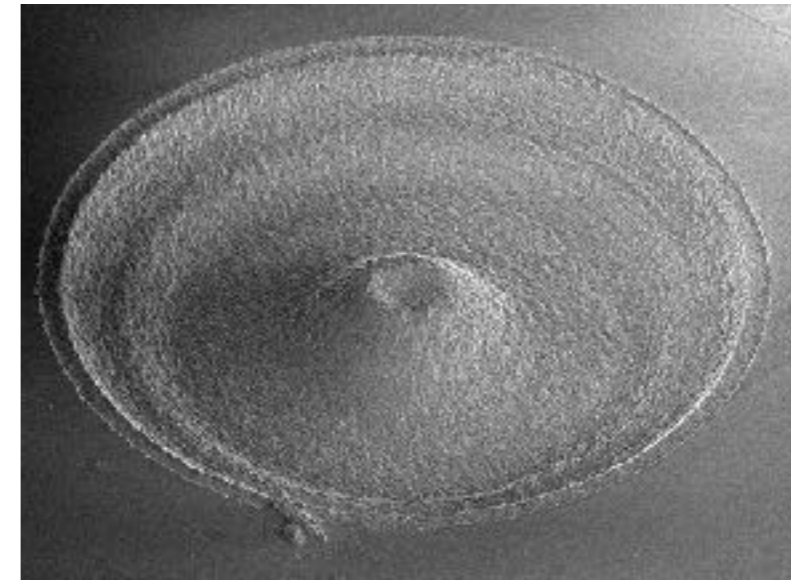


Diffraction-Limited Nano-Focusing with Refractive X-Ray Optics

PHANGS-Workshop 2017



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OPEN

Perfect X-ray focusing via fitting corrective glasses to aberrated optics

Frank Seiboth^{1,†}, Andreas Schropp², Maria Scholz², Felix Wittwer^{1,2}, Christian Rödel^{3,4}, Martin Wünsche³, Tobias Ullsperger⁵, Stefan Nolte⁵, Jussi Rahomäki⁶, Karolis Parfeniukas⁶, Stylianos Giakoumidis⁶, Ulrich Vogt⁶, Ulrich Wagner⁷, Christoph Rau⁷, Ulrike Boesenberg², Jan Garrevoet², Gerald Falkenberg², Eric C. Galtier⁴, Hae Ja Lee⁴, Bob Nagler⁴ & Christian G. Schroer^{2,8}

X-Ray Nanoscience and X-Ray Optics

Prof. Dr. Christian G. Schroer (DESY and Universität Hamburg)

Dr. Gerald Falkenberg (DESY - P06 beamline responsible)



S. Alizadehfanaloo, S. Botta, D. Brückner, J. Bulda, R. Döhrmann, J. Garrevoet, L. Grote, R. Hoppe, M. Kahnt, H. Lindemann, M. Lyubomirskiy, M. Scholz, **A. Schropp**, W. Schröder, **F. Seiboth**, M. Seyrich, K. Spiers, F. Wittwer, X. Yang, Y. Zhang

Scanning coherent X-ray microscopy, using fluorescence (XRF), diffraction (SAXS, WAXS), absorption (XAS) and ptychographic (CXDI) contrast.

PETRA III (DESY, Hamburg)



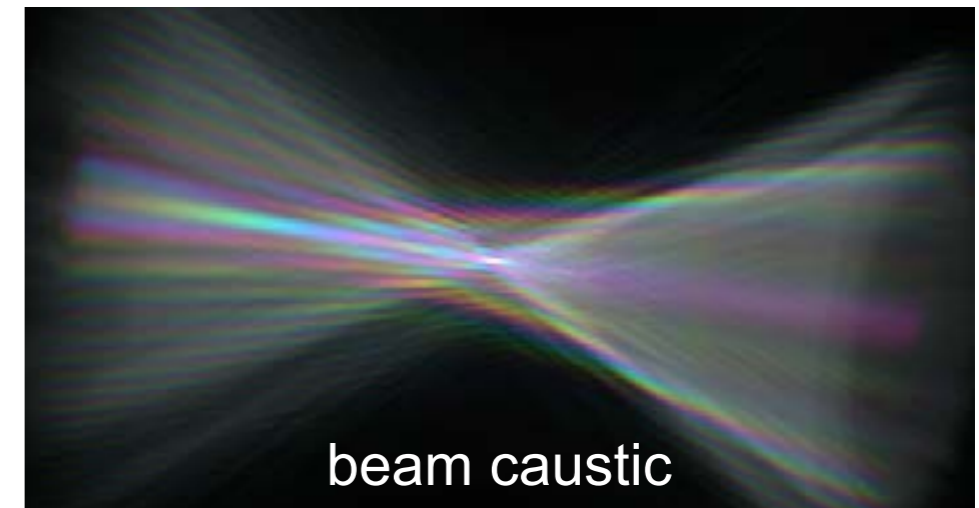
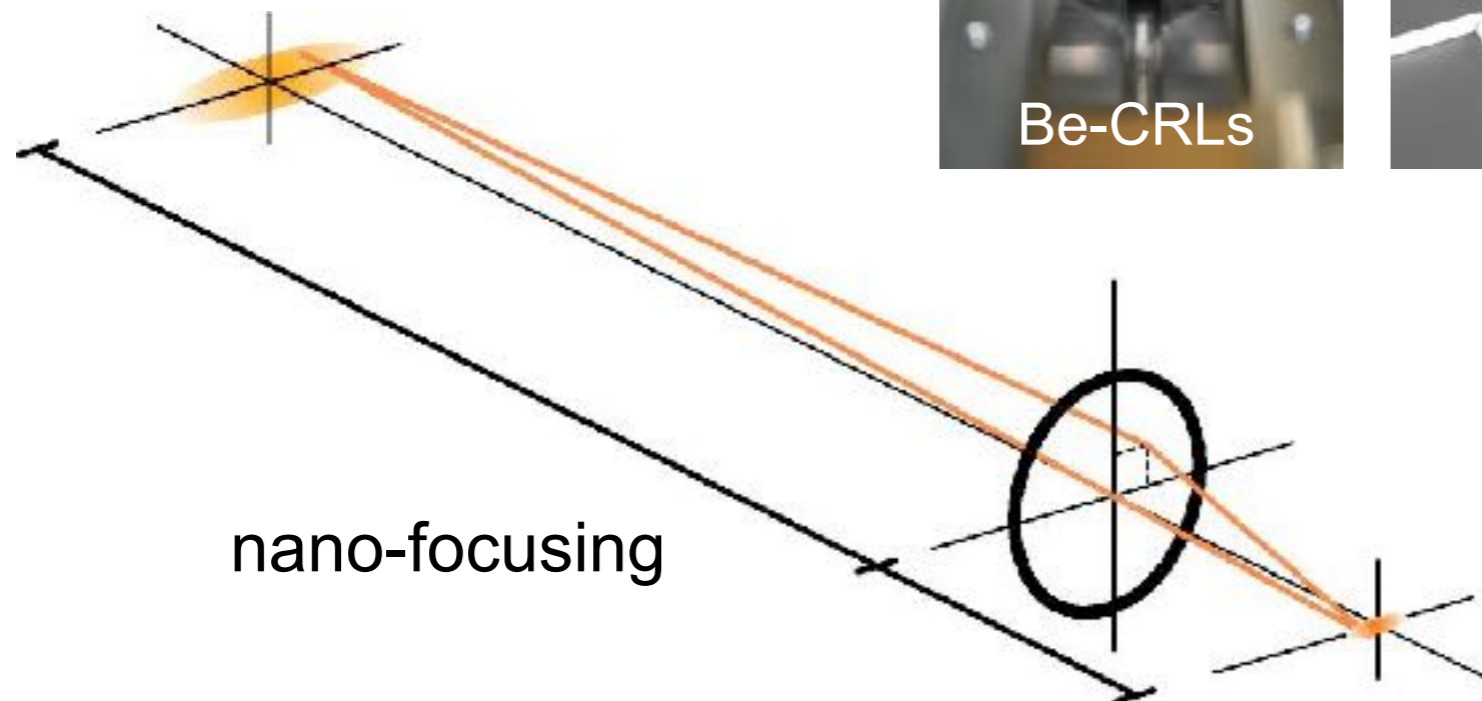
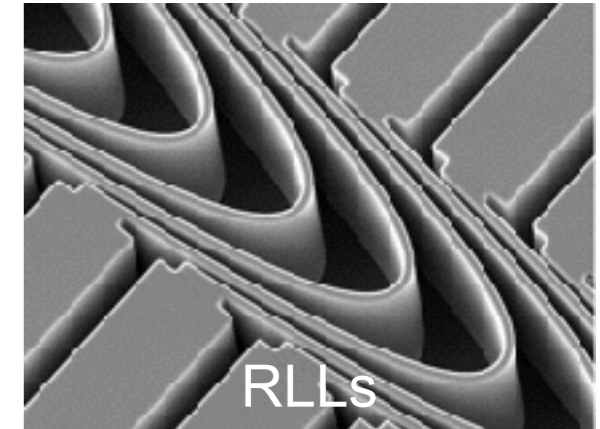
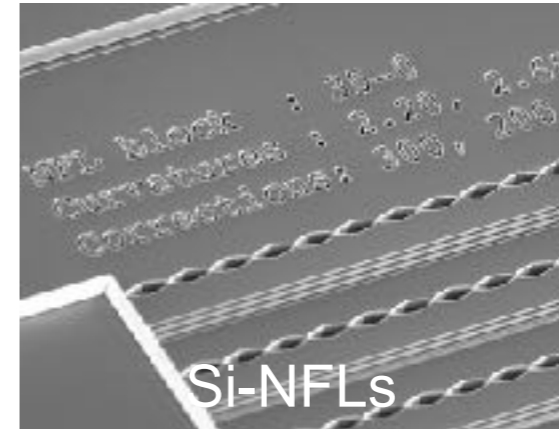
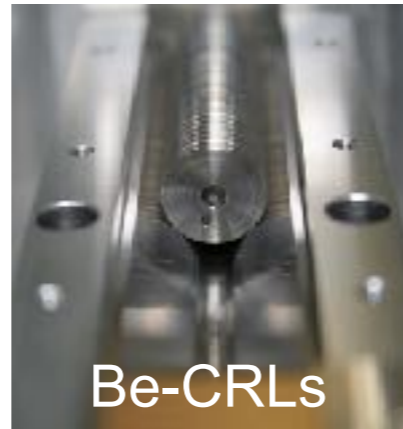
ESRF (Grenoble)



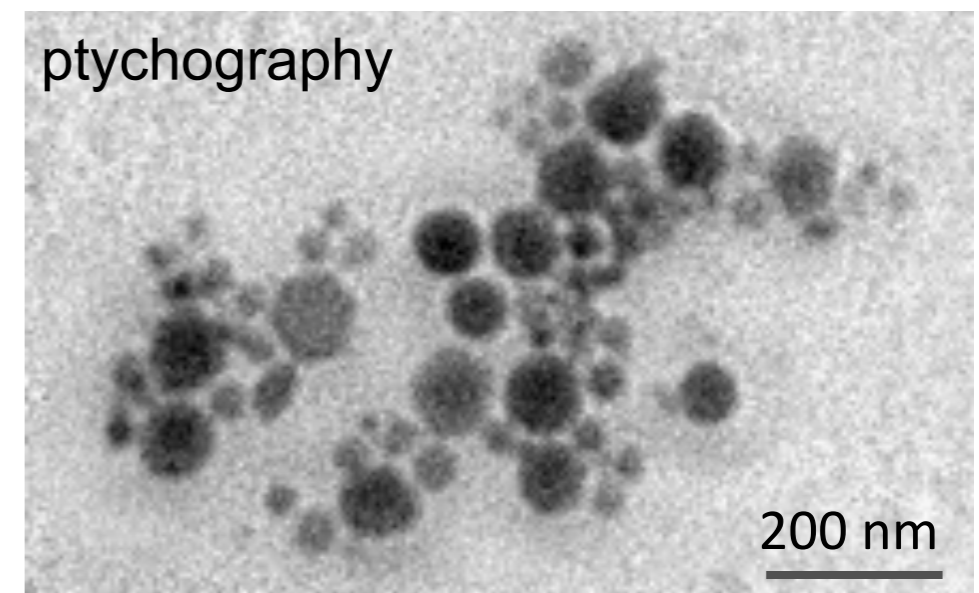
LCLS (SLAC, Menlo Park)



Overview



- > X-ray optics
- > X-ray nanobeam characterization
- > New nanoprobe setup at beamline P06: **PtyNAMi**
- > Scanning coherent X-ray microscopy (ptychography)



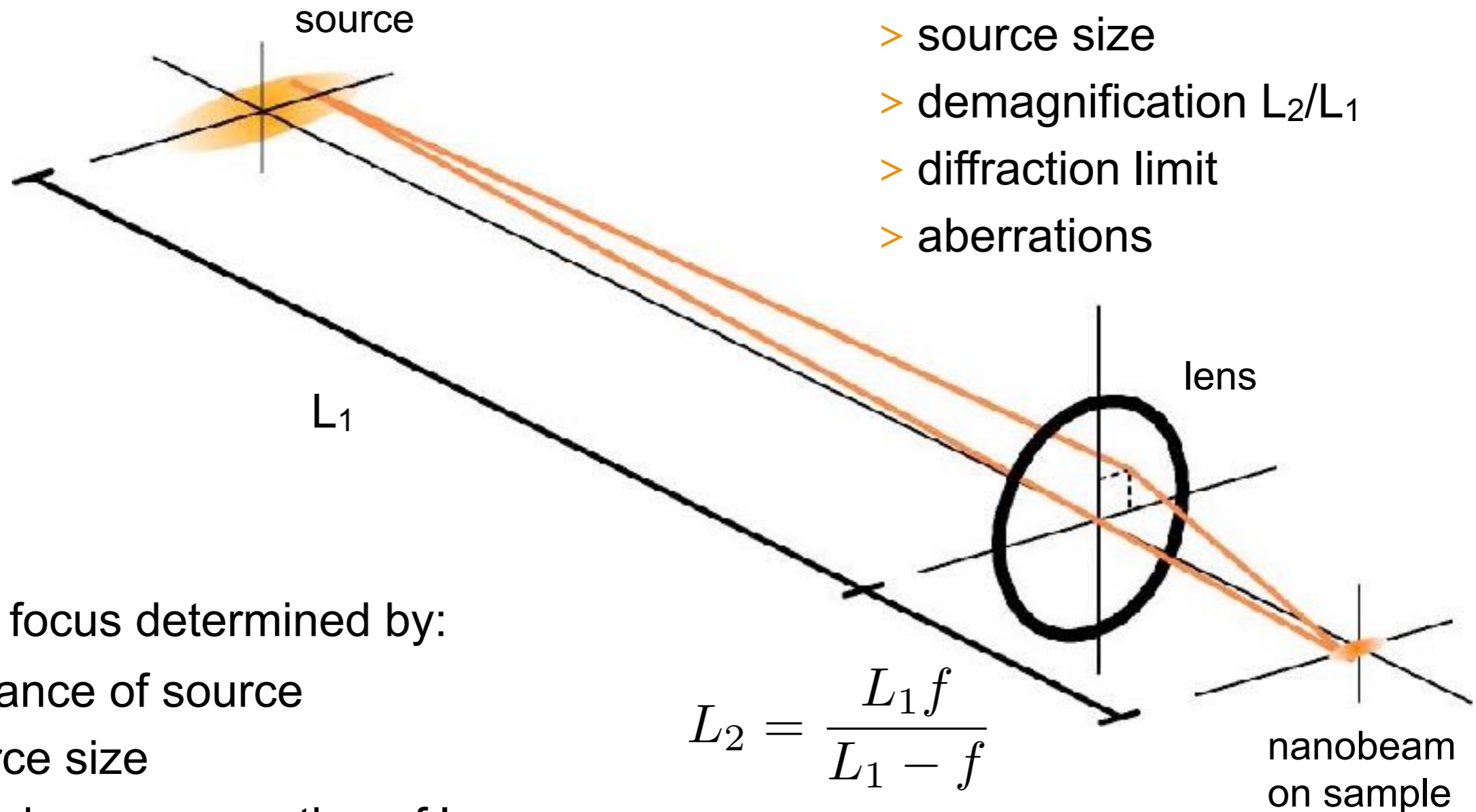
Generating Hard X-Ray Nanobeams

Focus size and shape determined by:

- > source size
- > demagnification L_2/L_1
- > diffraction limit
- > aberrations

Flux in focus determined by:

