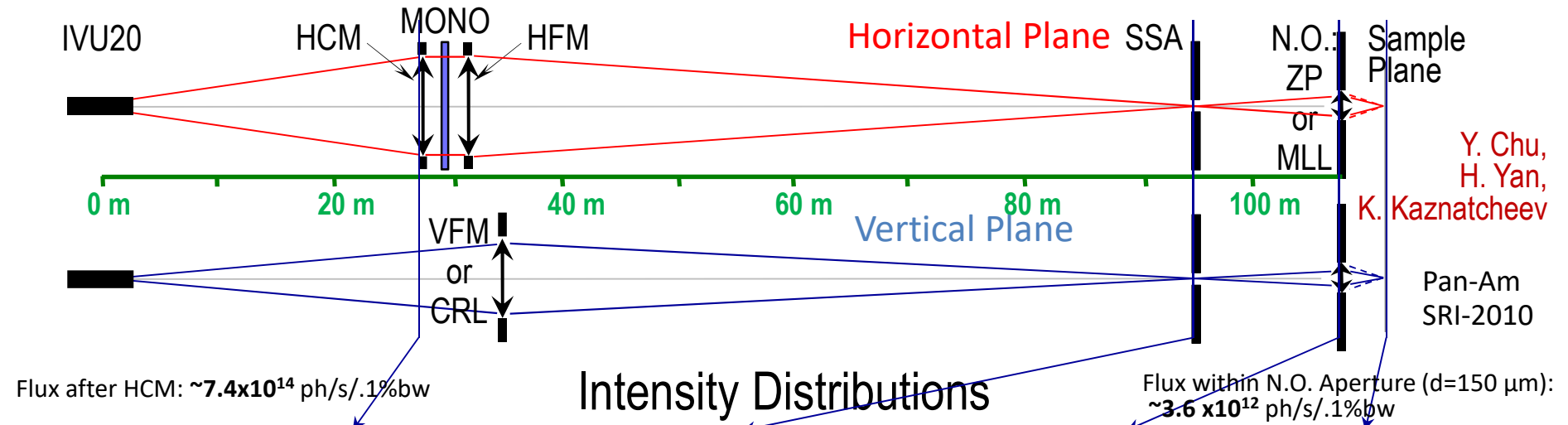
E. Vescovo

Quasi-Periodic Undulator does its job: grating's 2<sup>nd</sup> order peaks are shifted off UR harmonics

Calculated based on measured  $B(z)$



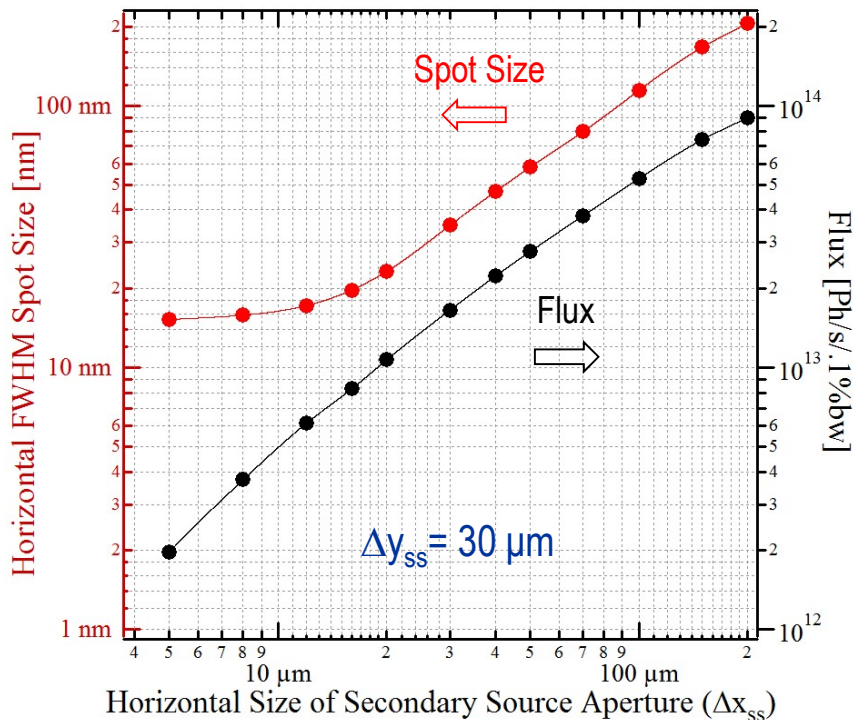
# NSLS-II Hard X-Ray Nanoprobe (HXN) Beamline Optical Layout and Partially-Coherent Radiation Propagation Simulations