- > brilliance of source
- > source size
- > focusing cross section of lens



$$L_2 = \frac{L_1 f}{L_1 - f}$$

X-Ray Optics

external total reflection

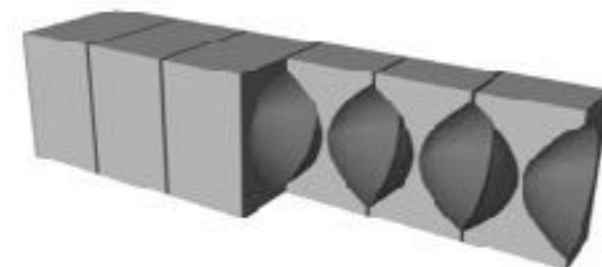
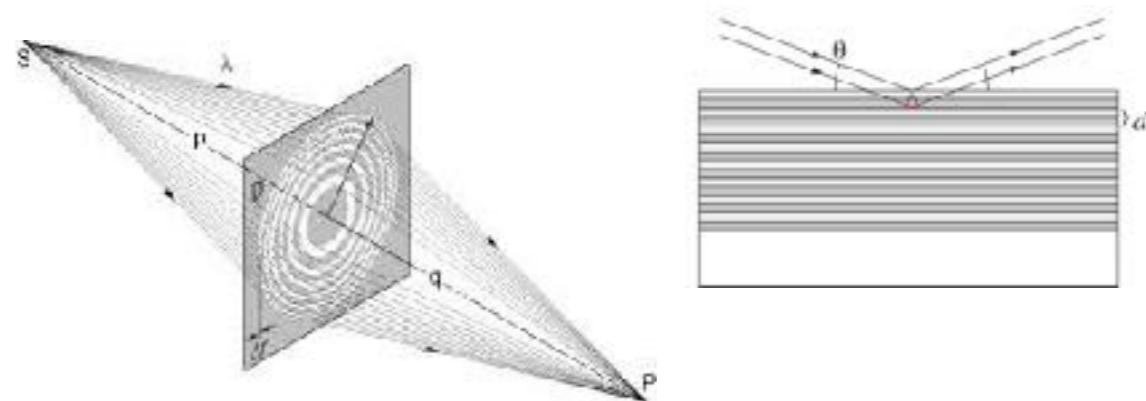
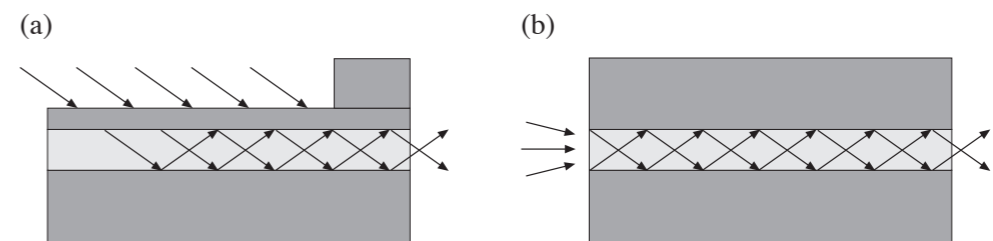
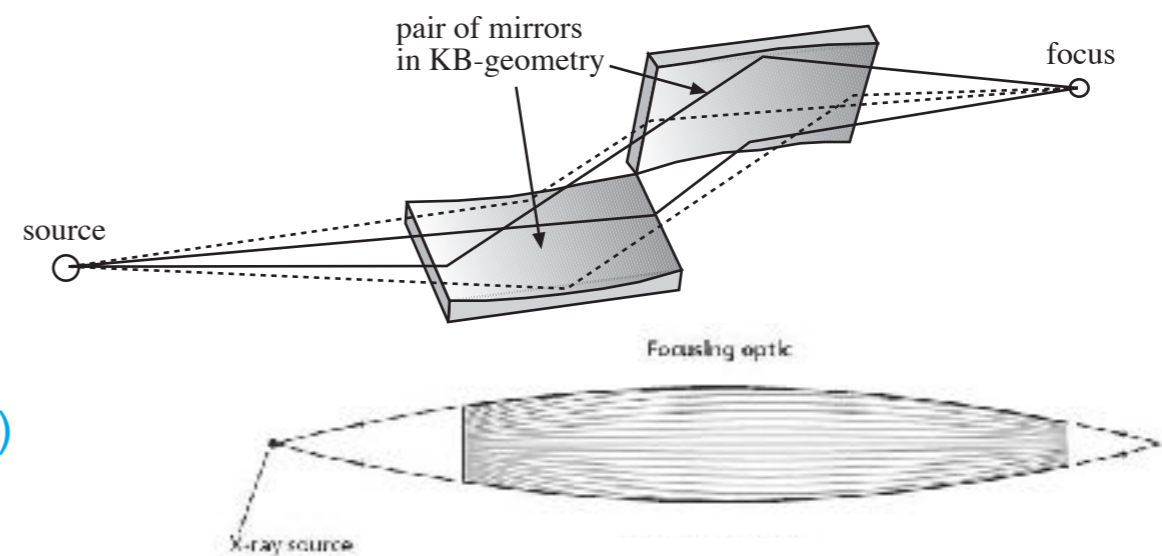
- > mirrors (25 nm)
[H. Mimura, et al., APL 90, 051903 \(2007\)](#)
- > capillaries
- > waveguides (≈ 10 nm)
[S. P. Krüger, et al., J. Synchrotron Rad. 19, 227 \(2012\)](#)

diffraction

- > Fresnel zone plate (< 10 nm)
[J. Vila-Comamala, et al., Ultramic. 109, 1360 \(2009\)](#)
- > multilayer mirror (7 nm)
[H. Mimura, et al., Nat. Phys. 6, 122 \(2010\)](#)
- > multilayer Laue lenses (8 nm)
[A. Morgan, et al., Sci. Rep. 5, 09892 \(2015\)](#)
- > bent crystal

refraction

- > refractive lenses (43 nm, 18 nm)
[C. G. Schroer, et al., AIP Conf. Ser. 1365, 227 \(2011\)](#)
[J. Patommel, et al., APL 110, 101103 \(2017\)](#)

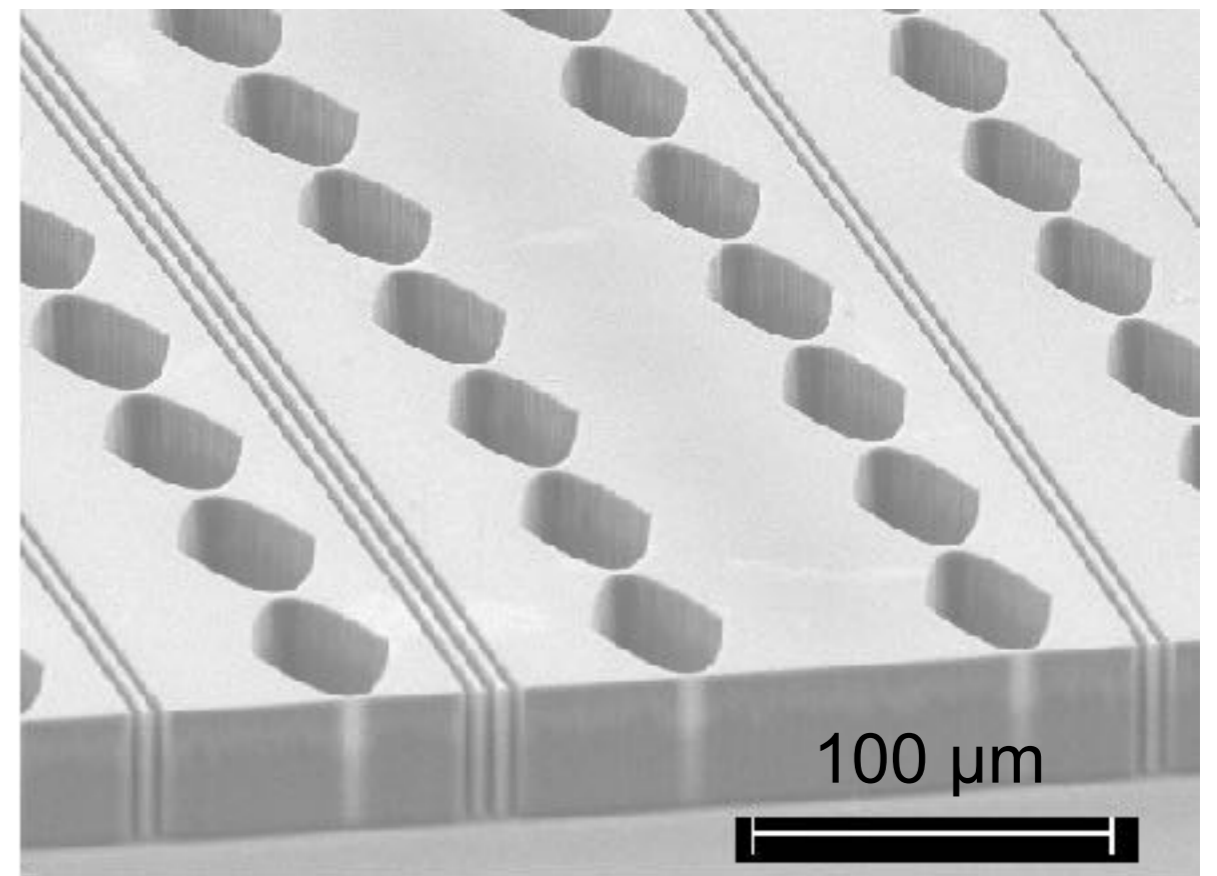
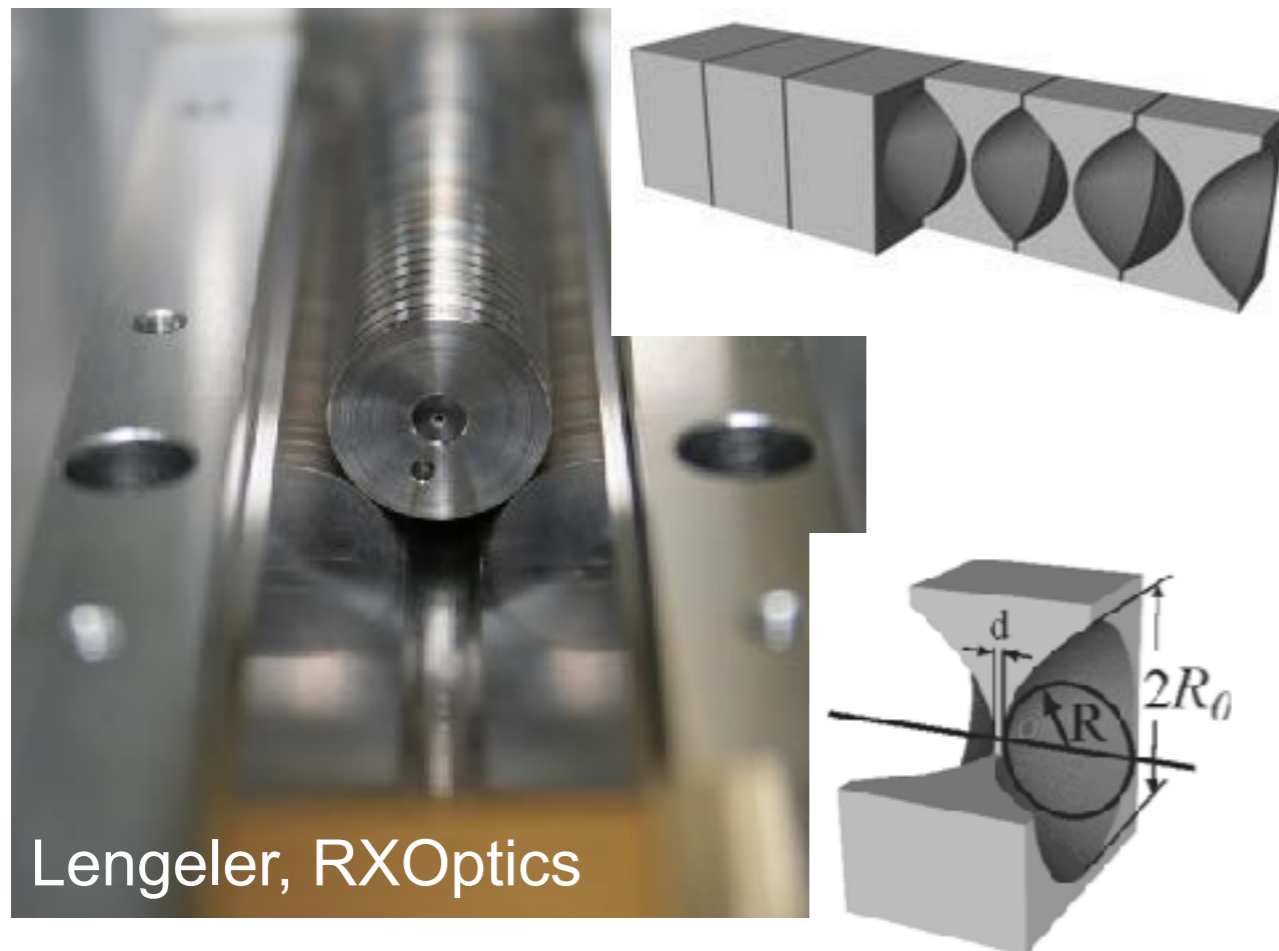


Beryllium Compound Refractive X-Ray Lenses

- > first realized in 1996 (Snigirev, *et al.*)
- > various new developments exist today
- > applied in full-field imaging and scanning microscopy
- > most important to achieve optimal performance: **parabolic lens shape**

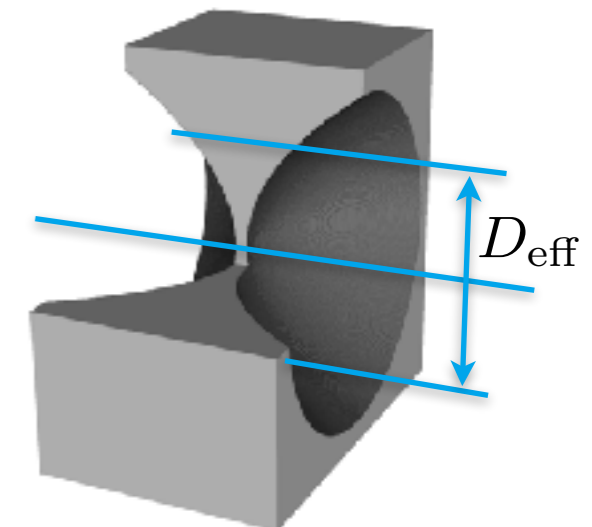
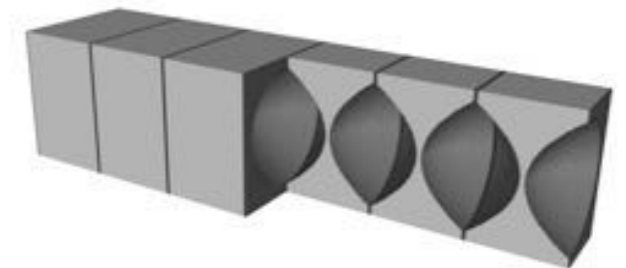
Beryllium compound refractive lenses (Be-CRLs)

Silicon-nanofocusing lenses (NFLs)



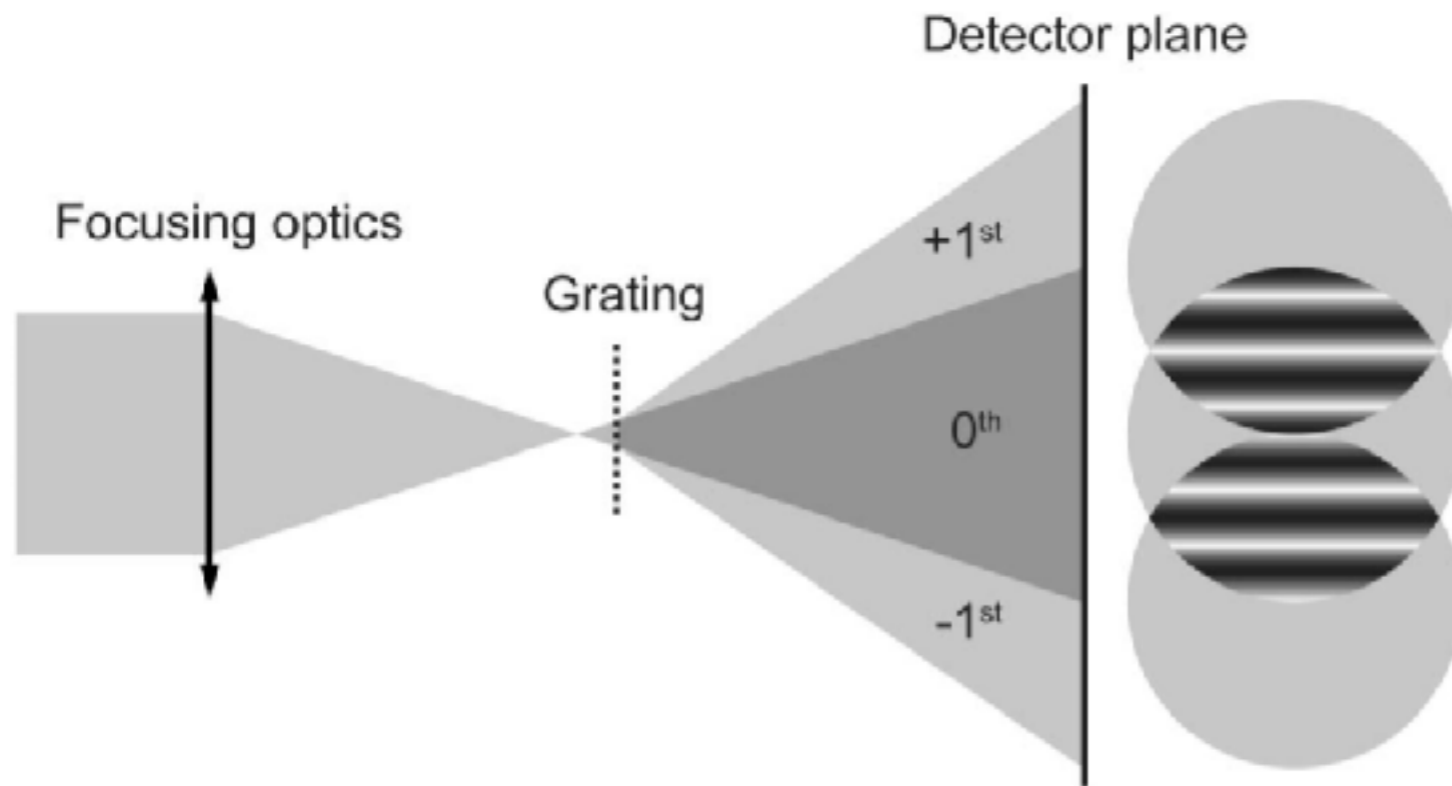
Be CRLs — Parameters

E [keV]	f [mm]	N	NA [mrad]	d_t [nm]	wd [mm]	T_p [%]	gain
	100	52	0.91	64	71	15	$3.1 \cdot 10^6$
8.0	200	24	0.58	100	187	35	$2.9 \cdot 10^6$
	300	16	0.42	137	288	47	$2.1 \cdot 10^6$
	400	12	0.33	174	388	56	$1.5 \cdot 10^6$
	200	56	0.59	65	168	37	$6.4 \cdot 10^6$
12.0	300	36	0.43	91	281	51	$4.5 \cdot 10^6$
	400	27	0.34	116	383	59	$3.2 \cdot 10^6$
	200	138	0.55	48	119	27	$7.8 \cdot 10^6$
18.0	300	84	0.41	63	252	42	$6.3 \cdot 10^6$
	400	61	0.32	80	368	52	$4.7 \cdot 10^6$
	300	173	0.37	51	202	28	$5.4 \cdot 10^6$
25.0	400	122	0.30	62	331	38	$4.6 \cdot 10^6$
	500	95	0.25	75	449	46	$3.7 \cdot 10^6$



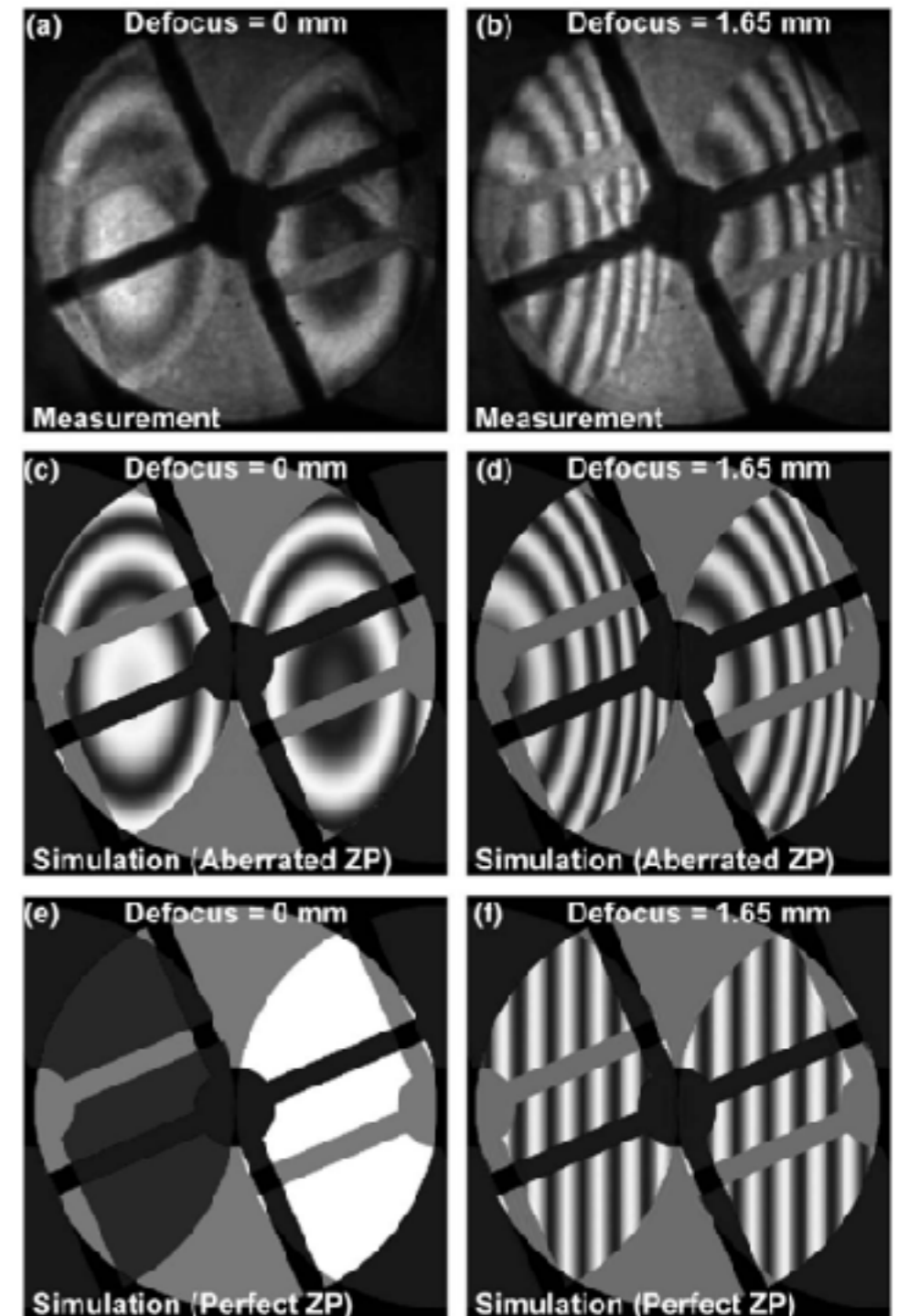
diffraction limited focal focus with a size of about 100nm (FWHM)

Nanobeam Characterization by Ronchi-Interferometry

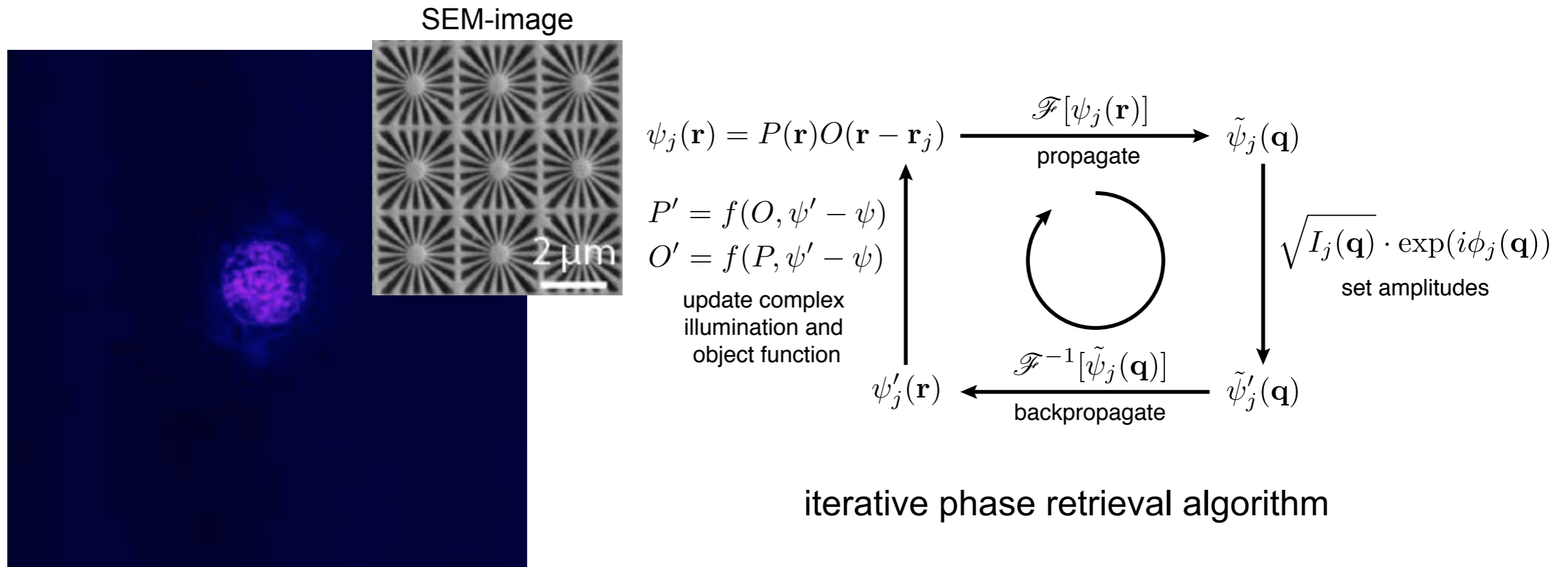


V. Ronchi, "Forty Years of History of a Grating Interferometer", Applied Optics 3, 437 (1964)

D. Nilsson, et al., "Ronchi test for characterization of nanofocusing optics at a hard x-ray free-electron laser", Optics Letters 37, 5046 (2012)



Nanobeam Characterization by Ptychography

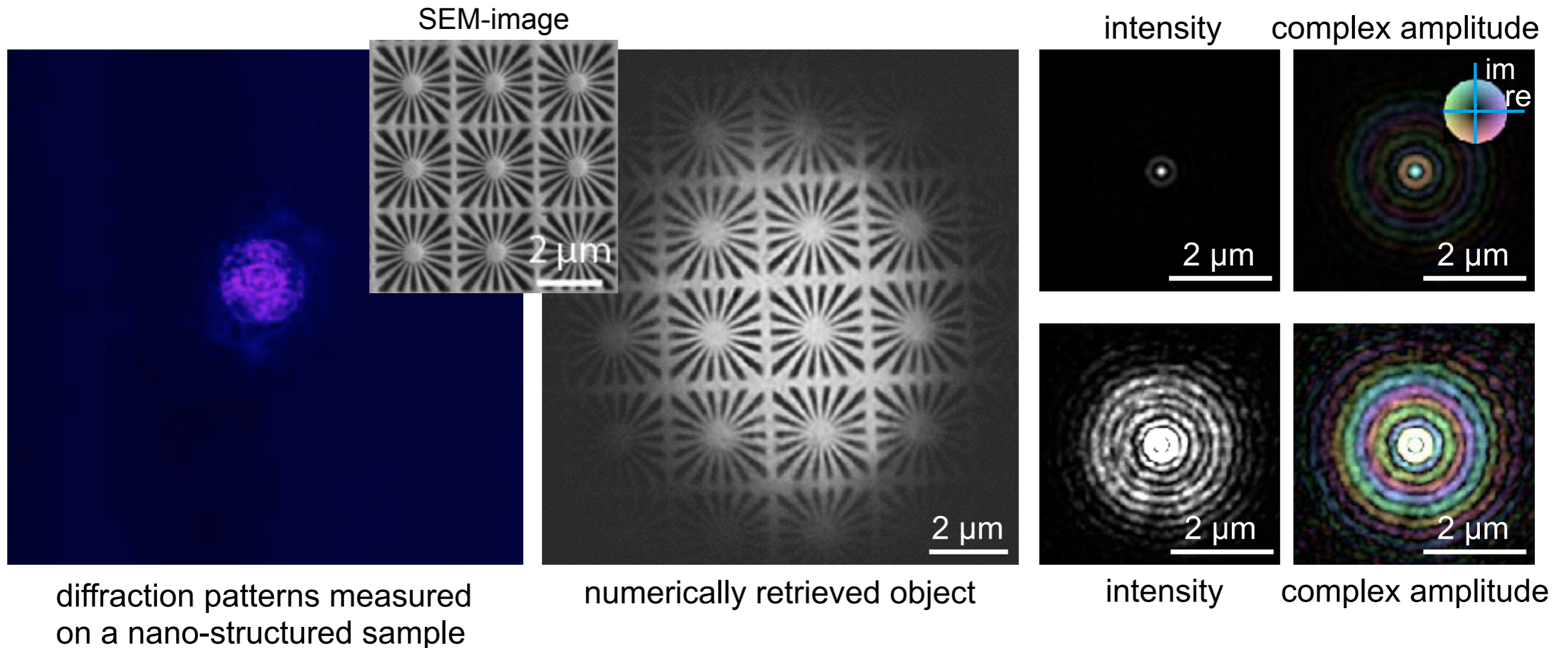


diffraction patterns measured on a nano-structured sample

- > 125 nm (FWHM) central peak
- > spherical aberration present, producing a series of side maxima
- > important information required to improve the optics

Schropp, A. et al., Full spatial characterization of a nanofocused x-ray free-electron laser beam by ptychographic imaging, Sci. Rep. 3, 1633 (2013)

Nanobeam Characterization by Ptychography



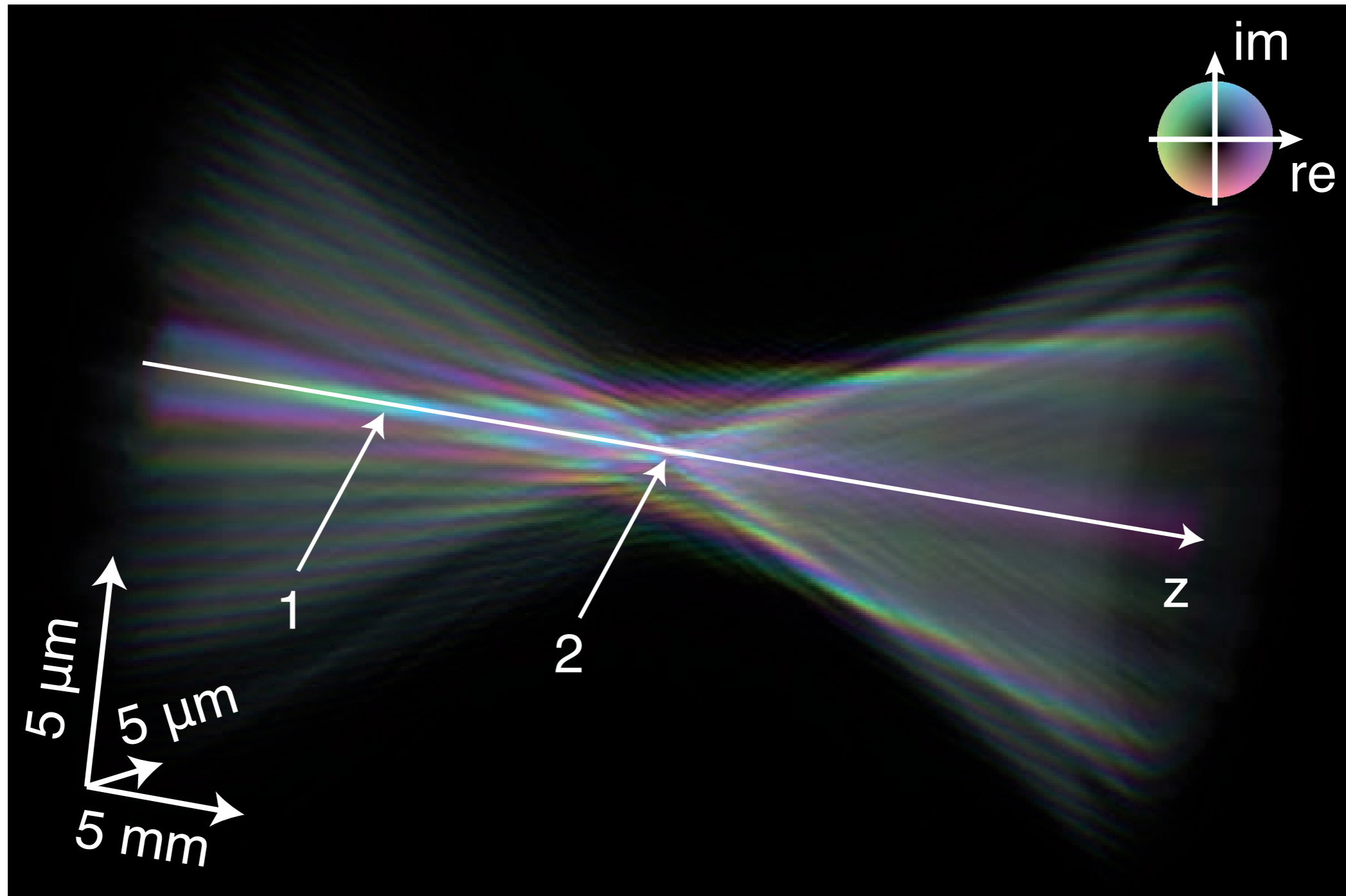
- > 125 nm (FWHM) central peak
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Schropp, A. et al., Full spatial characterization of a nanofocused x-ray free-electron laser beam by ptychographic imaging, *Sci. Rep.* 3, 1633 (2013)

Nano-Focused Beamprofile

Paul-Peter-Ewald Fellowship (Volkswagenstiftung):

“Focusing X-ray free-electron laser beams for imaging and creating extreme conditions in matter”



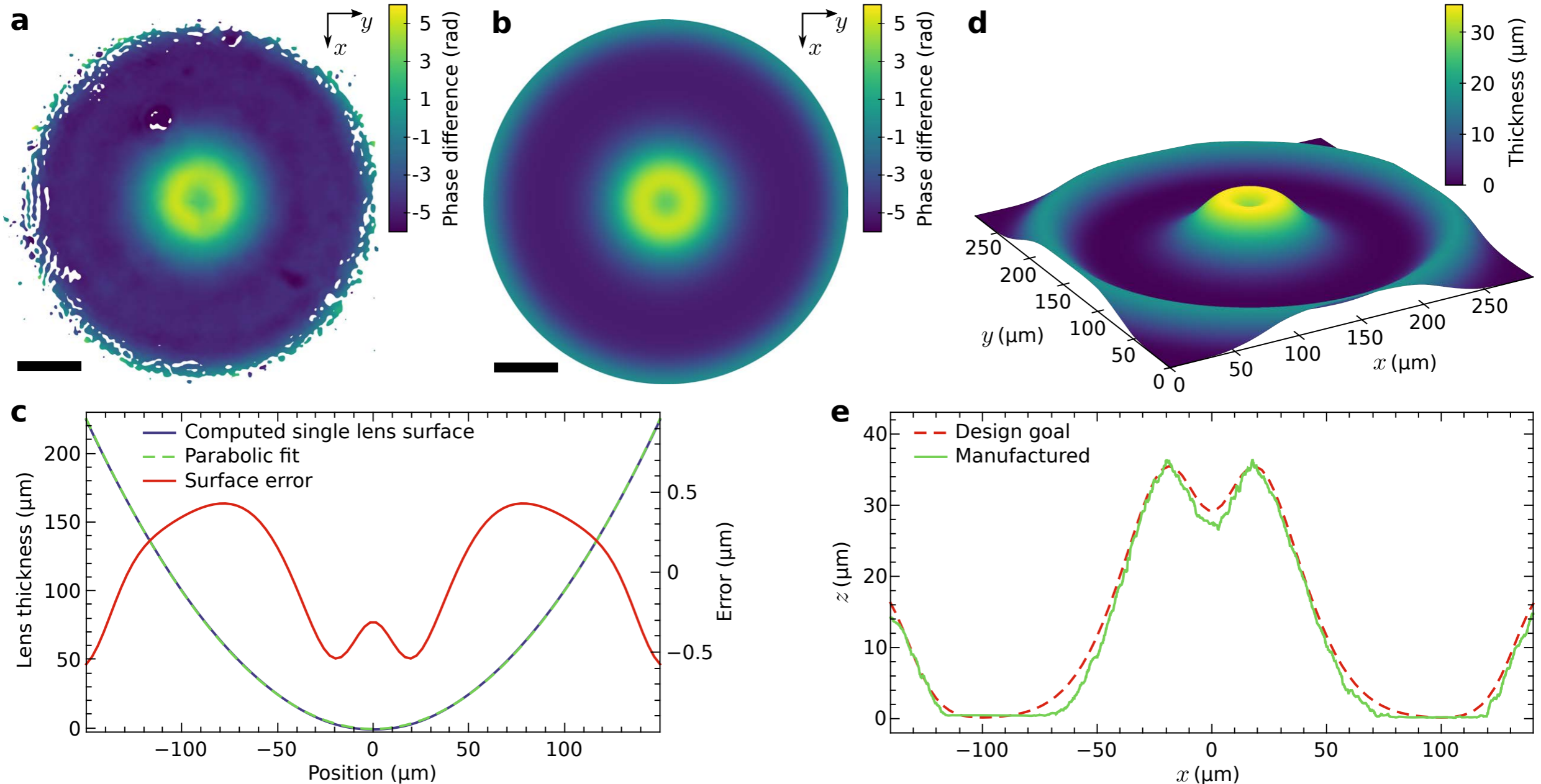
A. Schropp, *et al.*, *Sci. Rep.* **3**, 1633 (2013).