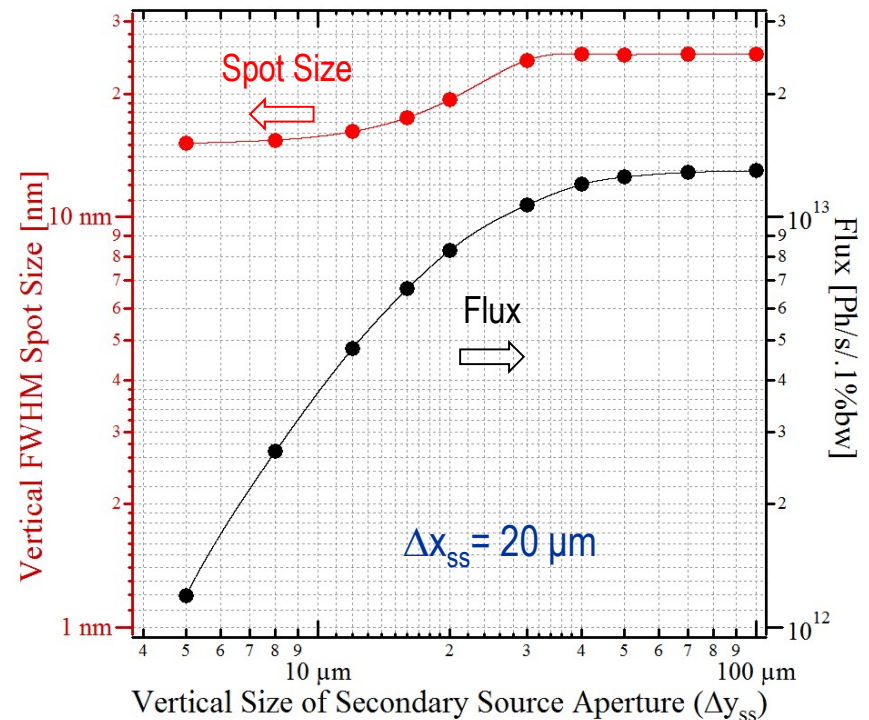


# Final Focal Spot Size and Flux vs Secondary Source Aperture Size at HXN

## Horizontal Spot Size and Flux vs Horizontal Secondary Source Aperture Size



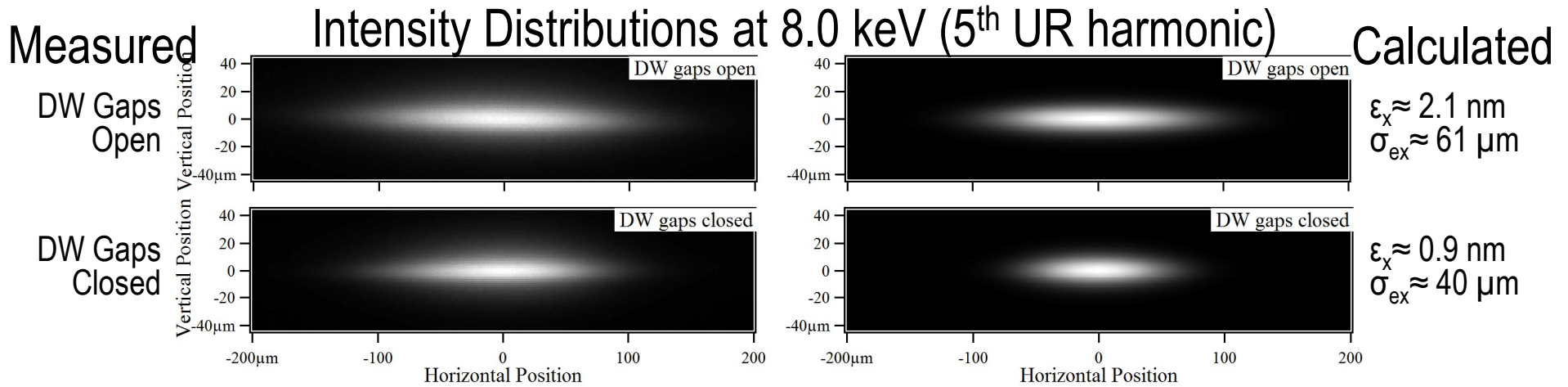
## Vertical Spot Size and Flux vs Vertical Secondary Source Aperture Size



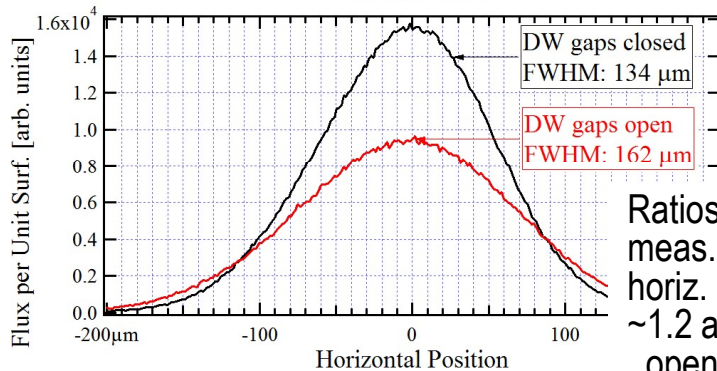
Secondary Source Aperture located at 94 m from Undulator  
 Spot Size and Flux calculated for Nanofocusing Optics simulated by Ideal Lens  
 with  $F = 18.14$  mm,  $D = 150$   $\mu\text{m}$  located at 15 m from Secondary Source (109 m from Undulator)



# Electron Beam Imaging Near Secondary Source Aperture (at ~63 m from undulator) of HXN Beamline



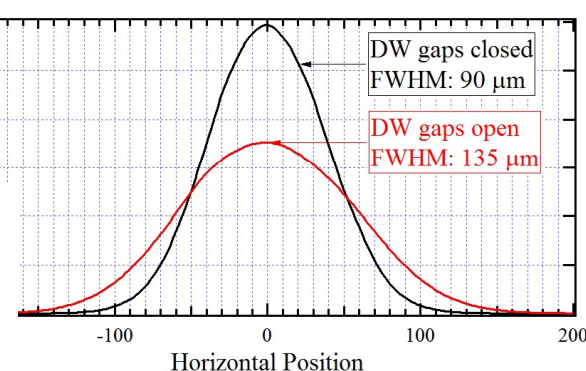
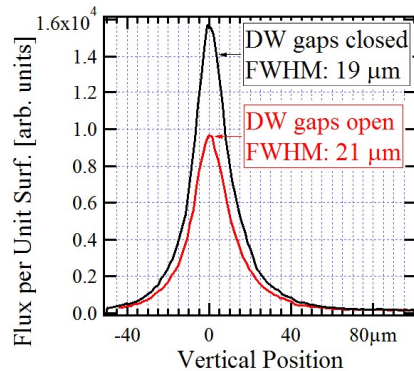
Cuts by Horizontal Mid-Plane



Ratios of the meas.-to-calc. horiz. spot sizes:  
~1.2 at DW gaps open,  
~1.5 at DW gaps closed.

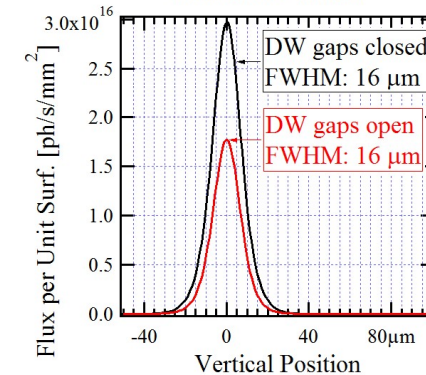
The discrepancy is likely to be explained by surface errors of X-ray mirrors and DCM

Cuts by Vertical Mid-Plane



Optical magn. ~0.93

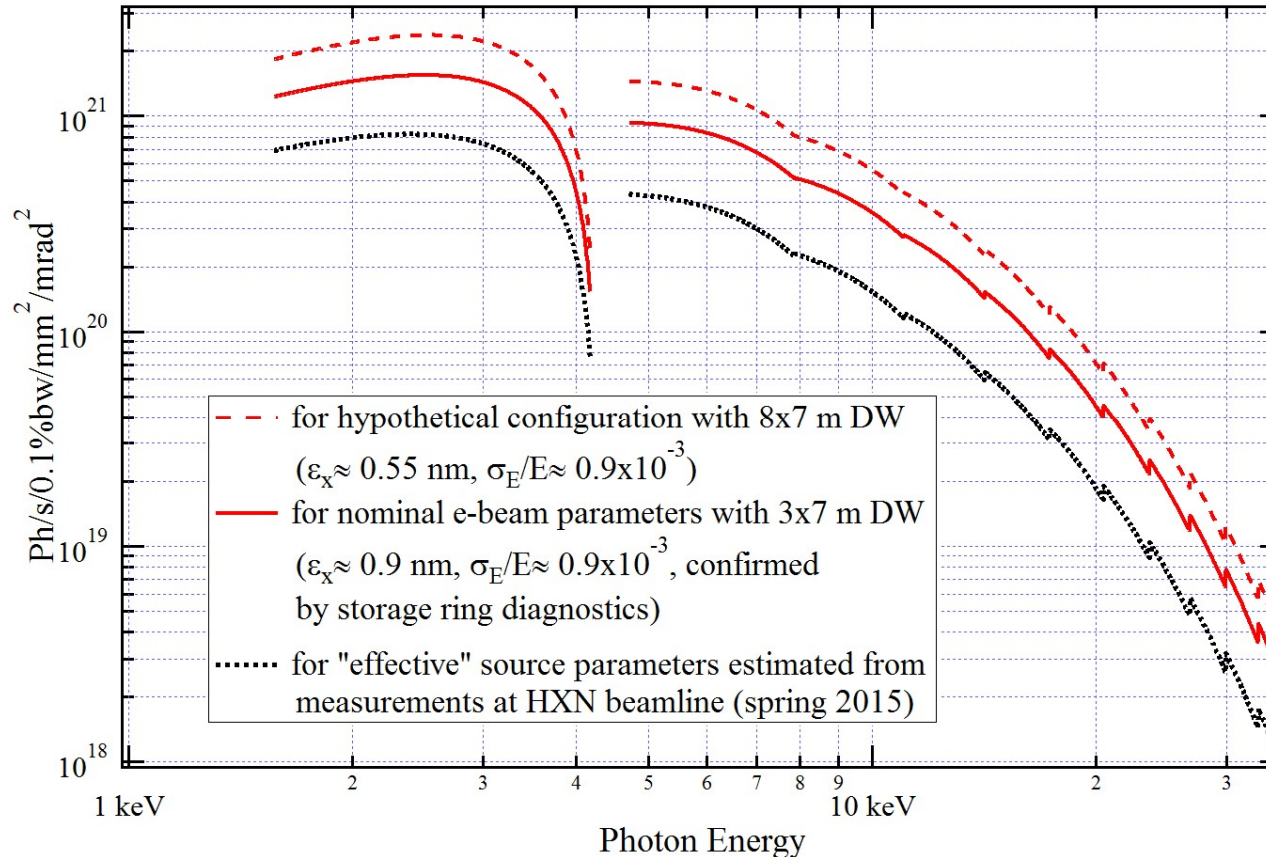
Assumption of error-free optical elements