Determination of Lens Shape and Errors

measured phase error

modelled phase error

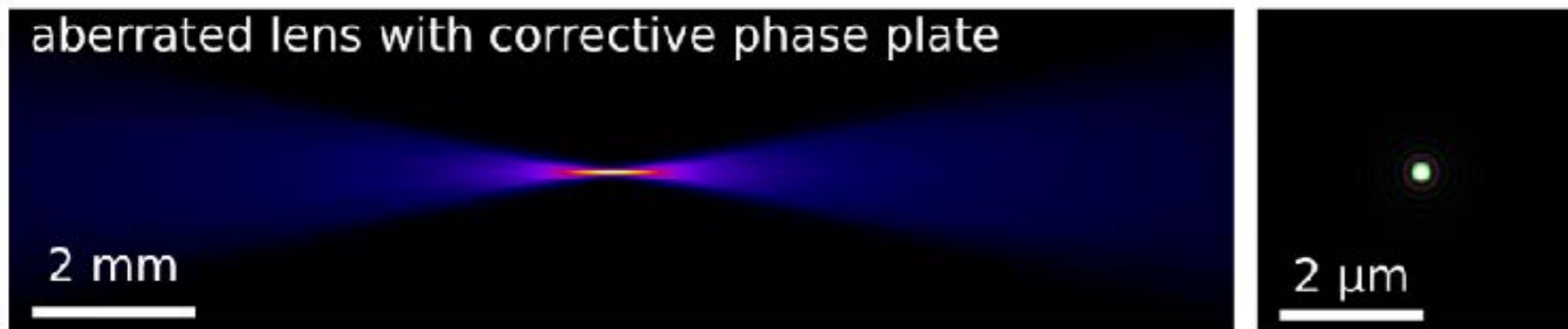
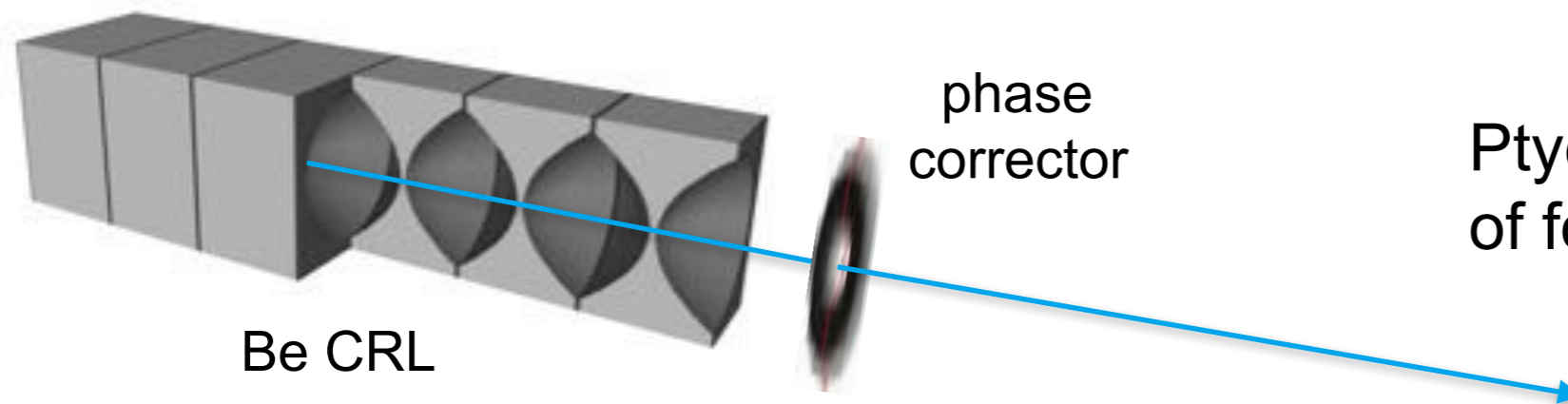
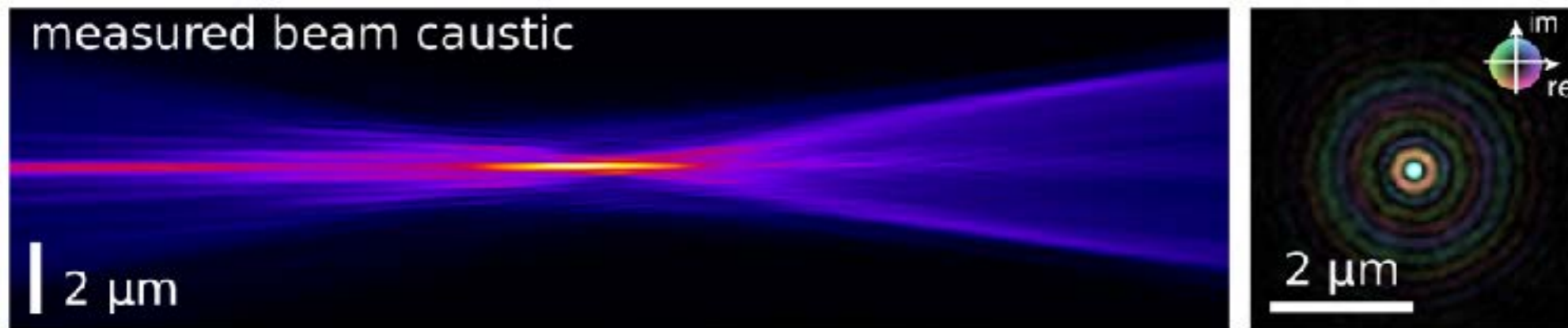
modelled phase plate



- > Shape errors of single Be-CRLs are smaller than 500 nm! Very challenging to improve!
- > Phase plate for whole stack of lenses is easier to fabricate.

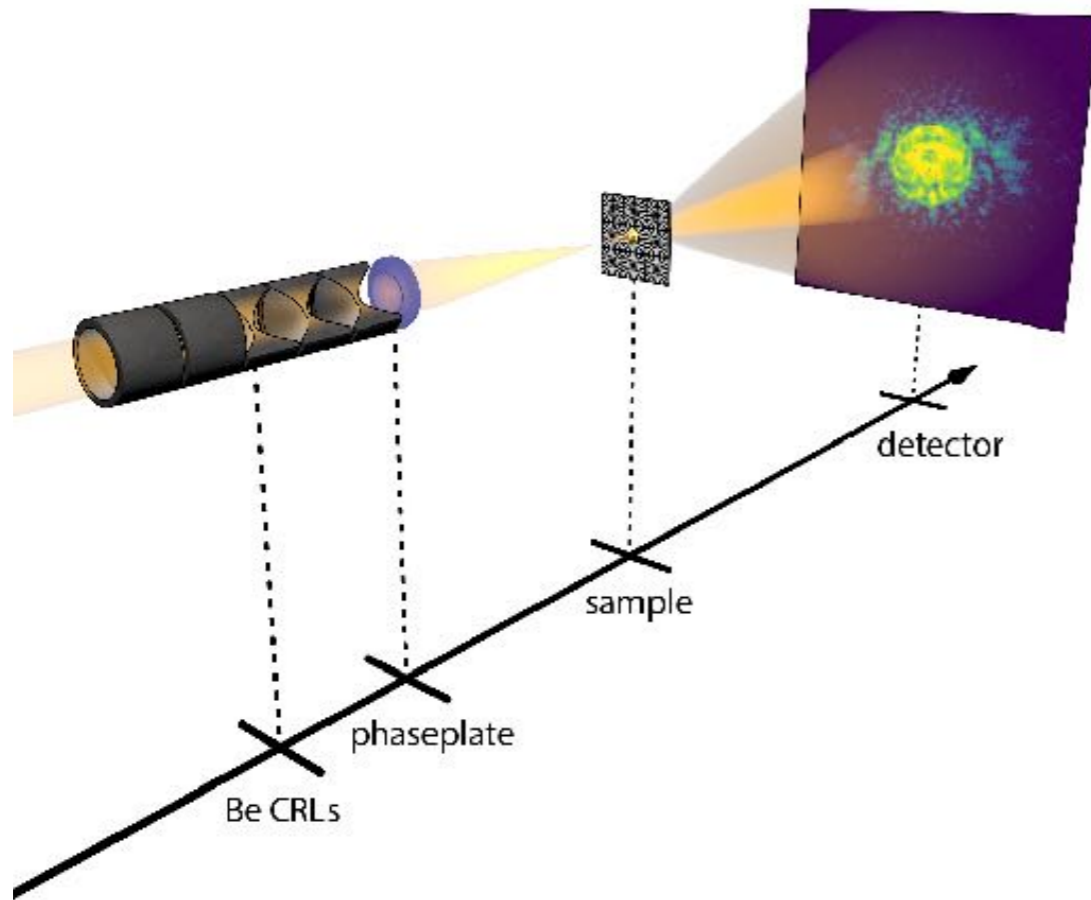
F. Seiboth, *et al.*, *Nat. Commun.* **8**, 14623 (2017).

Experimental Verification



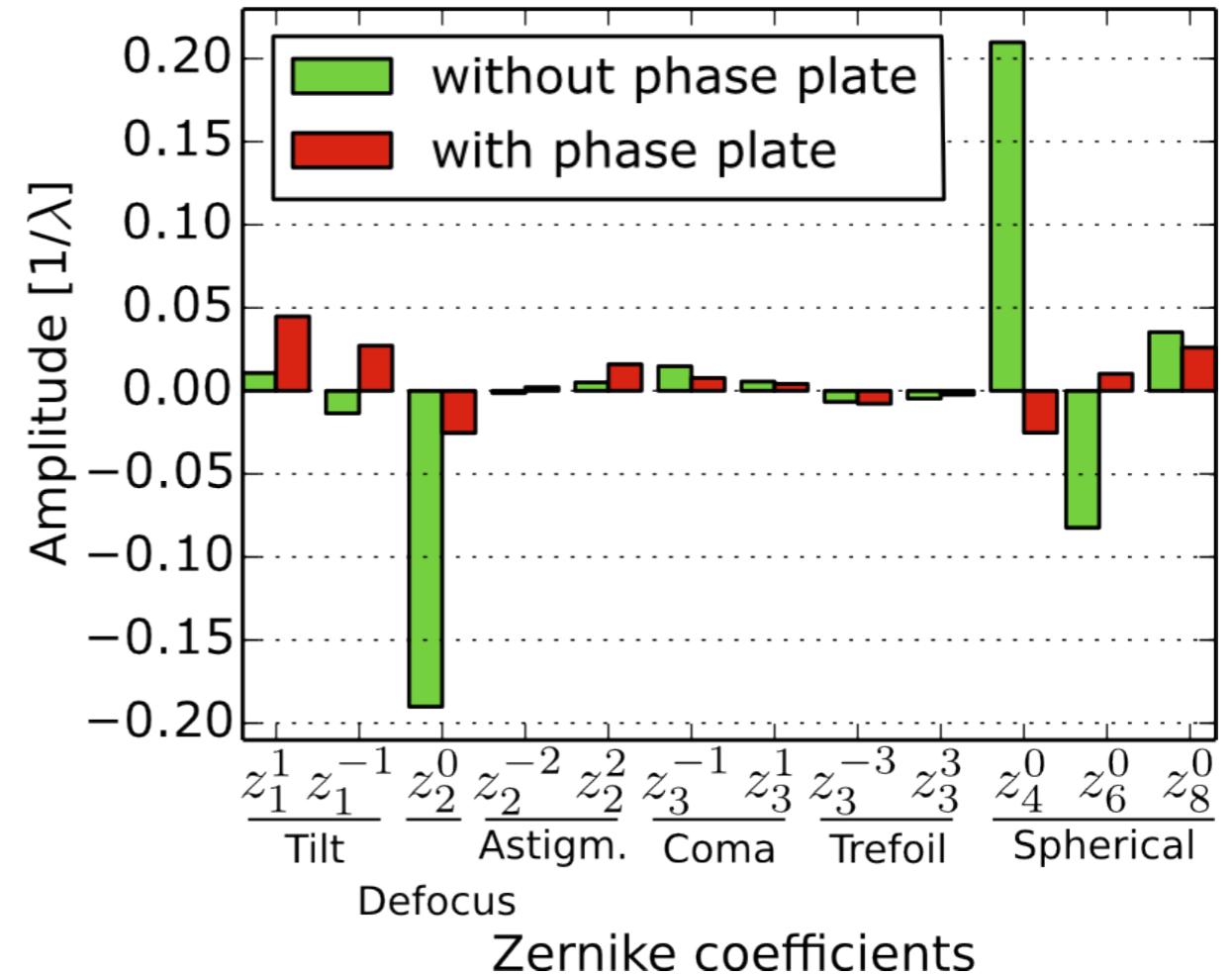
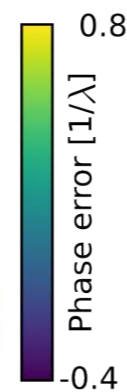
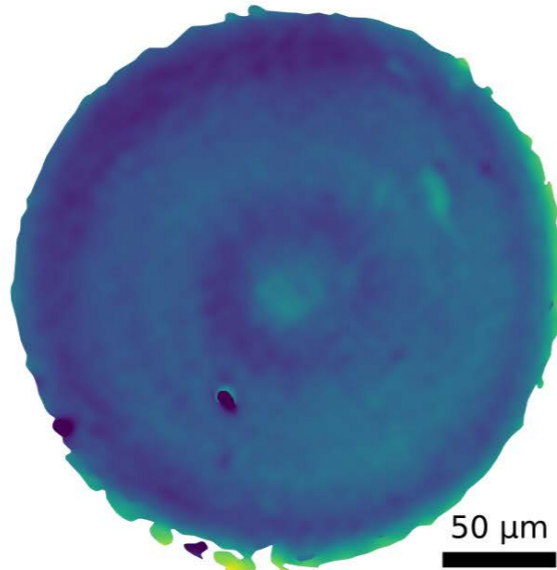
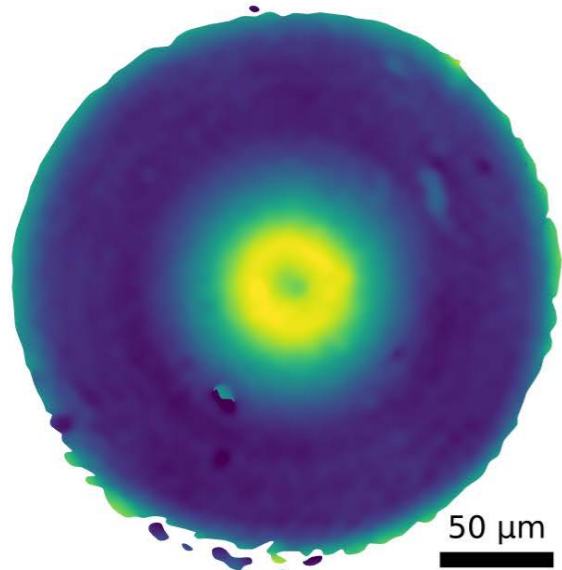
Aberrations: Quantification

RMS wavefront error improves from 0.23λ to 0.06λ !