$\epsilon_y \approx 30 \text{ pm}$   
 $\sigma_{ey} \approx 5.9 \mu\text{m}$

# NSLS-II Brightness: Nominal and Estimated from Measurements at HXN

Approximate Spectral Brightness of IVU20 in Low-Beta Straight Section of NSLS-II



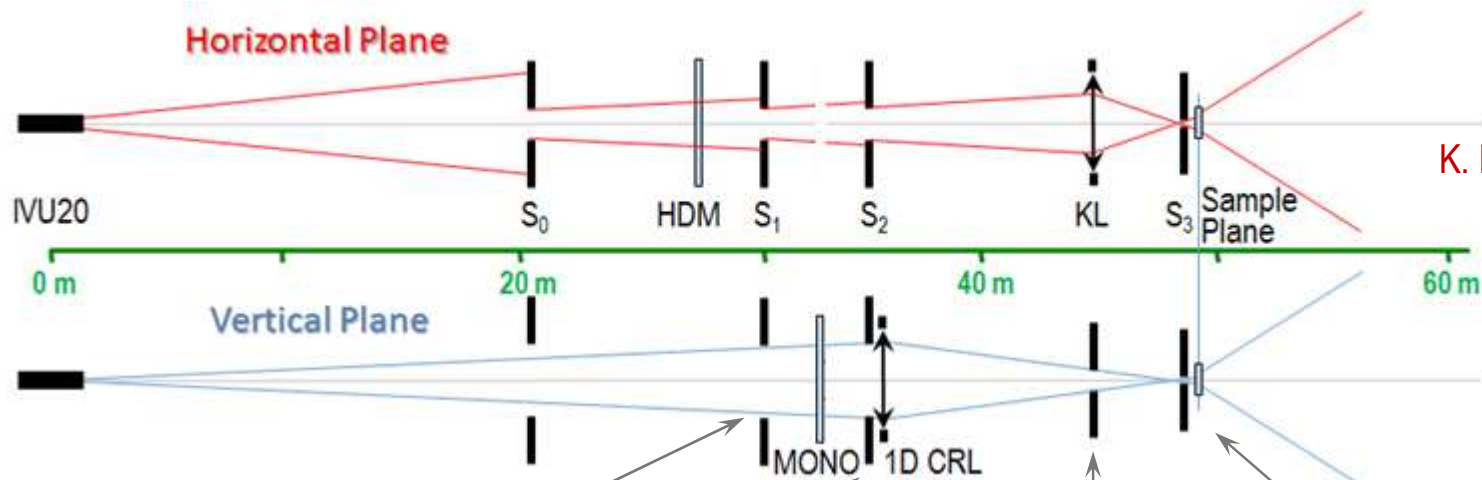
All curves are scaled for 0.5 A e-beam current.

Note: absolute values of spectral brightness may not be very accurate, however, relative "locations" of the curves are credible.

The reduction of apparent brightness "observed" at the beamline is mainly attributed to imperfections of X-ray optics (horizontally-focusing bendable mirrors, monochromator, vertically-focusing CRL).

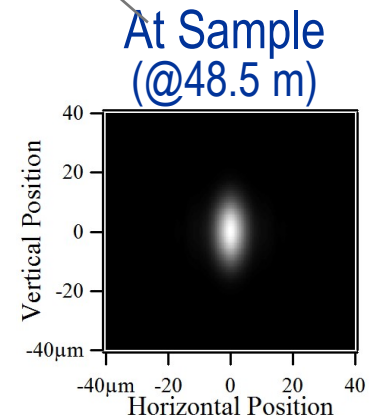
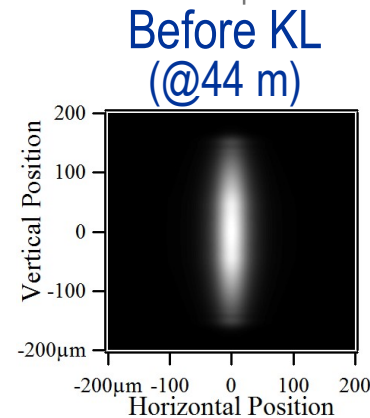
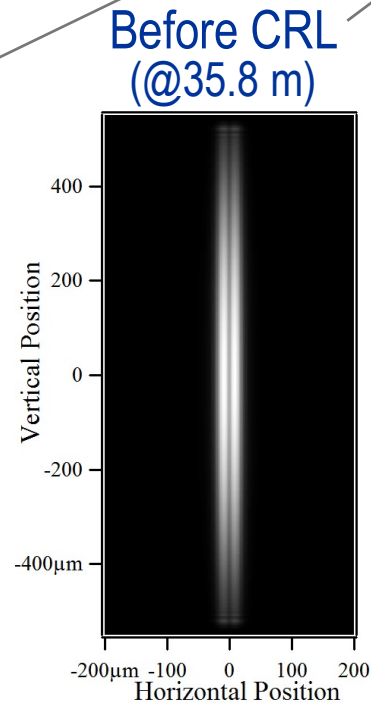
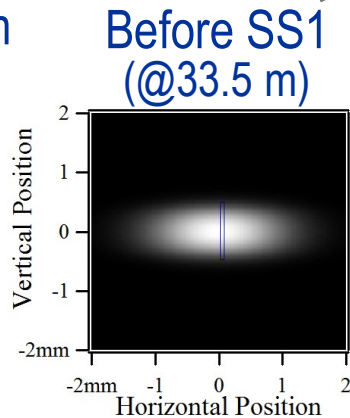
It may be possible to "restore" this apparent brightness in the future (by further fine-tuning / processing / replacing of individual beamline components, identified from simulations and dedicated measurements).