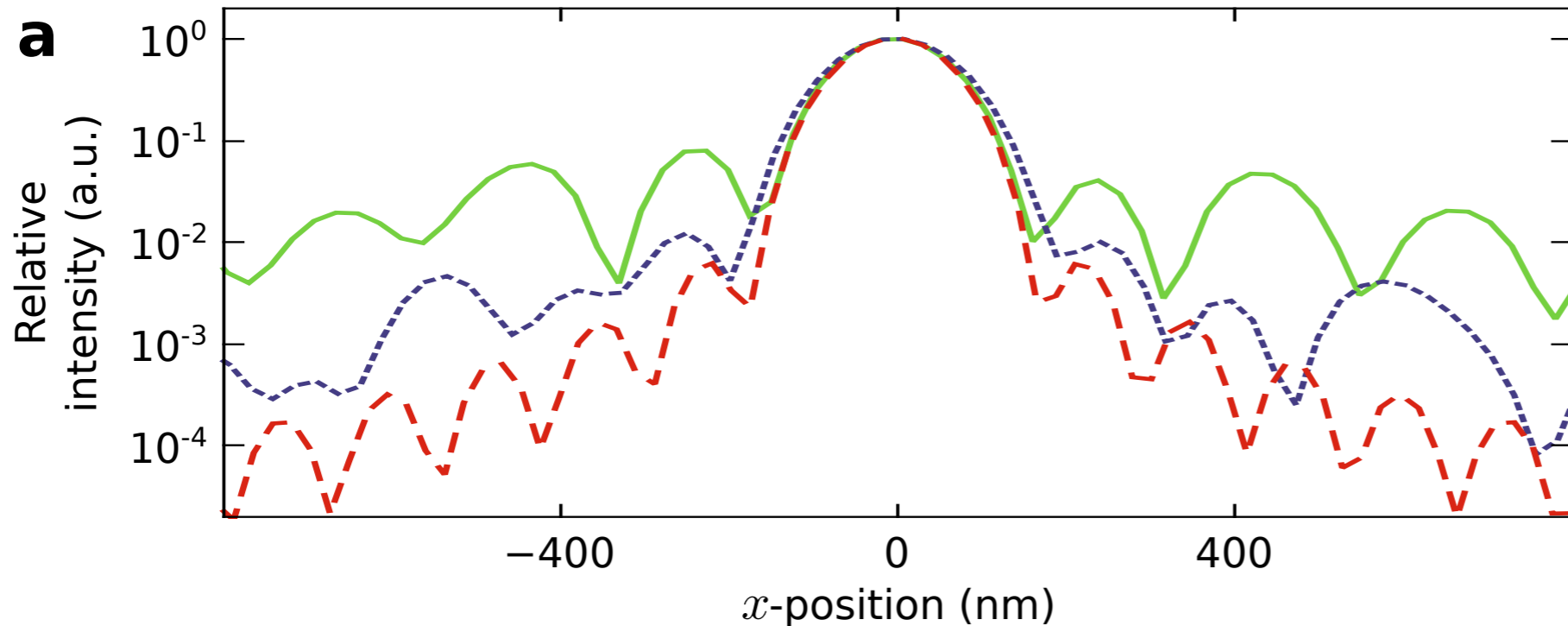


without phase plate

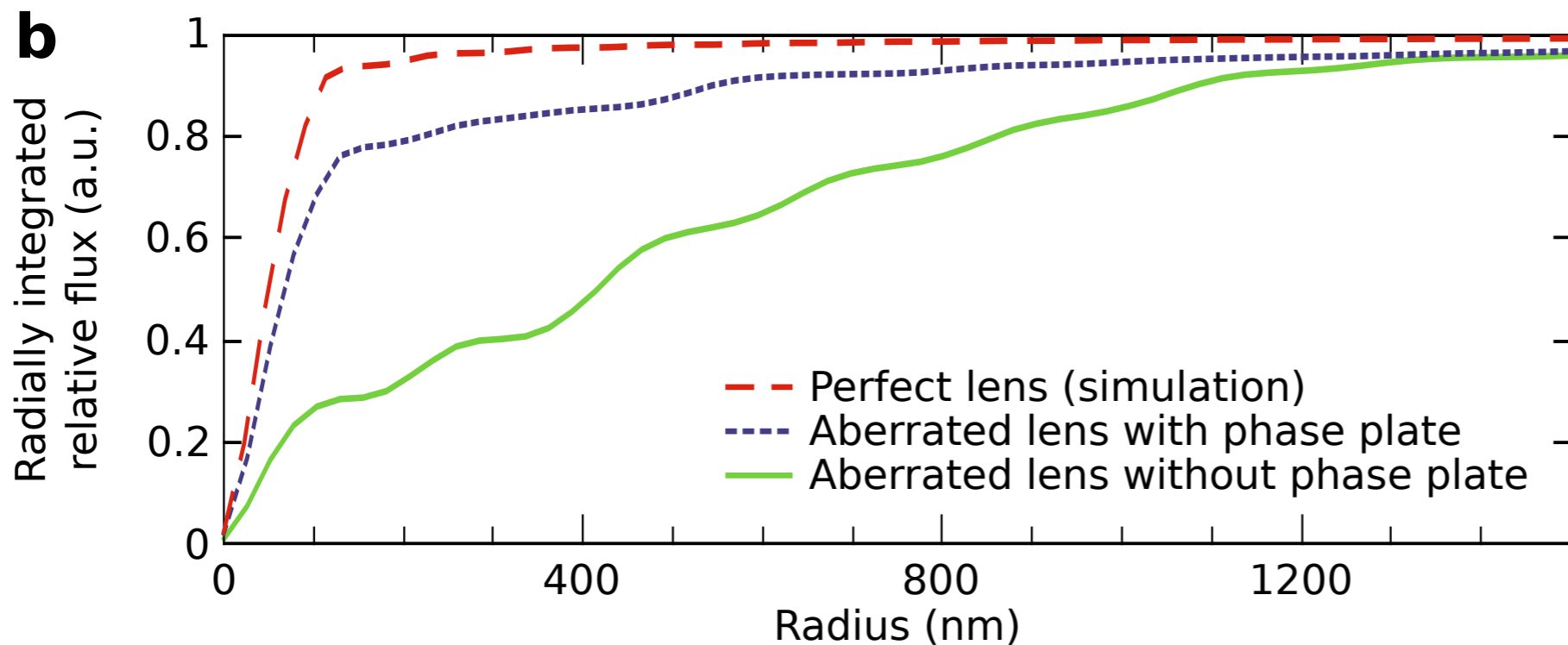
with phase plate



Diffraction-Limited Nano-Focusing



Lens with
Strehl ratio $> 0.8!$



75 % of the radiation
is concentrated in
the central speckle!



Focus full beam!

F. Seiboth, *et al.*, Nat. Commun. **8**, 14623 (2017).

DESY Campus Hamburg-Bahrenfeld

Cooperation partners
UHH · MPG · EMBL · HZG
CSSB partner institutes
Sweden · India · Russia



X-Ray Free-Electron Laser
atomic structure & fs dynamics
of complex matter



NanoLab

PETRA III

FLASH

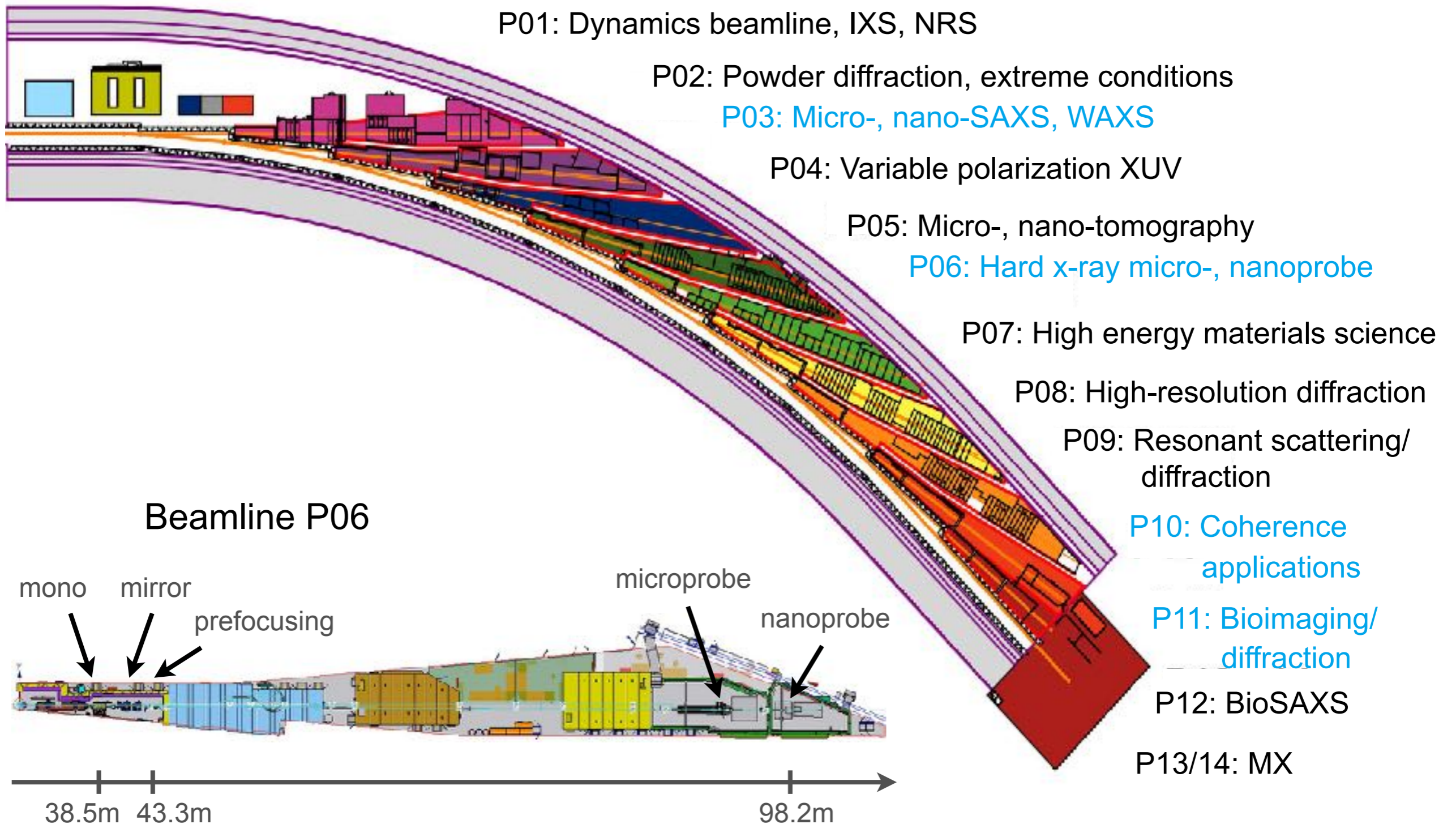
Synchrotron radiation source (highest brilliance)

VUV & soft-x-ray free-electron laser

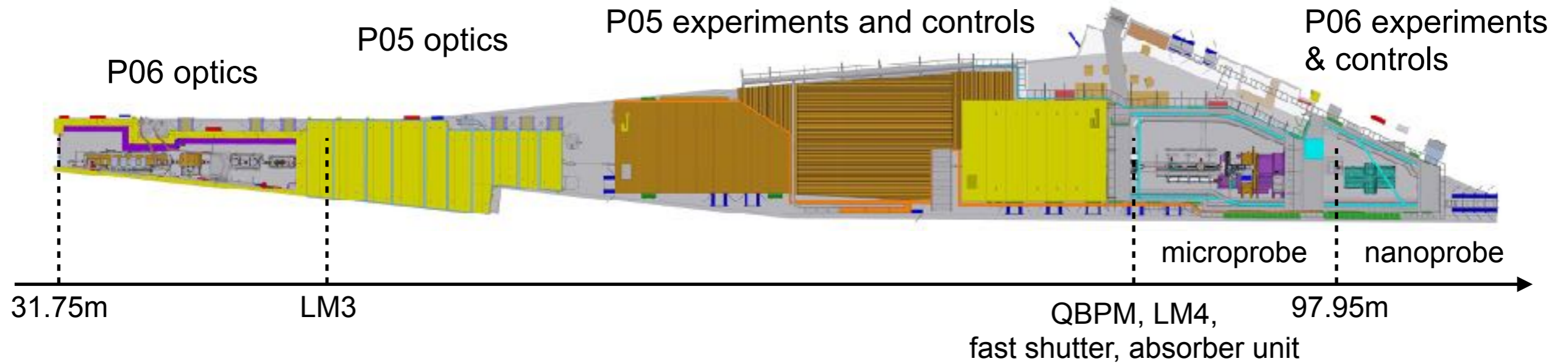


PETRA III — Max von Laue Hall

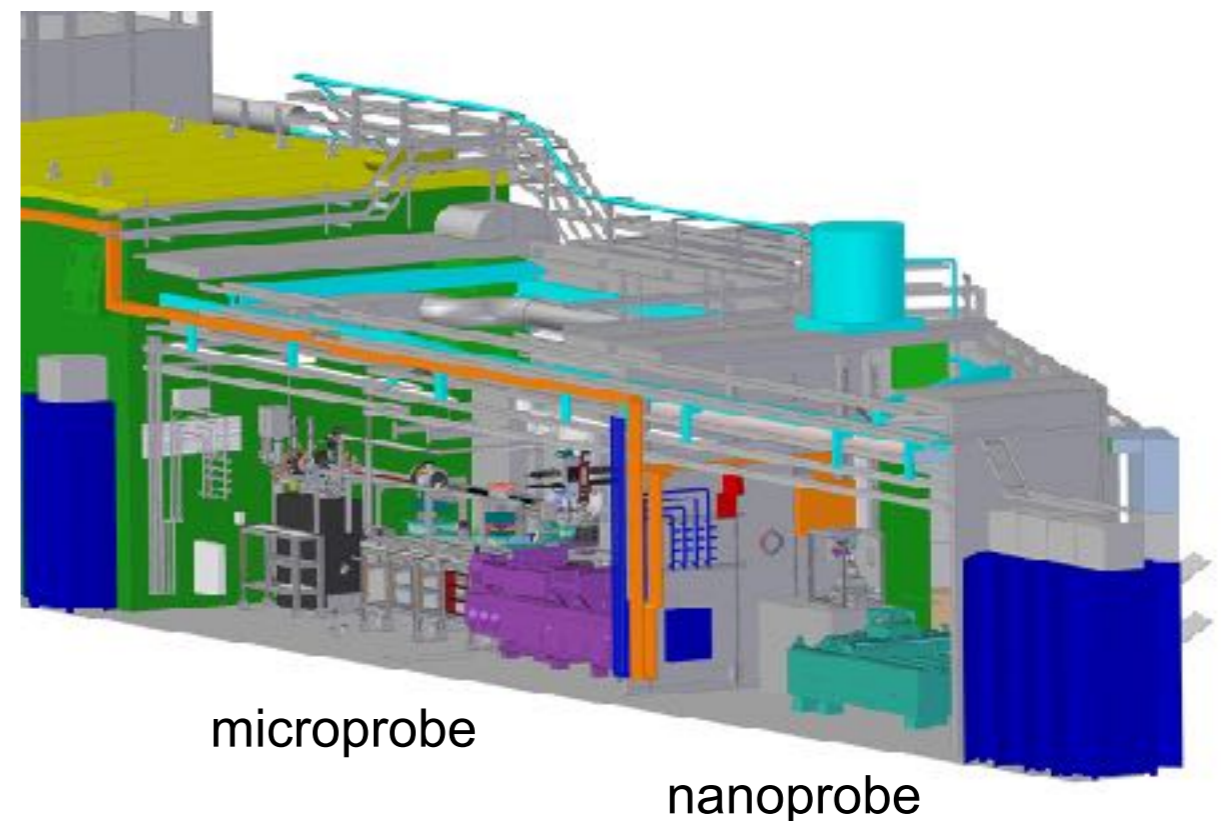
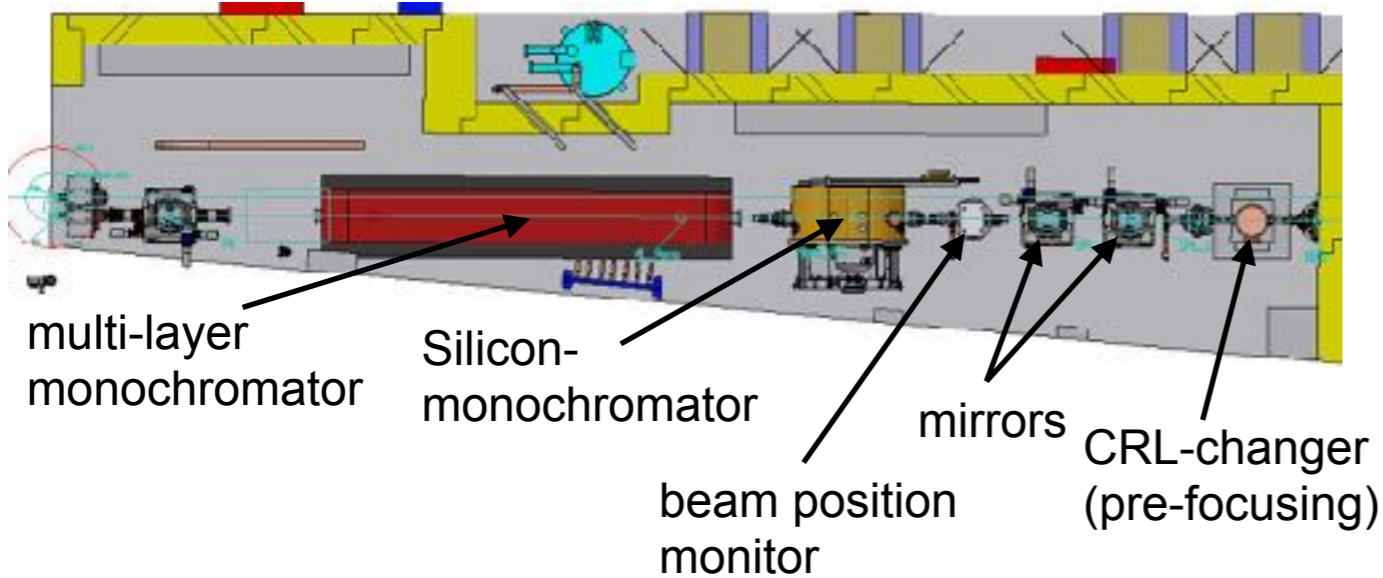
9 Sectors — 14 Beamlines



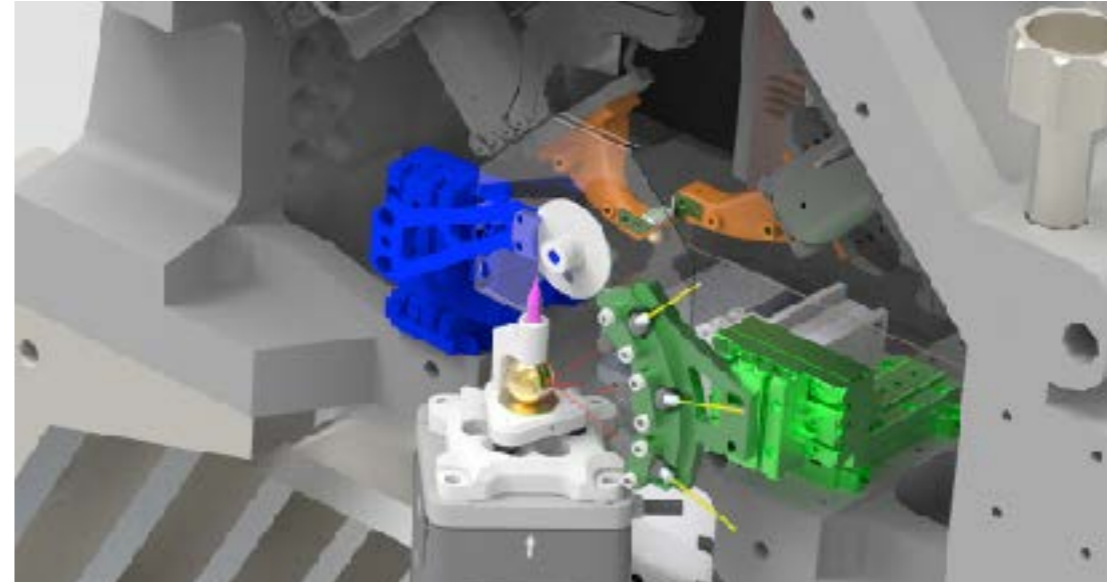
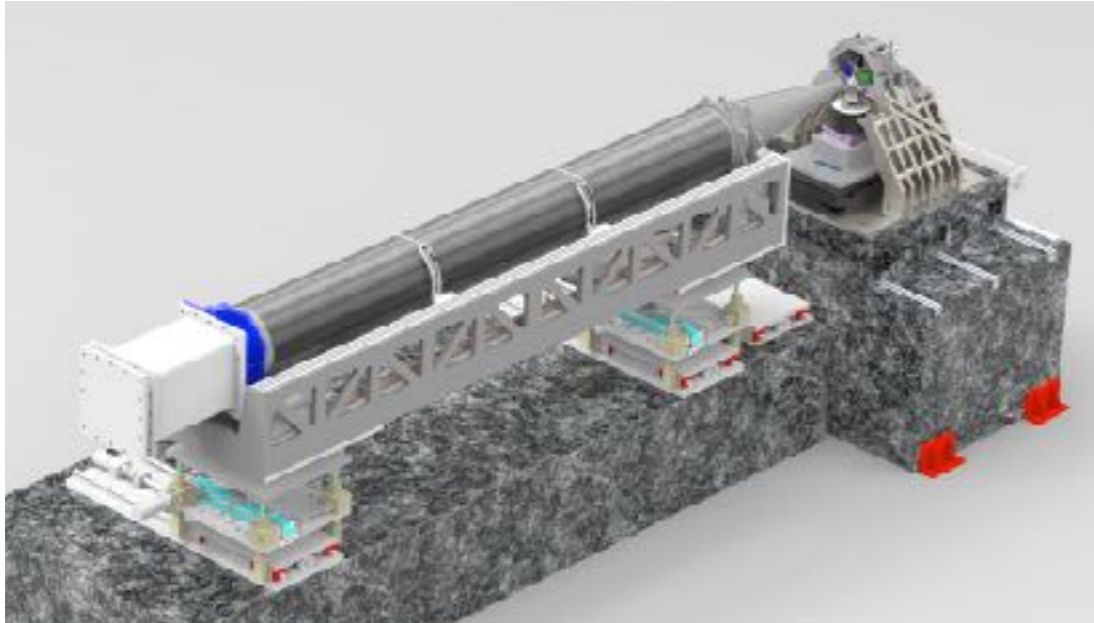
PETRA III — Beamline P06