# NSLS-II Coherent Hard X-Ray (CHX) Beamline Optical Layout and Part.-Coherent Simulations



A. Fluerasu  
L. Wiegart  
K. Kaznatcheev

Intensity Distributions  
for  $E = 10 \text{ keV}$   
 $\Delta S_{1x} = 44 \mu\text{m}$   
 $\Delta S_{1y} = 1 \text{ mm}$

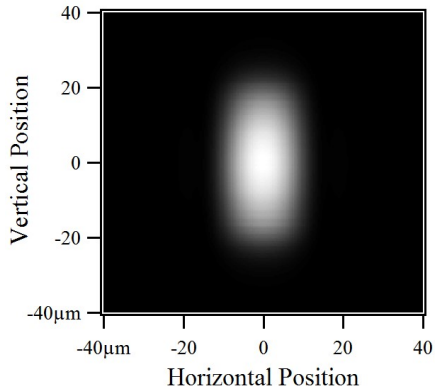


Flux:  $10^{13} \text{ ph/s/.1\%bw}$



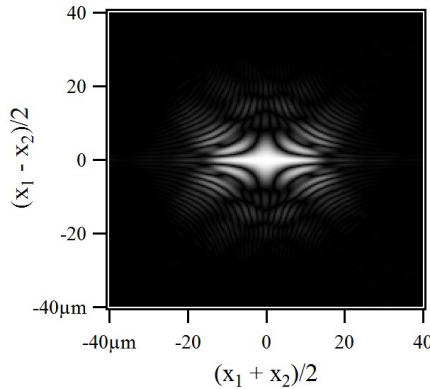
# Tracking Intensity and Degree of Transverse Coherence at CHX Sample

Intensity Distribution

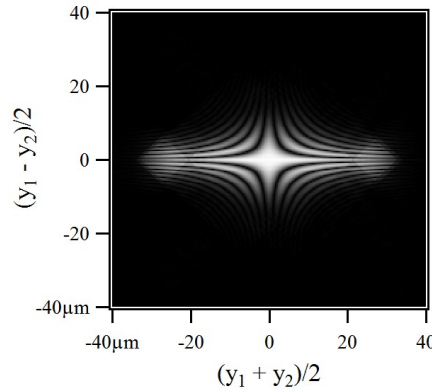


Degree of Transverse Coherence

In Horizontal Mid-Plane



In Vertical Mid-Plane

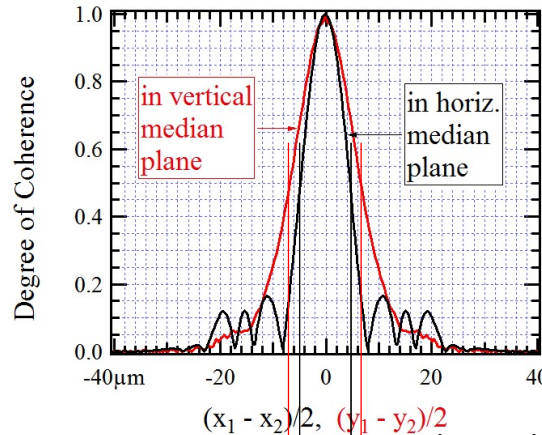
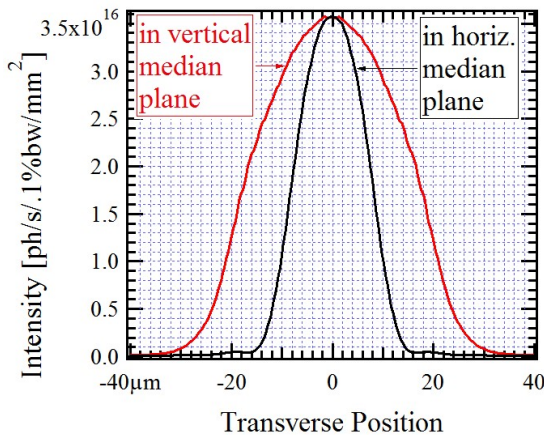
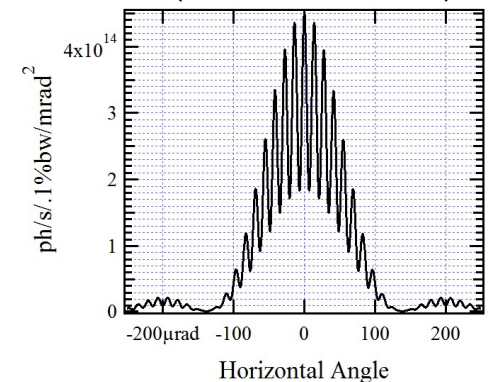


$$\mu(\mathbf{r}_1, \mathbf{r}_2, \omega) = |M(\mathbf{r}_1, \mathbf{r}_2, \omega)| / [M(\mathbf{r}_1, \mathbf{r}_1, \omega)M(\mathbf{r}_2, \mathbf{r}_2, \omega)]^{1/2}$$

$$M(\mathbf{r}_1, \mathbf{r}_2, \omega) \sim \langle \mathbf{E}_\perp(\mathbf{r}_1, \omega) \mathbf{E}_\perp^*(\mathbf{r}_2, \omega) \rangle$$

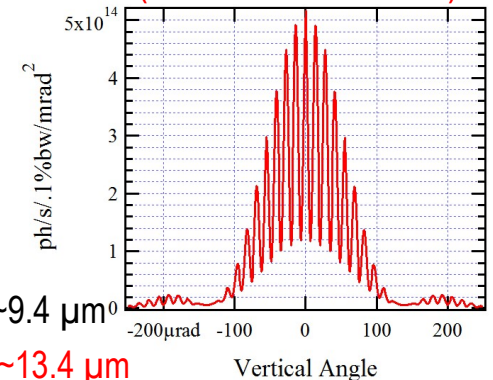
Angular Intensity (far field)

after Two Slits separated by 10  $\mu\text{m}$   
In Horizontal Plane (after vertical slits)



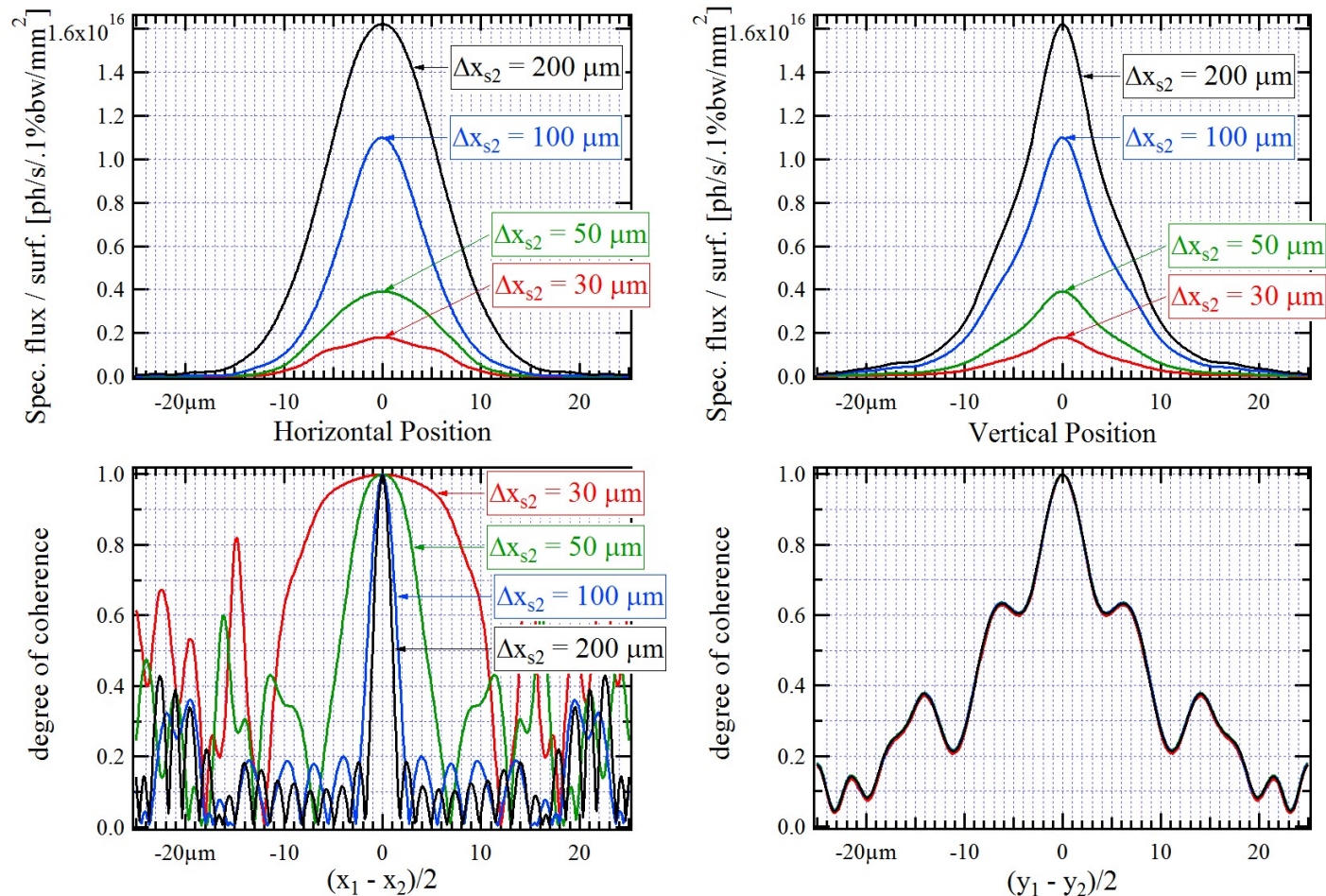
$(x_1 - x_2)/2$ ,  $(y_1 - y_2)/2$   
 hor. coherence length:  $\sim 9.4 \mu\text{m}$   
 vert. coherence length:  $\sim 13.4 \mu\text{m}$

In Vertical Plane (after horizontal slits)