P06 optics hutch



PtyNAMi: Ptychographic Nano-Analytical Microscope



Goals:

- > high spatial resolution
- > high sensitivity
- > 2D and 3D imaging
- > *in situ* & *operando*

Experimental requirements:

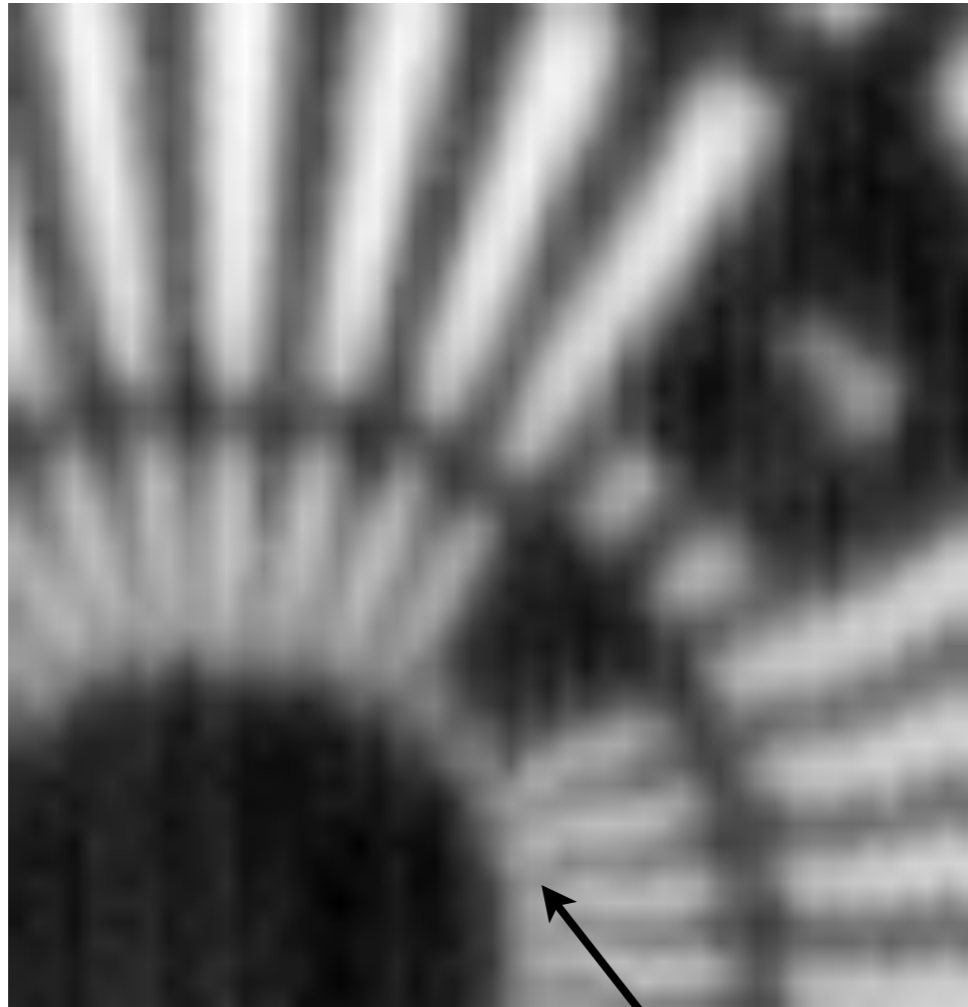
- > optimized coherent flux with pre-focusing
- > high performance optics
- > high mechanical stability and control
- > low background

R. Döhrmann, S. Botta, H. Lindemann *et al.*

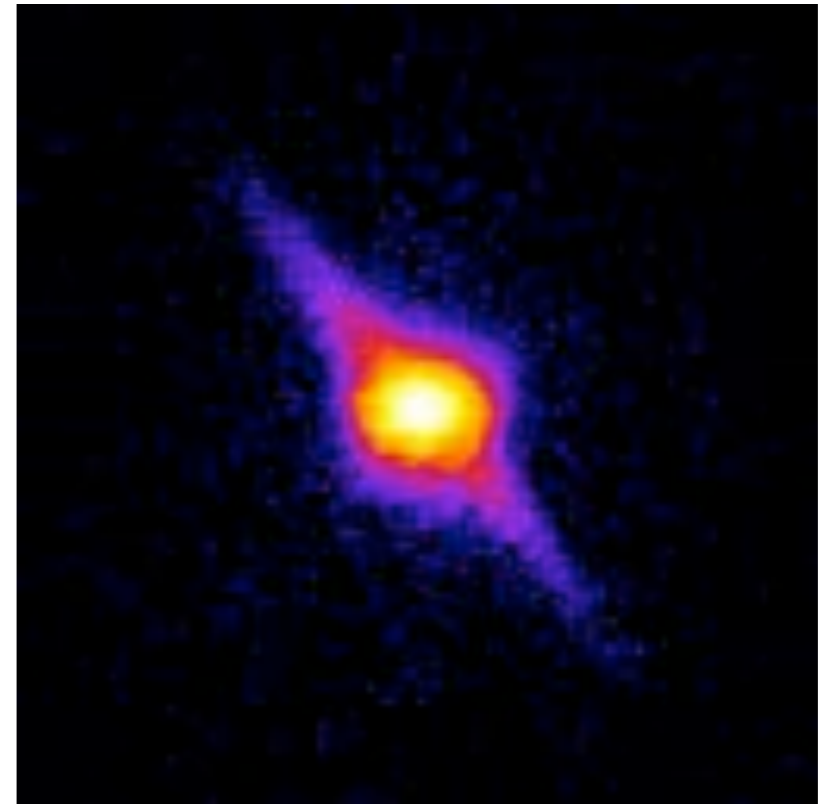
Ptychography

Scanning Coherent X-Ray Microscopy

Ta L_α fluorescence



50 nm lines and spaces



$E = 15.25$ keV

50 x 50 steps of 40 x 40 nm²

2 x 2 μm² FOV

exposure: 0.3 s per point

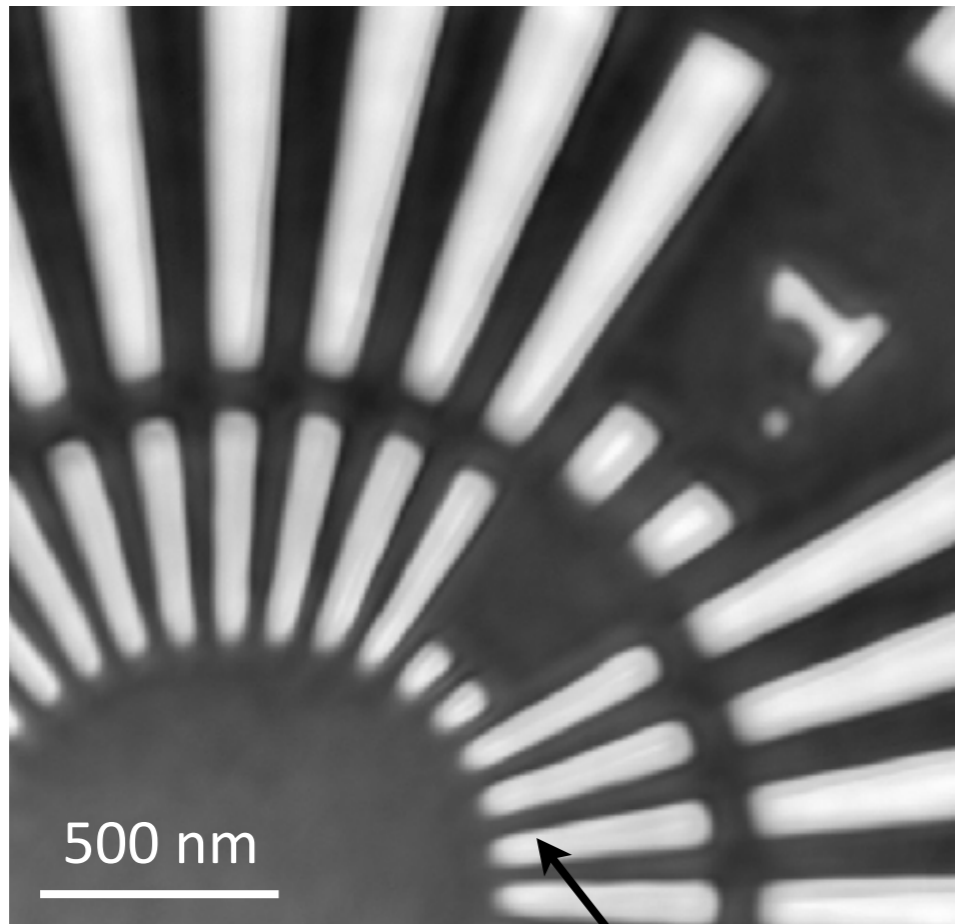
dose: ≈ 20000 photons/nm²

resolution: ≈ 10 nm

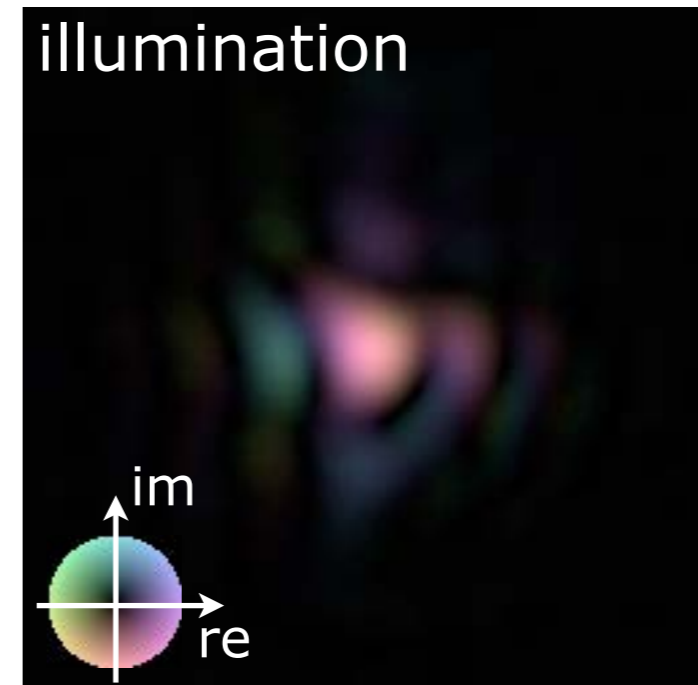
Ptychography

Scanning Coherent X-Ray Microscopy

ptychography (phase shift)



50 nm lines and spaces



$E = 15.25$ keV

50 x 50 steps of 40 x 40 nm²

2 x 2 μm² FOV

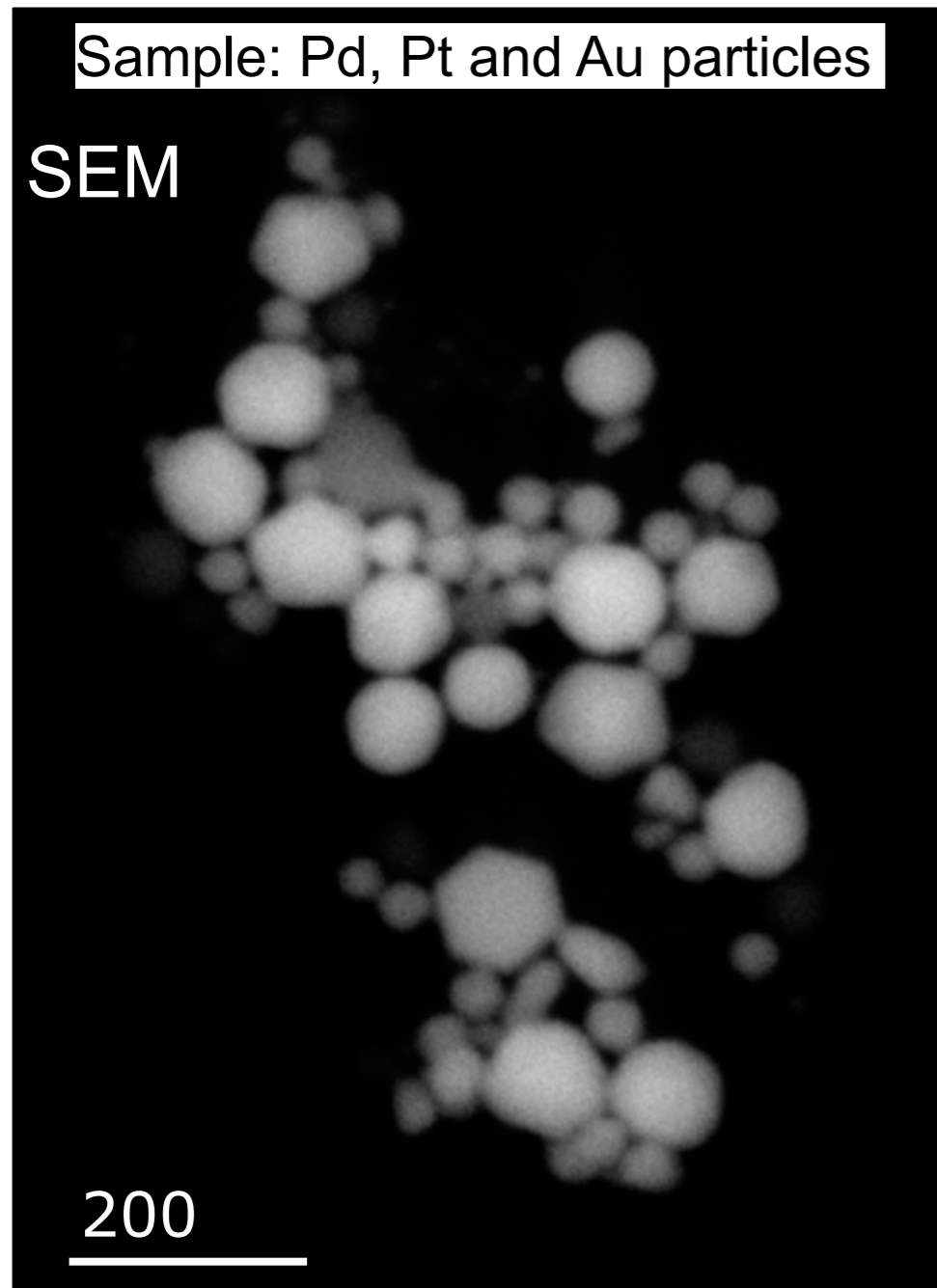
exposure: 0.3 s per point

dose: ≈ 20000 photons/nm²

resolution: ≈ 10 nm

High-Resolution Ptychography

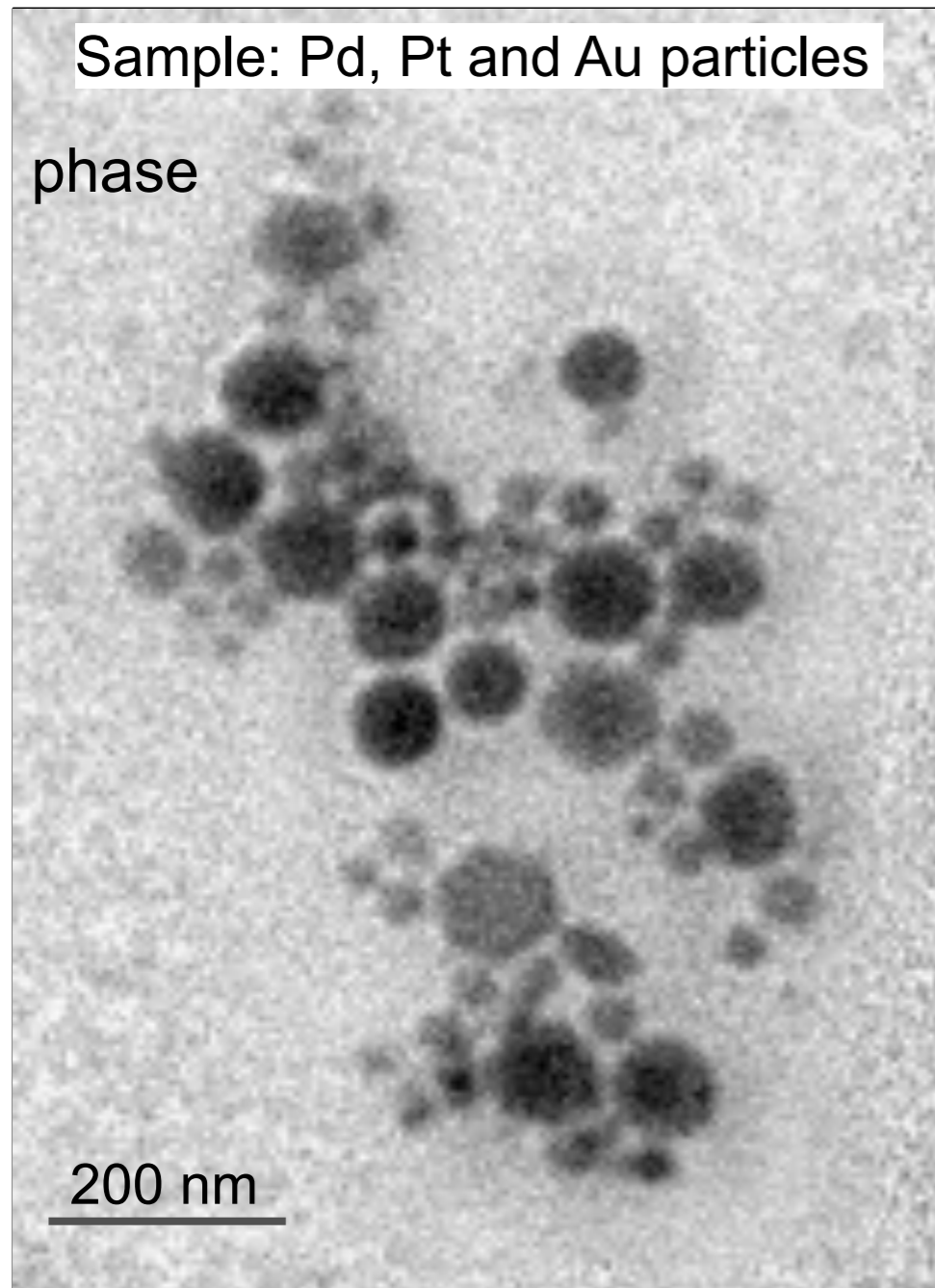
Juliane Reinhardt, *et al.*, “Beamstop-based low-background ptychography to image weakly scattering objects”, *Ultramicroscopy* **173**, 52 (2017)



Collaboration with J. D. Grunwaldt, Karlsruhe and C. Damsgaard, Copenhagen

High-Resolution Ptychography

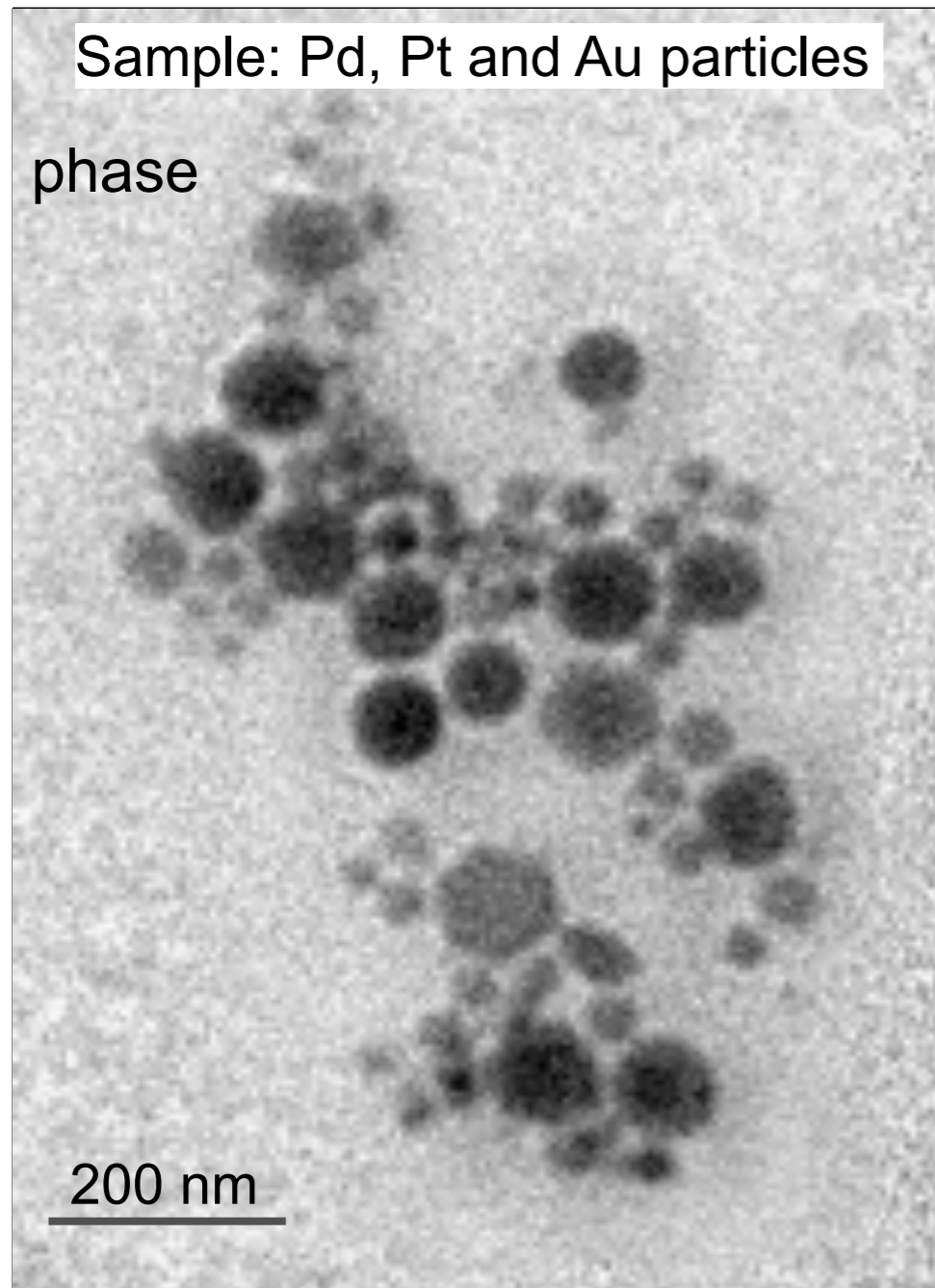
Juliane Reinhardt, *et al.*, “Beamstop-based low-background ptychography to image weakly scattering objects”, *Ultramicroscopy* **173**, 52 (2017)



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High-Resolution Ptychography

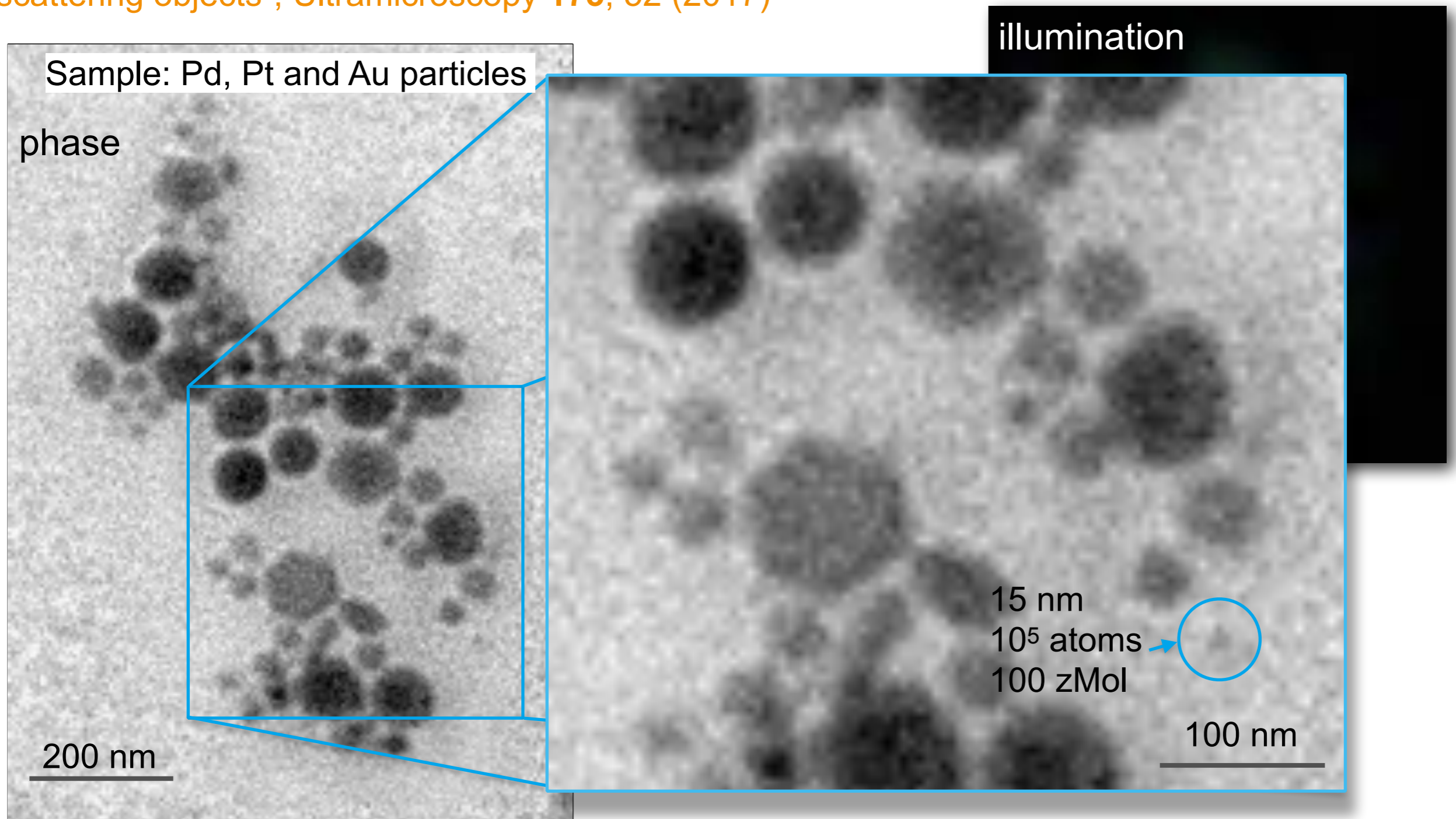
Juliane Reinhardt, *et al.*, “Beamstop-based low-background ptychography to image weakly scattering objects”, *Ultramicroscopy* **173**, 52 (2017)



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High-Resolution Ptychography

Juliane Reinhardt, *et al.*, “Beamstop-based low-background ptychography to image weakly scattering objects”, *Ultramicroscopy* **173**, 52 (2017)

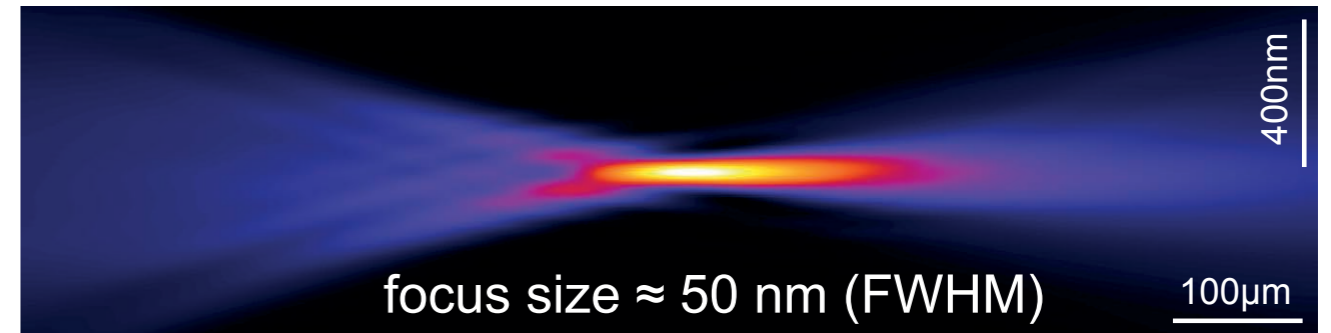
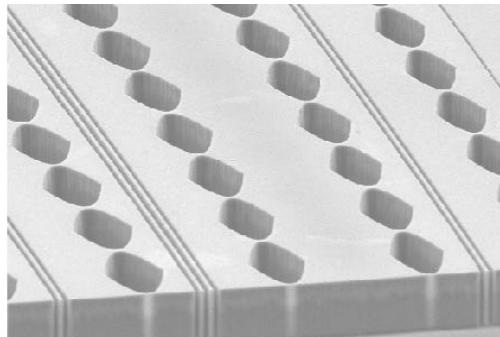


Collaboration with J. D. Grunwaldt, Karlsruhe and C. Damsgaard, Copenhagen

Further Optics Developments

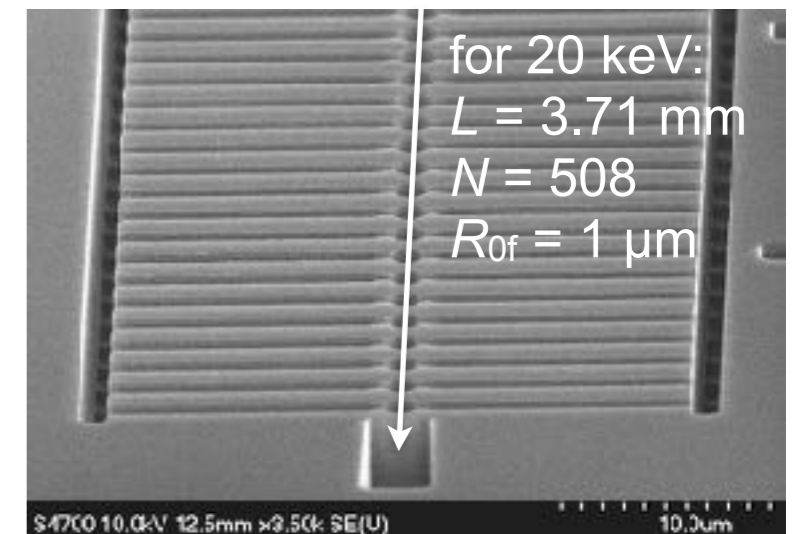
Nanofocusing Lenses (NFLs)

- > C. G. Schroer, *et al.*, AIP Conf. Ser. **1365**, 227 (2011)



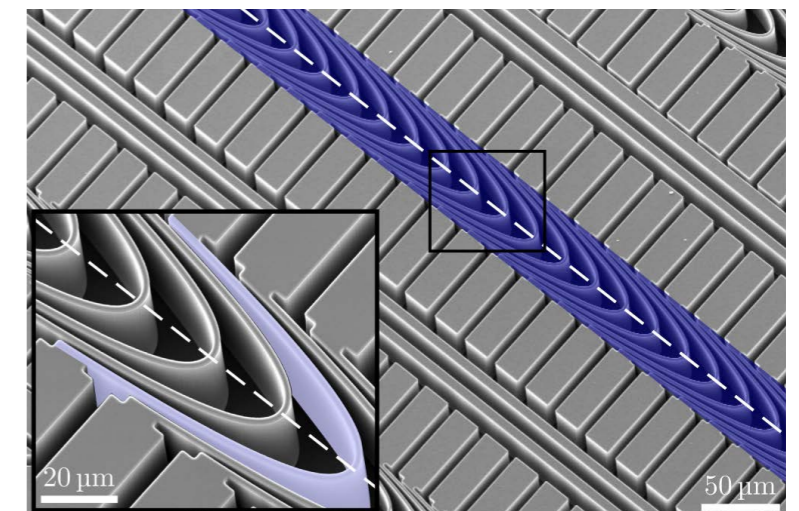
Adiabatically Focusing Lenses (AFLs)

- > C. G. Schroer and B. Lengeler, "Focusing Hard X Rays to Nanometer Dimensions by Adiabatically Focusing Lenses", PRL **94**, 054802 (2005)
- > J. Patommel *et al.*, "Focusing hard x rays beyond the critical angle of total reflection by adiabatically focusing lenses", APL **110**, 101103 (2017)

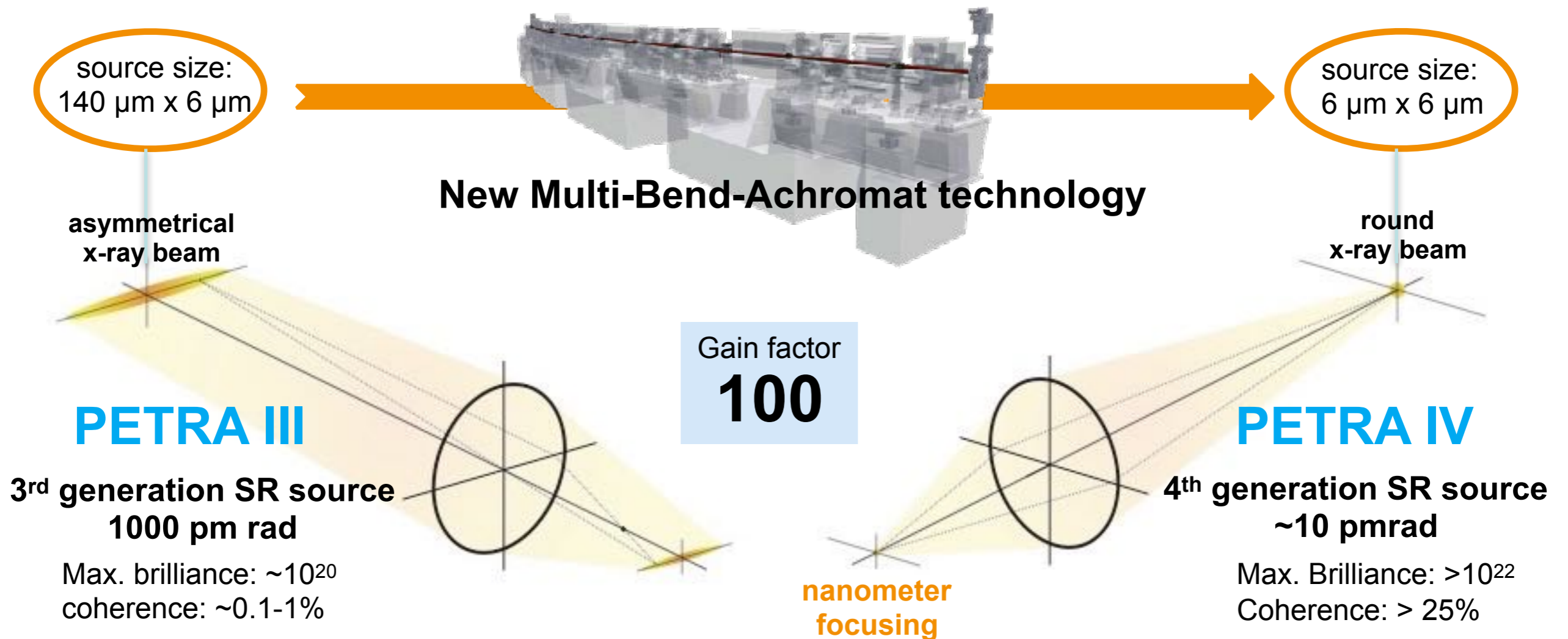


Refractive Lamellar Lenses (RLLs)

- > F. Seiboth *et al.*, "Hard x-ray nanofocusing by refractive lenses of constant thickness", APL **105**, 131110 (2014)



PETRA IV Project — Design of a New Source



PETRA IV

- > new multi-bend-achromat (MBA) technology +
- > 2.3 km circumference (largest SR source)
emittance scales as $1/(\text{circumference})^3$
- diffraction limited down to a wavelength of 1 \AA (ultimate storage ring)