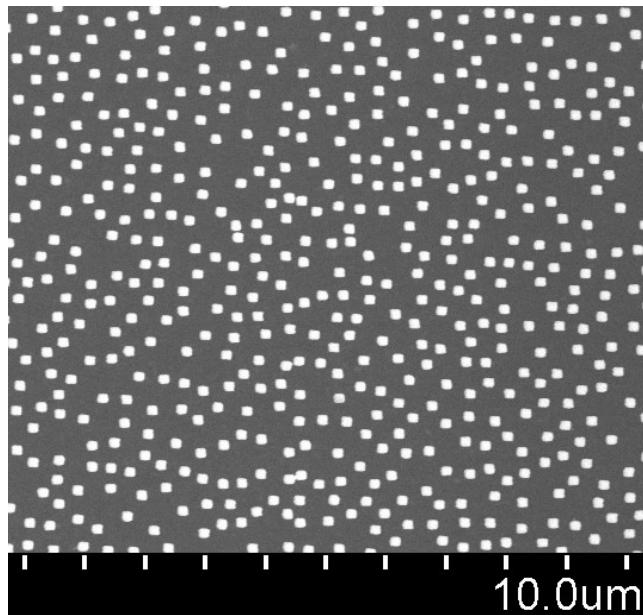
# Simulation of Coherent Scattering Experiments (CHX): Horizontal and Vertical Mid-Plane Cuts of Intensity Distributions and Degree of Coherence at Sample



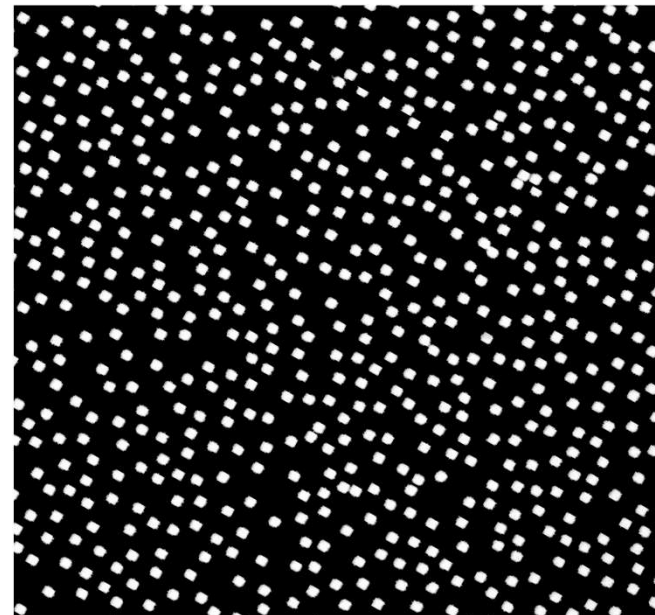
FWHM size of central lobe of a Degree of Coherence curve gives horizontal (vertical) Coherence Length. The horizontal Coherence Length varies from  $\sim 2.1 \mu\text{m}$  (at  $\Delta x_{s2} = 200 \mu\text{m}$ ) to  $\sim 20 \mu\text{m}$  (at  $\Delta x_{s2} = 30 \mu\text{m}$ ). The horizontal FWHM (intensity) spot size is  $\sim 10 \mu\text{m}$  in all cases. The vertical coherence length is  $\sim 16 \mu\text{m}$  and spot size  $\sim 9.7 \mu\text{m}$  in all cases.

# Simulation of Coherent Scattering Experiments (CHX): Processing Electron Microscope Images of Samples for Automatic Conversion to SRW Transmission Obj.