Qualitative step in synchrotron analytics

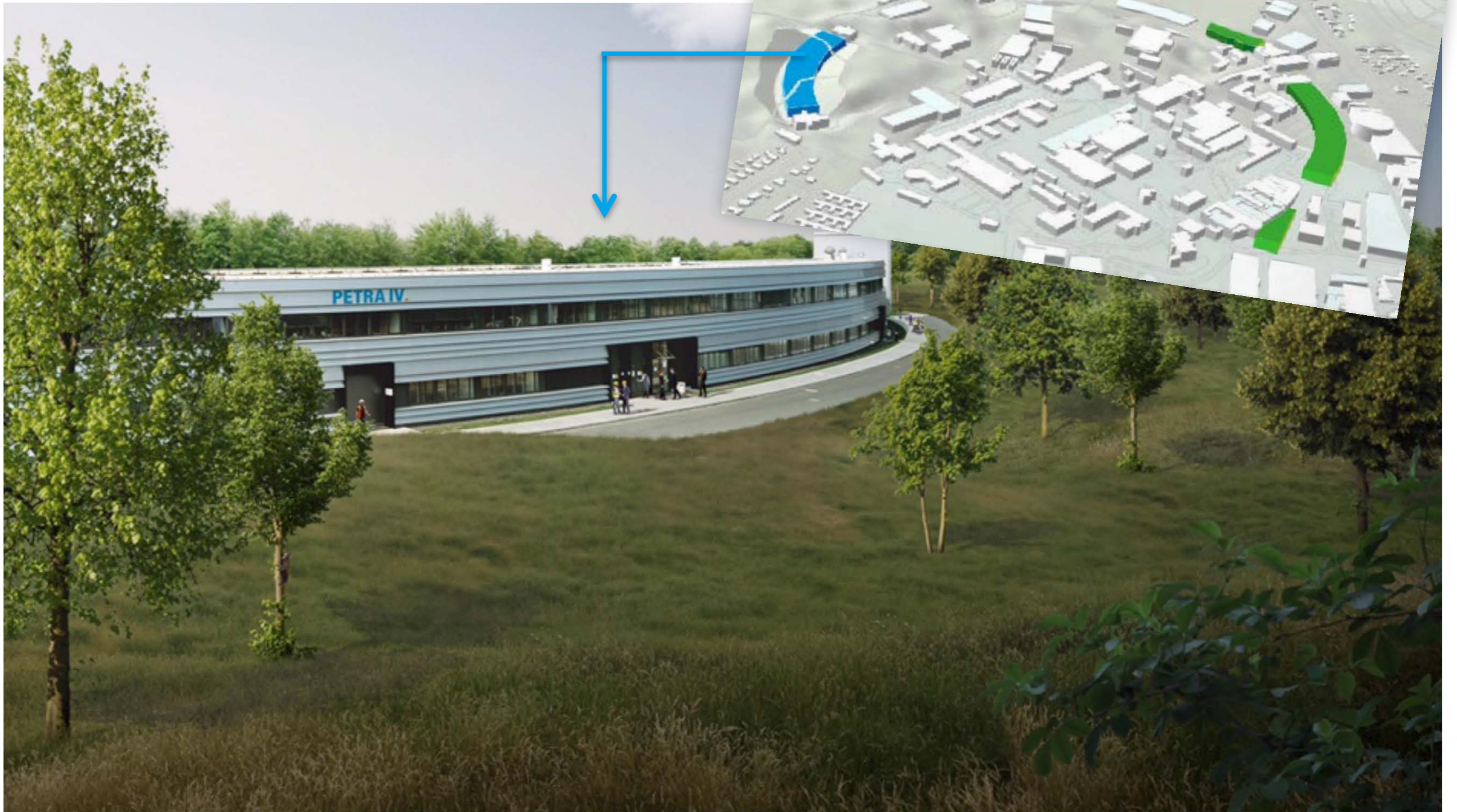
In-situ 3D-microscopy on nanometer scale

Operando nanoimaging of

- > structure, chemistry
- > electronic and magnetic properties
- > dynamics on the sub-nanosecond scale

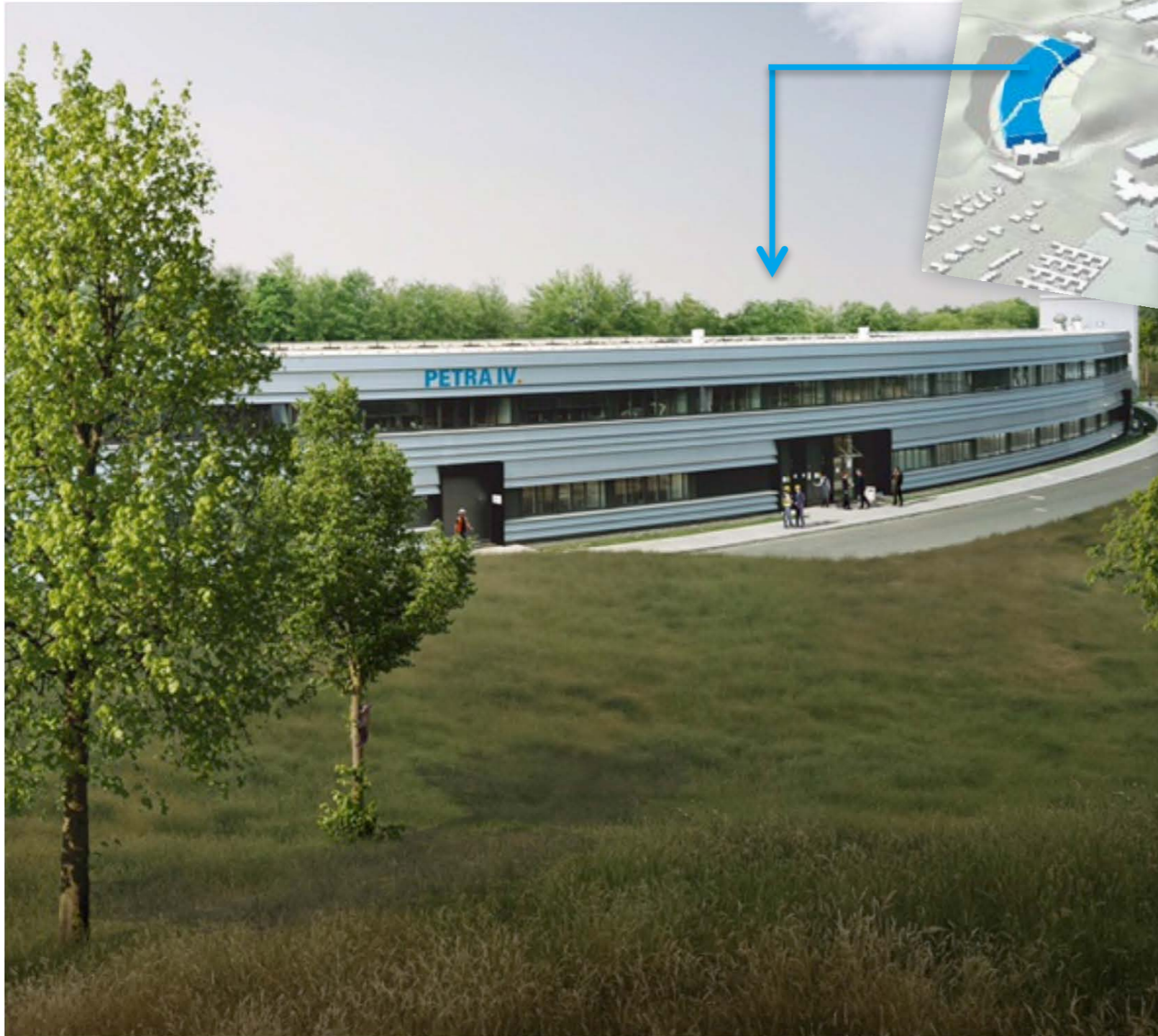
PETRA IV Project

PETRA IV Experimental Hall



PETRA IV Project

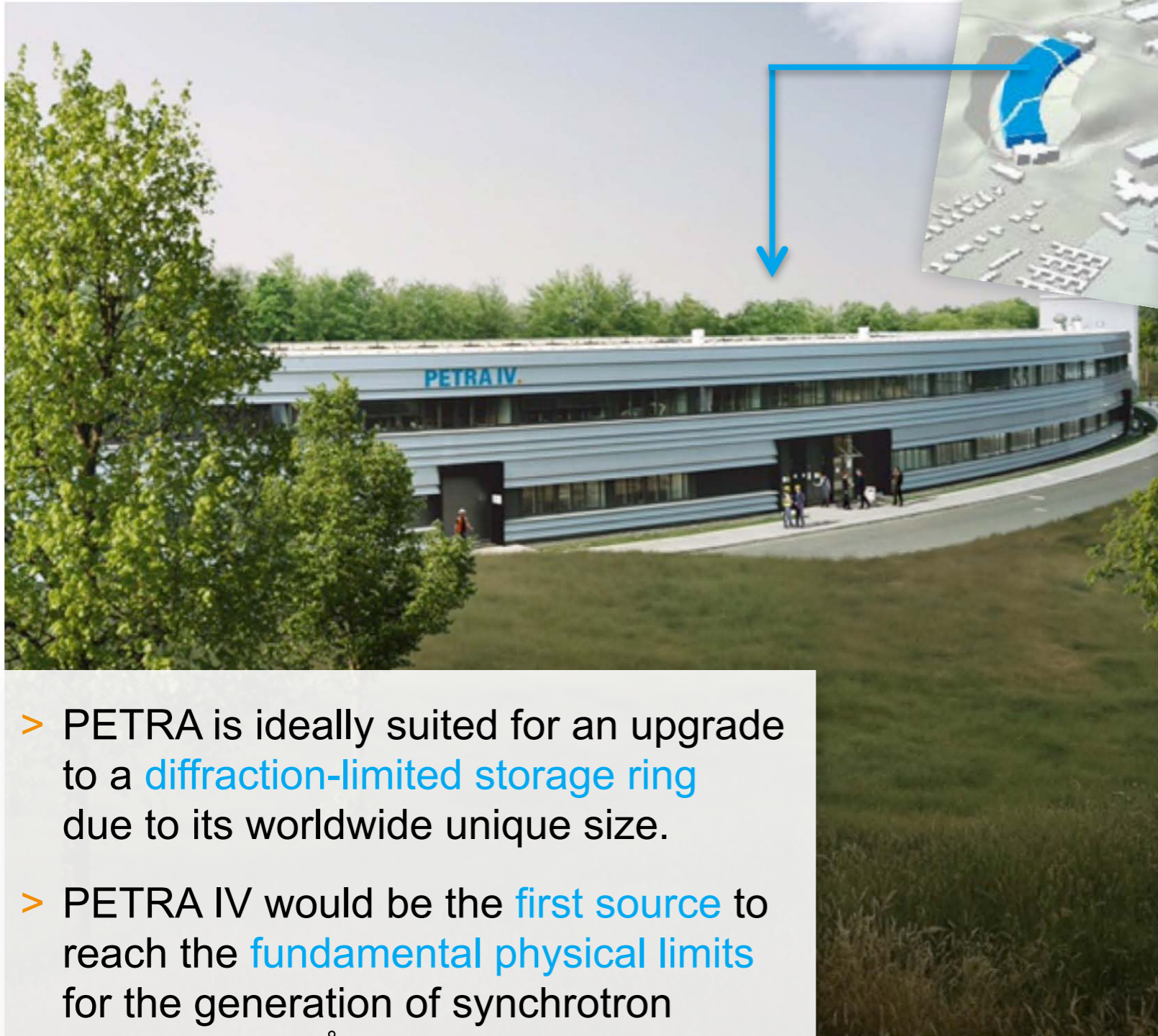
PETRA IV Experimental Hall



- > **In-situ/operando** 3D microscope nano imaging of processes with
 - > chemical
 - > structural
 - > electronic
 - > magnetic
 - > ...contrast on all relevant length and (slower) time scales (\approx ns)
- > **Novel** contributions:
 - > health
 - > energy
 - > mobility/transport
 - > IT/communication
 - > earth and environment

PETRA IV Project

PETRA IV Experimental Hall



- > PETRA is ideally suited for an upgrade to a **diffraction-limited storage ring** due to its worldwide unique size.
- > PETRA IV would be the **first source** to reach the **fundamental physical limits** for the generation of synchrotron radiation at 1 Å wave length.

- > **In-situ/operando** 3D microscope nano imaging of processes with
 - > chemical
 - > structural
 - > electronic
 - > magnetic
 - > ...contrast on all relevant length and (slower) time scales (\approx ns)
- > **Novel** contributions:
 - > health
 - > energy
 - > mobility/transport
 - > IT/communication
 - > earth and environment

Summary

Development of refractive optics

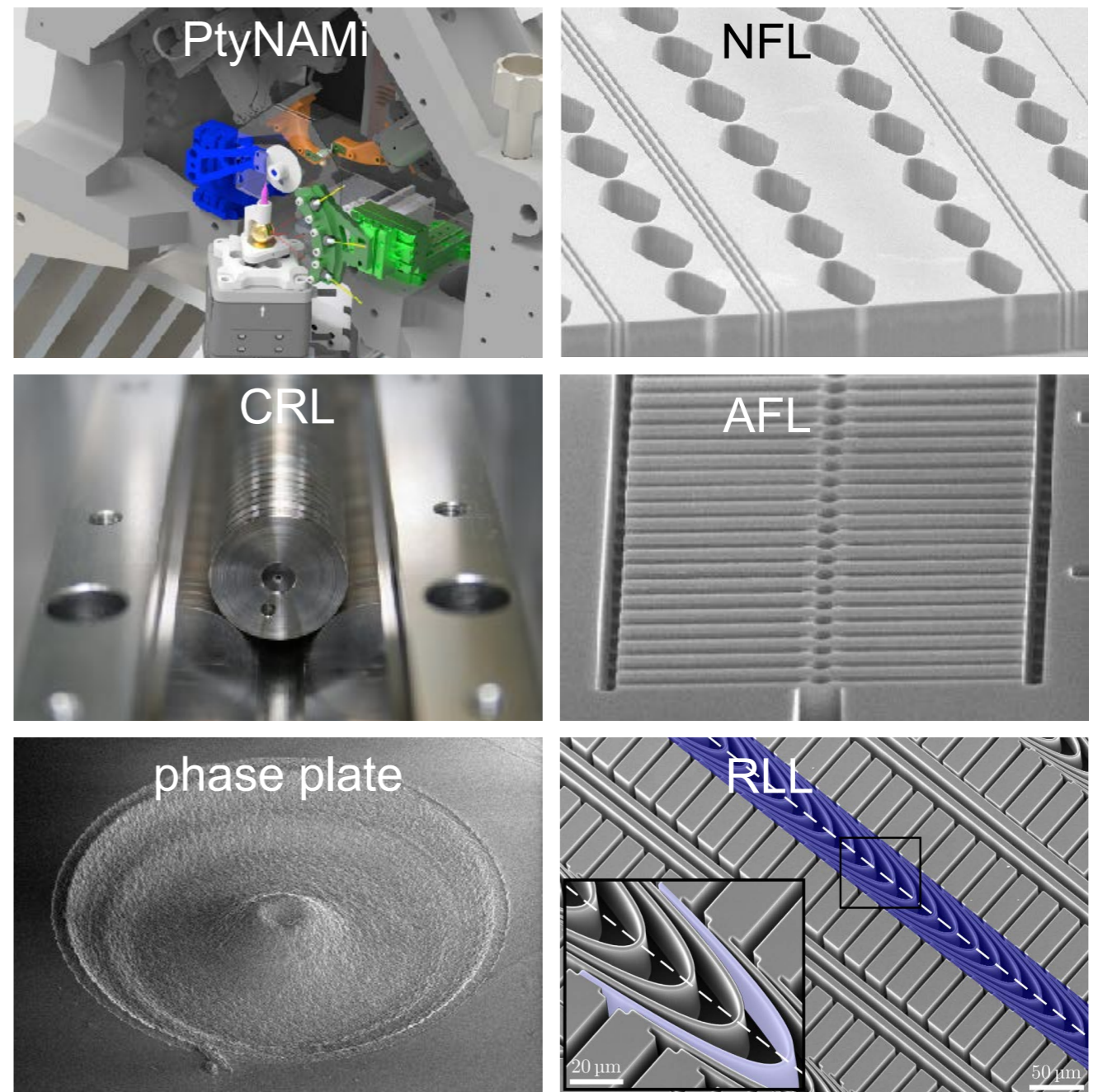
- > NFL: Almost optimal performance
- > AFL: $NA > \sqrt{2\delta}$
- > CRL: diffraction-limited nano-focusing enabled by an additional phase plate.
- > RLL: New design of refractive optics enabling us to use different materials.

PtyNAMI

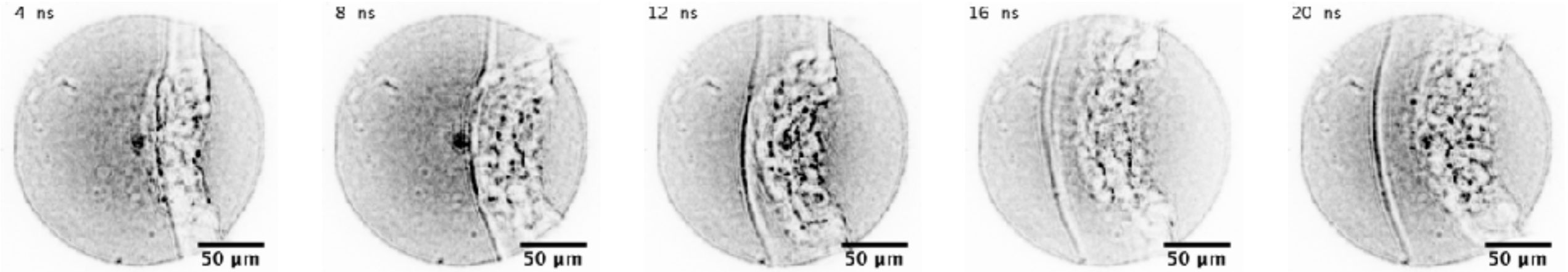
- > New microscope being developed for beamline P06 at PETRA III.

Relevant for applications at synchrotron radiation sources and XFELs

- > scanning coherent X-ray microscopy
- > aberration-free direct X-ray imaging
- > heating of matter with strongly focused XFEL-beams



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Scanning coherent X-ray microscopy, using fluorescence (XRF), diffraction (SAXS, WAXS), absorption (XAS) and ptychographic (CXDI) contrast.

PETRA III (DESY, Hamburg)



ESRF (Grenoble)



LCLS (SLAC, Menlo Park)