Original EM Image



Processed Image



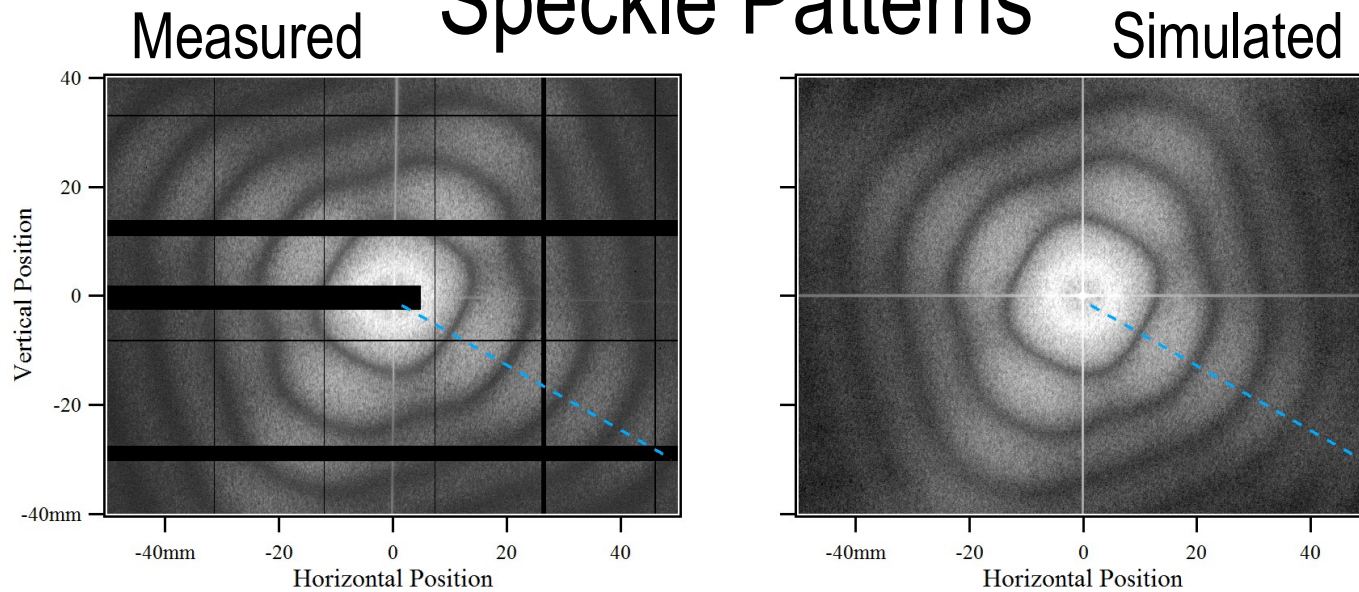
M. Rakitin

Original electron microscope image of the “random rectangular Au dots” sample fabricated at the Center of Functional Nanomaterials of BNL. The Au layer thickness is ~50 nm.

Processed and rotated image was used as input for definition of a Transmission object for SRW simulation. Rotation was added to simulate sample orientation used in the actual experiment.

# Simulation of Coherent Scattering Experiments (CHX):

## Speckle Patterns



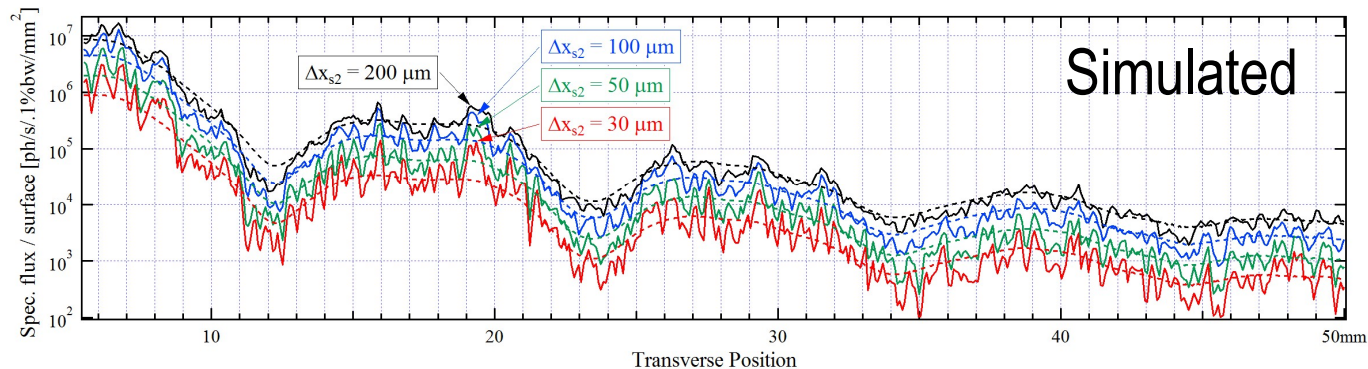
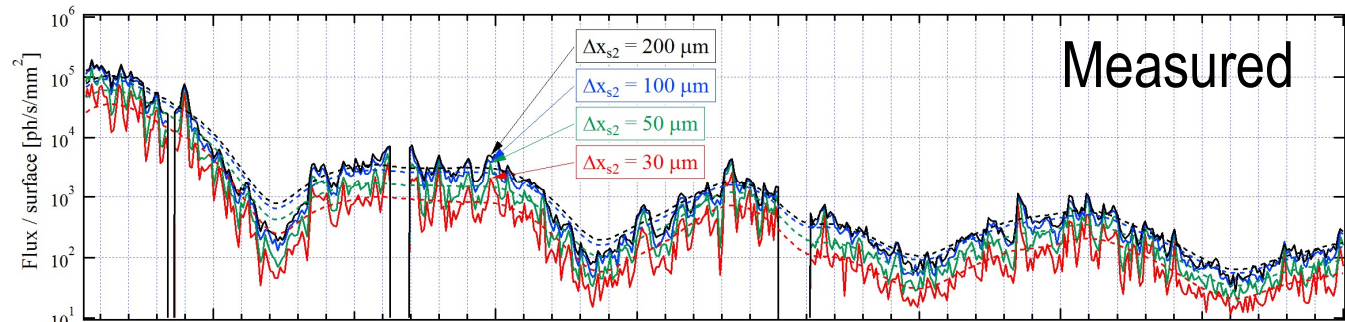
$E_{\text{ph}} = 9.65 \text{ keV}$

Hor. Slit Sizes:

$\Delta x_{S2} = 50 \text{ }\mu\text{m}$

$\Delta x_{\text{KL}} = 60 \text{ }\mu\text{m}$

Intensity  
along  
dashed  
lines:



Measurements were done using EIGER X 4M detector (2070x 2167 pixels of 75  $\mu\text{m}$  size) located at  $\sim 16 \text{ m}$  from sample.

A. Fluerasu  
L. Wiegart  
M. Rakin



# Summary

- **High-accuracy calculations** in the areas of **magnet design**, **synchrotron emission** and **propagation** through beamline optics, and **interaction of the radiation with samples** in some experiments, **can be done** for current and future light sources, using the **existing computer codes**.
- These calculations facilitate a **balanced, well-matched approach to development of new light sources**, which brings insertion devices, X-ray optics, and experimental setups in agreement with properties of the emitting electron beam.

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